

CCWG-Accountability WorkStream 2

Activity Dashboard



August 2017

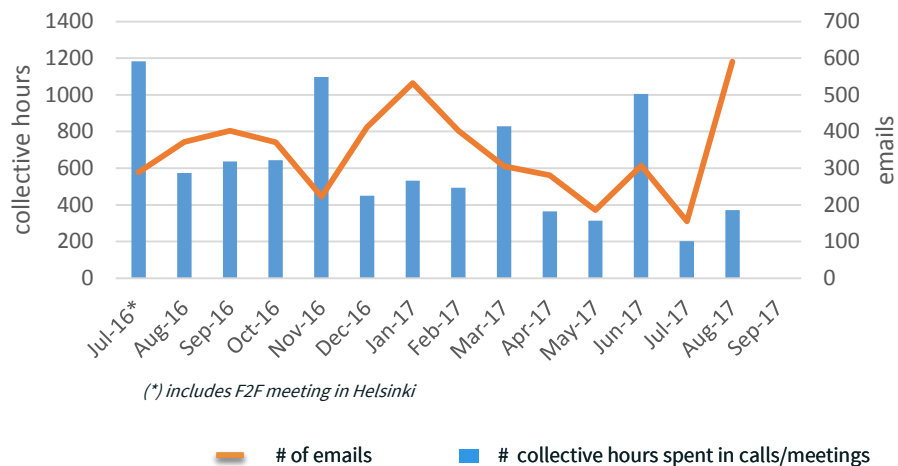
() Produced on behalf of the CCWG-Accountability, and approved by the CCWG co-chairs. All status updates found in this report are provided or approved by subgroups rapporteurs. Statistics are based on data available from the [WS2 wiki](#).*

WS2 Overview

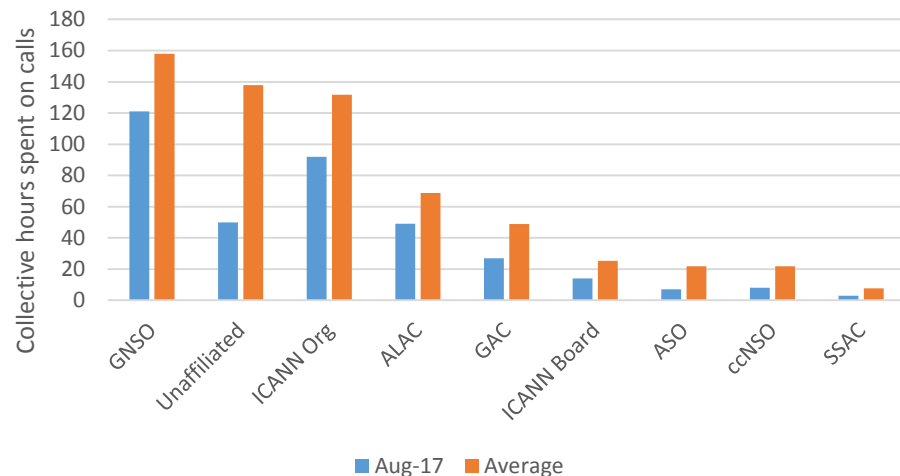
WS2 Monthly Activity Update

Reporting Period:
AUGUST 17

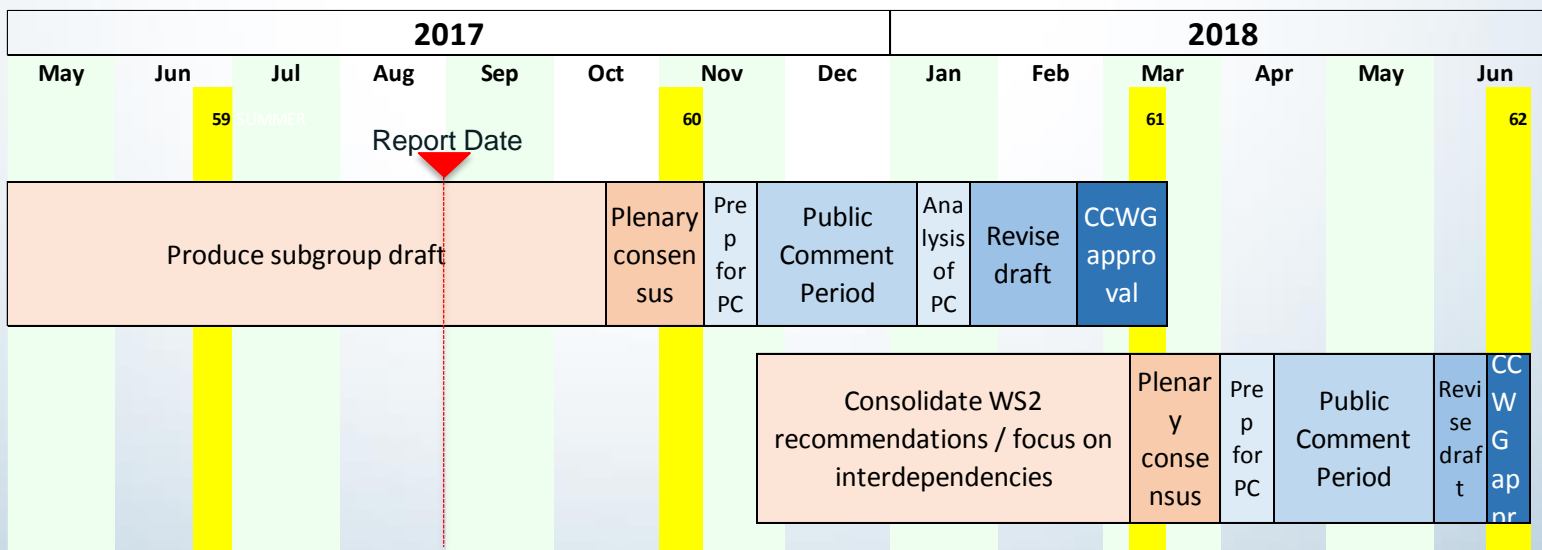
Monthly Activity (plenaries & subgroups):



Participation by Affiliation:



FY18 Timeline:



















Based on data available from the WS2 wiki – this is a high level summary of the work underway . (*) Work plans may vary by subgroups.

Updated on 05 Sept 2018 | 3

Subgroups Progress Update

Progress:  58%

Reporting Period: **AUGUST 17**

Topic	Meetings this month	Progress	Status	Updates	Focus / Concerns / Risks
Transparency	0	 70% (+ 0 %)		<ul style="list-style-type: none"> Analyzing public comments received. 	<ul style="list-style-type: none"> The sub-group has lost one of its two co-rapporteurs. On-going discussions w/ICANN organization on comments posted by the organization.
Guidelines for Good Faith...	0	 75% (+ 5 %)		<ul style="list-style-type: none"> Subgroup considered all public comments received. Subgroup revised recommendations on the basis on inputs received. 	<ul style="list-style-type: none"> Subgroup to reach consensus on revisions made, and to seek approval of CCWG plenary on revised draft.
SO/AC Accountability	5	 75% (+ 5 %)		<ul style="list-style-type: none"> Analyzing public comments received. 	<ul style="list-style-type: none"> Subgroup to finalize revisions of recommendations.
Human Rights	5	 75% (+ 5 %)		<ul style="list-style-type: none"> Subgroup considered all public comments received. Subgroup revised recommendations on the basis on inputs received. 	<ul style="list-style-type: none"> Subgroup to reach consensus on revisions made, and to seek approval of CCWG plenary on revised draft.
Staff Accountability	3	 50% (+0 %)		<ul style="list-style-type: none"> Subgroup presented draft issues and recommendations at ICANN59 and received feedback from CCWG-Plenary and ICANN Board caucus group. 	<ul style="list-style-type: none"> A revised version of the report was reviewed and accepted as first reading (within subgroup). After successful second reading in subgroup, will submit for first reading to plenary.
Diversity	2	 50% (+ 10 %)		<ul style="list-style-type: none"> Subgroup finalized its draft recommendations. CCWG-Plenary reviewing subgroup's recommendations. 	
Ombuds Office	1	 40% (+ 10 %)		<ul style="list-style-type: none"> Evaluation report from the external reviewer was presented at ICANN59 face-to-face meeting. Subgroup reworded the external reviewer's recommendations in preparation for the final report. 	<ul style="list-style-type: none"> Subgroup to begin work on a draft final report.
Jurisdiction	5	 25% (+ 0 %)		<ul style="list-style-type: none"> Working on consolidating a list of issues that the subgroup shall focus on and will provide recommendations against. 	<ul style="list-style-type: none"> Subgroup to finalize list, prioritize issues and start elaborating recommendations against highest-priority issues.
Review of the CEP	0		Merged with IRP-IOT	<ul style="list-style-type: none"> CEP subgroup lost its rapporteur. CCWG Plenary approved proposal to lump the CEP-related effort under IRP-IOT (Independent Review Process Implementation Oversight Team). 	<ul style="list-style-type: none"> Review of the CEP will continue under the IRP-IOT's on-going work.

Plenary Reviews and Public Comment Periods Schedule

	CCWG Plenary 1 st reading	CCWG Plenary 2 nd reading	Public Comments
Jurisdiction (Questionnaire)	✓ 12/14/16	✓ 01/11/17	✓ 02/09/17 – 04/17/17 (closed)
Transparency	✓ 01/11/17	✓ (02/08/17)	✓ 02/21/17 – 04/10/17 (closed)
Good Faith Conduct	✓ (02/08/17)	✓ (02/22/17)	✓ 03/07/17 – 04/24/17 (closed)
SO/AC Accountability	✓ 03/10/17	✓ 03/29/17	✓ 04/14/17 – 05/26/17 (closed)
Diversity (Questionnaire)	✓ 03/10/17	✓ 03/29/17	✓ 04/21/17 – 06/01/17 (closed)
Human Rights	✓ 04/12/17	✓ 04/26/17	✓ 05/05/17 – 06/16/17 (closed)
Diversity	✓ 08/30/17	09/27/17	TBD
Staff Accountability	TBD	TBD	TBD
Ombudsman	TBD	TBD	TBD
Jurisdiction	TBD	TBD	TBD

WS2 FY17 Year End Update

Reporting Period: FY17
(July 1, 2016 – June 30, 2017)

Amounts in USD Thousands (000s)	June 2017 YTD - Twelve months July 1, 2016 - June 30, 2017							2017 Budget Remaining	2017 Total Budget	% Budget To-Date
	Staff	Travel & Meetings	Telecom & Language Support	Legal Services	US Gov't Affairs (Lobbying)	Other Professional Services	Total			
Cross Community Working Group Support										
IRP Phase 2	\$ -	\$ -	\$ -	\$ 126	\$ -	\$ -	\$ 126	\$ 393	\$ 519	100%
Accountability WS2	-	86	-	-	-	-	86	1,814	1,900	100%
Transition Implementation	-	-	-	-	-	-	-	-	-	100%
General Project Activities	-	-	-	-	-	-	-	-	-	100%
Total Costs - Cross Community Working Group Support	-	86	-	126	-	-	212	2,207	2,419	100%
ICANN Support										
IRP Phase 2	-	-	-	26	-	-	26	374	400	100%
Accountability WS2	730	40	24	84	-	372	1,251	(69)	1,181	100%
Transition Implementation	741	24	9	1,555	-	695	3,024	622	3,646	100%
General Project Activities	587	8	-	-	255	51	900	264	1,164	100%
Total Costs - ICANN Support	2,058	72	33	1,664	255	1,118	5,201	1,191	6,391	100%
Total IANA Transition Project										
IRP Phase 2	-	-	-	152	-	-	152	767	919	100%
Accountability WS2	730	126	24	84	-	372	1,336	1,745	3,081	100%
Transition Implementation	741	24	9	1,555	-	695	3,024	622	3,646	100%
General Project Activities	587	8	-	-	255	51	900	264	1,164	100%
Total Costs - IANA Transition Project	\$ 2,058	\$ 158	\$ 33	\$ 1,791	\$ 255	\$ 1,118	\$ 5,412	\$ 3,398	\$ 8,810	100%
Total Budget by Cost Category	\$ 2,708	\$ 552	\$ 830	\$ 3,330	\$ 250	\$ 1,140	\$ 8,810			
% Spend To-Date vs. Budget by Cost Category	76%	29%	4%	54%	102%	98%	61%			

Notes:

- Total spend after 12 months represents 61% of annual budget as compared to a year-to-date budget of 100%.
- Community Support represents 9% of the budget due to delays in WS2 activities. Spend year to date represents legal analyses supporting the IRP work and community travel expenses for the CCWG meeting at ICANN meetings.
- ICANN Support represented 81% of the annual spend after 12 months, with a majority of the spend related to the Transition implementation work for the NTIA contract expiration.

WS2 FY18 Budget Update

Reporting Period: July 2017 YTD – One month
(July 1, 2017 – July 31, 2017)

Amounts in USD Thousands (000s)	For the Month Ended July 31, 2017							% Spend To-Date	2018 Budget Remaining	2018 Total Budget	% Budget To-Date
	Staff	Travel & Meetings	Telecom & Language Support	Legal Services	US Gov't Affairs (Lobbying)	Other Professional Services	Total				
Cross Community Working Group Support											
IRP Phase 2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	\$ 88	\$ 88	8%
Accountability WS2	-	-	-	\$ -	-	-	-	0%	563	563	8%
Total Costs - Cross Community Working Group Support	-	-	-	-	-	-	-	0%	650	650	8%
ICANN Support											
IRP Phase 2	-	-	-	-	-	-	-	0%	88	88	8%
Accountability WS2	82	-	2	12	-	-	96	4%	2,263	2,360	8%
Total Costs - ICANN Support	82	-	2	12	-	-	96	4%	2,351	2,447	8%
Total IANA Transition Project											
IRP Phase 2	-	-	-	-	-	-	-	0%	175	175	8%
Accountability WS2	82	-	2	12	-	-	96	3%	2,826	2,922	8%
Total Costs - IANA Transition Project	\$ 82	\$ -	\$ 2	\$ 12	\$ -	\$ -	\$ 96	3%	\$ 3,001	\$ 3,097	8%

Total Budget by Cost Category	\$ 937	\$ 160	\$ 450	\$ 700	\$ -	\$ 850	\$ 3,097
-------------------------------	--------	--------	--------	--------	------	--------	----------

% Spend To-Date vs. Budget by Cost Category	9%	0%	1%	2%	0%	0%	3%
---	----	----	----	----	----	----	----

Notes:

Total spend for July 2017 represents 3% of annual budget as compared to a year-to-date budget of 8%.

- Community Support represents 0% of the FY18 budget. Spend year to date represents legal analyses supporting the IRP work and community travel expenses for the CCWG meeting at ICANN meetings.
- ICANN Support represented 4% of the annual spend after 1 month so slightly below target due to the timing of staff support scheduled.

Subgroup Progress

Transparency

Guidelines for Good Faith...

SO/AC Accountability

Human Rights

Staff Accountability

Diversity

Ombuds Office

Review of the CEP

Jurisdiction

Updates:

- [Public consultation](#) closed on 10 Apr 2017.
- 13 comments posted.
- Subgroup started the analysis of public comments received.
- Subgroup lost one of its two co-rapporteurs.

Upcoming Activities:

- Continue discussions w/ICANN organization on comments posted by the organization.
- Continue analysis of public comments, and assess changes to be made to the recommendations in light of the public comments filed.

Open Items:

Rapporteurs: Michael Karanicolas

of signed-up Active Participants: 36

of signed-up Observers: 28

Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

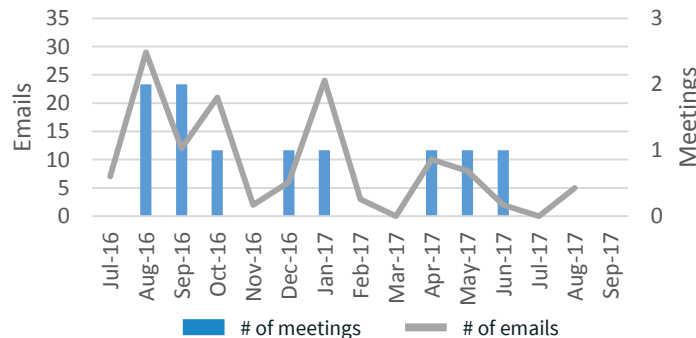
Description / Scope:

Our research focuses on the following three areas:

1. Enhancements to ICANN's existing Documentary Information Disclosure Policy (DIDP).
2. Proactive Disclosure Policies (including interactions with governments and Board deliberations).
3. Improvements to the existing whistleblower policy.

Activity:

Total # of meetings:	10
Total # of emails:	129
Collective hours on calls:	159



Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Sep	
CCWG approval	Oct	

Guidelines for Good Faith Conduct

Reporting Period: AUGUST 17

Progress:  75%

Status: on-track

Updates:

- [Public consultation](#) closed on 24 April 2017.
- 5 public comments were posted.
- Subgroup considered all comments received.
- Subgroup met with the ASO at ICANN59 to address their comments.
- Subgroup revised recommendations on the basis on inputs received.

Upcoming Activities:

- Subgroup to reach consensus on revisions made, and to seek approval of CCWG plenary on revised draft.

Open Items:

Rapporteurs: Lori Schulman

of signed-up Active Participants: 12

of signed-up Observers: 18

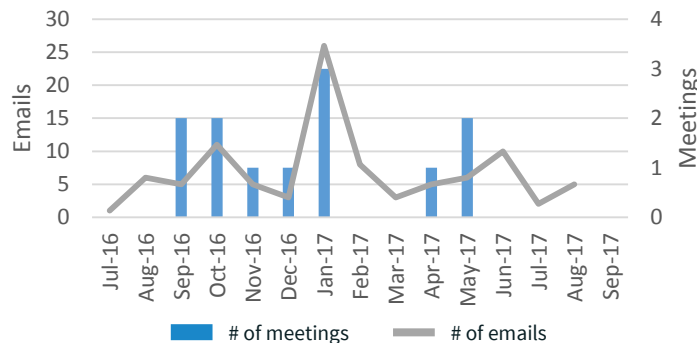
Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope: Decisional Participants of the Empowered Community (EC) have the right to appoint and remove individual Directors. In the event that a Decisional Participant endeavors to remove an individual board member, his actions are indemnified provided the Decisional Participant has acted in “good faith”. The purpose of this sub-team is to draft guidelines for conduct that would be considered good faith actions on the part of the Decisional Participants in order for the indemnification to apply.

Activity:

Total # of meetings:	12
Total # of emails:	96
Collective hours on calls:	129



Work Plan:

Start work	Aug	✓
Document questions to answer	Mid-Sep	✓
Document work to do	Sep	✓
Produce draft for subgroup	Oct	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Sep	
CCWG approval	Oct	

Updates:

- [Public consultation](#) closed on 26 May 2017.
- 10 comments posted.
- Subgroup is analyzing public comments, and assessing changes to be made to the recommendations in light of the public comments filed.

Upcoming Activities:

- Subgroup to finalize revisions of recommendations.
- Subgroup to reach consensus on revisions made, and to seek approval of CCWG plenary on revised draft.

Open Items:

Rapporteurs: Cheryl Langdon-Orr, Farzaneh Badii, Steve DelBianco

of signed-up Active Participants: 42

of signed-up Observers: 40

Useful links:

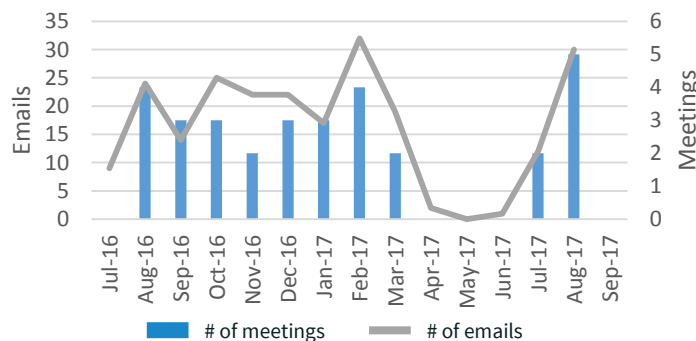
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope: Survey, Analyze, Assess and Recommend any Improvements to ICANN's SO and AC Accountability.

- Track 1: Review and develop recommendations to improve SO/AC processes for accountability, transparency, & participation that are helpful to prevent capture.
- Track 2: Evaluate the proposed "Mutual Accountability Roundtable" to assess its viability and, if viable, undertake the necessary actions to implement it.
- Track 3: Assess whether the IRP would also be applicable to SO & AC activities.

Activity:

Total # of meetings:	31
Total # of emails:	229
Collective hours on calls:	521



Work Plan:

Start work	Aug-16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan17	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr-May	✓
Revise draft	Jun-Sep	
CCWG approval	Oct	

Updates:

- [Public consultation](#) closed on 16 June 2017.
- 5 comments posted.
- Subgroup considered all comments received.
- Subgroup revised recommendations on the basis on inputs received.

Upcoming Activities:

- Subgroup to reach consensus on revisions made, and to seek approval of CCWG plenary on revised draft.

Open Items:

Rapporteurs: Niels ten Oever

of signed-up Active Participants: 71

of signed-up Observers: 53

Useful links:

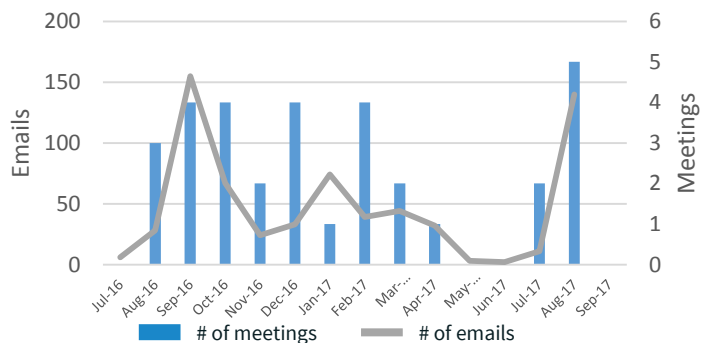
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope:

Develop a framework of interpretation for human rights bylaw, (“FOI-HR”), which was adopted in Work Stream 1. (Bylaws Section 1.2(b)(viii))

Activity:

Total # of meetings:	32
Total # of emails:	658
Collective hours on calls:	816



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Mar17	✓
Produce draft for CCWG	Apr	✓
Produce draft for PC	May	✓
Public Comment	May/June	✓
Revise draft	June-Sep	
CCWG approval	Oct	

Staff Accountability

Reporting Period: **AUGUST 17**

Progress:  50%

Status: **on-track**

Updates:

- Subgroup presented draft issues and recommendations at ICANN59 and received feedback from CCWG-Plenary and ICANN Board caucus group.
- A revised version of the report was reviewed and accepted as first reading (within subgroup). Some minor issues to be resolved before next reading.

Upcoming Activities:

- Second reading (within subgroup) of revised report to ensure it covers the feedback. After successful second reading in subgroup, will submit for first reading to plenary.

Open Items:

Rapporteurs: Avri Doria

of signed-up Active Participants: 24

of signed-up Observers: 18

Useful links:

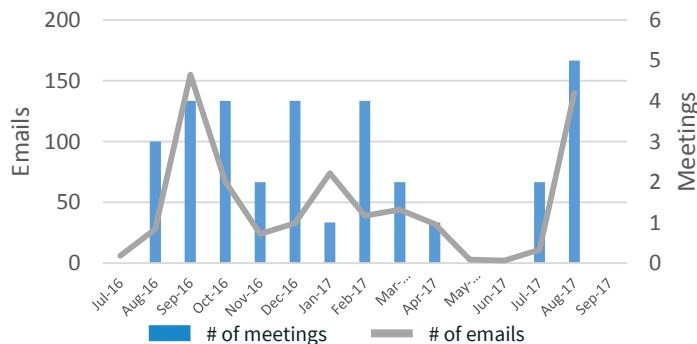
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope: (Annex 12 from CCWG report & ATRT 9.3, 9.4)

- Describe the role of ICANN staff vis-à-vis the ICANN Board and the ICANN community.
- Consider a Code of Conduct, transparency criteria, training, and KPIs to be followed by staff in relation to their interactions with all stakeholders .
- Establish regular independent (internal and community) surveys and audits to track progress and identify areas that need improvement, and establish appropriate processes to escalate issues.

Activity:

Total # of meetings:	27
Total # of emails:	262
Collective hours on calls:	293



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Apr17	✓
Produce draft for CCWG	May-Oct	
Produce draft for PC	Nov	
Public Comment	Nov-Dec	
Revise draft	Jan-Feb18	
CCWG approval	Mar	

Updates:

- Subgroup finalized its draft recommendations.
- Live interpretation was provided on Diversity calls in Spanish, French and English until the first draft was submitted to the Plenary, after an extension was requested and approved.

Upcoming Activities:

- CCWG-Plenary to review subgroup's recommendations (1st reading on 30 August 2017, 2nd reading scheduled for 27 September 2017).

Open Items:

Rapporteurs: Fiona Asonga, Rafik Dammak

of signed-up Active Participants: 51

of signed-up Observers: 43

Useful links:

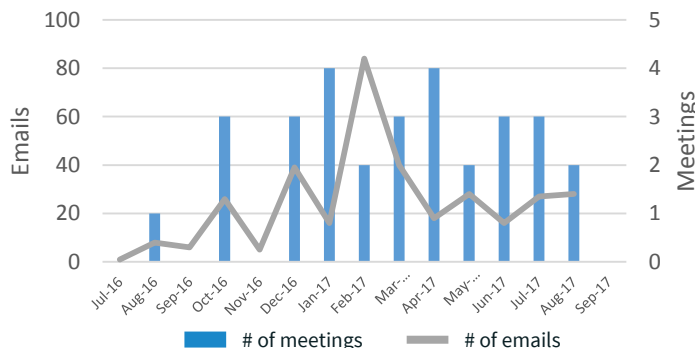
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope:

With reference to recent discussion the group is looking at diversity mechanisms that enhance accountability within ICANN (Leadership, staff and community).

Activity:

Total # of meetings:	30
Total # of emails:	342
Collective hours on calls:	561



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Apr17	✓
Produce draft for CCWG	Jun-Aug	✓
Produce draft for PC	Sep	
Public Comment	Oct-Nov	
Revise draft	Dec-Feb18	
CCWG approval	Mar	

Updates:

- Evaluation report from the external reviewer (Cameron Ralph LLC) was presented at ICANN59 face-to-face meeting.
- External reviewer finalized its report on basis of inputs received from CCWG.
- Subgroup reworded the external reviewer's recommendations in preparation for the final report.

Upcoming Activities:

- Subgroup to begin work on a draft final report.

Open Items:

- Coordination with other sub-groups:
 - Transparency
 - Staff Accountability
 - Human Rights
 - Diversity
 - Accountability SO/AC

Rapporteurs: Sebastien Bachollet

of signed-up Active Participants: 23

of signed-up Observers: 22

Useful links:

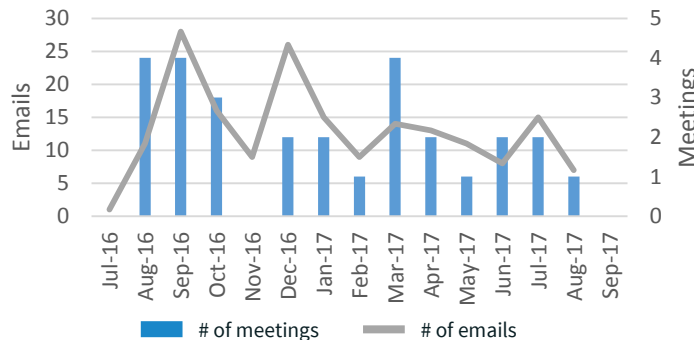
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope:

Evaluate the current Ombudsman charter and operations against industry best practices and recommend any changes necessary to ensure that the ICANN Ombuds Office (IOO) has the tools, independence, and authority needed to be an effective voice for ICANN stakeholders.

Activity:

Total # of meetings:	28
Total # of emails:	183
Collective hours on calls:	371



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Sep17	
Produce draft for CCWG	Oct	
Produce draft for PC	Nov	
Public Comment	Nov-Dec	
Revise draft	Jan-Feb18	
CCWG approval	Mar	

Updates:

- Working on consolidating a list of issues that the subgroup shall focus on and will provide recommendations against.

Upcoming Activities:

- Subgroup to finalize list, prioritize issues and start elaborating recommendations against highest-priority issues.

Open Items:

Rapporteurs: Greg Shatan

of signed-up Active Participants: 72

of signed-up Observers: 41

Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

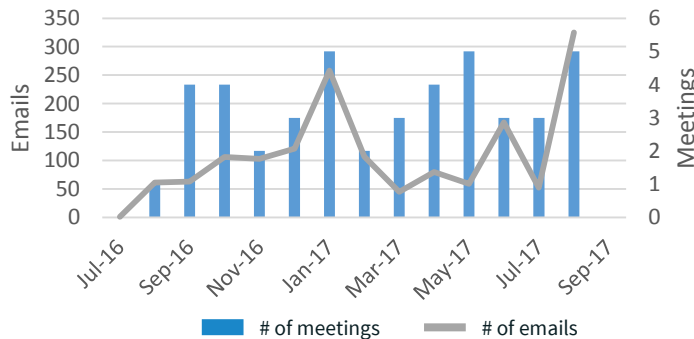
Description / Scope: (Based on Annex 12)

Main issues relate to influence of ICANN’s existing jurisdiction on the actual operation of policies and accountability mechanisms, primarily disputes involving ICANN, i.e., “choice of law” and “venue”, but not necessarily the place of ICANN incorporation. This focus should include:

- Confirming and assessing the gap analysis, clarifying all concerns regarding the multi-layer jurisdiction issue.
- Identifying potential alternatives and benchmarking their ability to match all CCWG-Accountability requirements using the current framework.

Activity:

Total # of meetings:	44
Total # of emails:	1548
Collective hours on calls:	1084



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Apr	✓
Produce draft for subgroup	Sep17	
Produce draft for CCWG	Oct	
Produce draft for PC	Nov	
Public Comment	Nov-Dec	
Revise draft	Jan-Feb18	
CCWG approval	Mar	

Review of the CEP

Reporting Period: **AUGUST 17**

Status: cancelled

Updates:

- CEP subgroup lost its rapporteur.
- CCWG-WS2 Plenary approved proposal from IRP-IOT (Independent Review Process Implementation Oversight Team) to lump the CEP-related effort under IRP-IOT's on-going work.

Upcoming Activities:

- Review of the CEP will continue under the [IRP IOT](#) on-going effort (Independent Review Process Implementation Oversight Team).

Open Items:

Rapporteurs:

of signed-up Active Participants: 18

of signed-up Observers: 12

Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

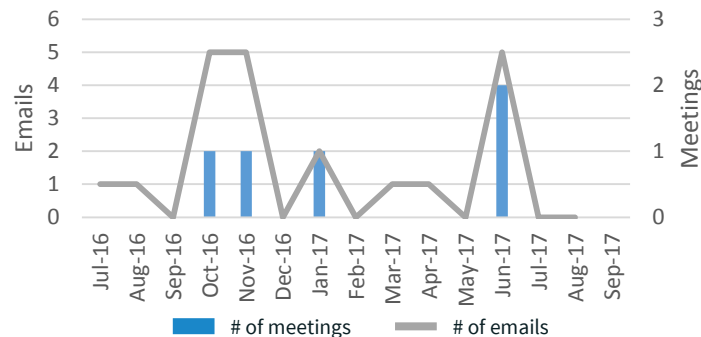
Description / Scope:

The CEP is a voluntary process available prior to the filing of a request for Independent Review.

This subgroup is charged with reviewing Bylaws provisions relating to the CEP and with constructing the CEP Rules, per ICANN Bylaws §4.3(e)(i).

Activity:

Total # of meetings:	5
Total # of emails:	21
Collective hours on calls:	44

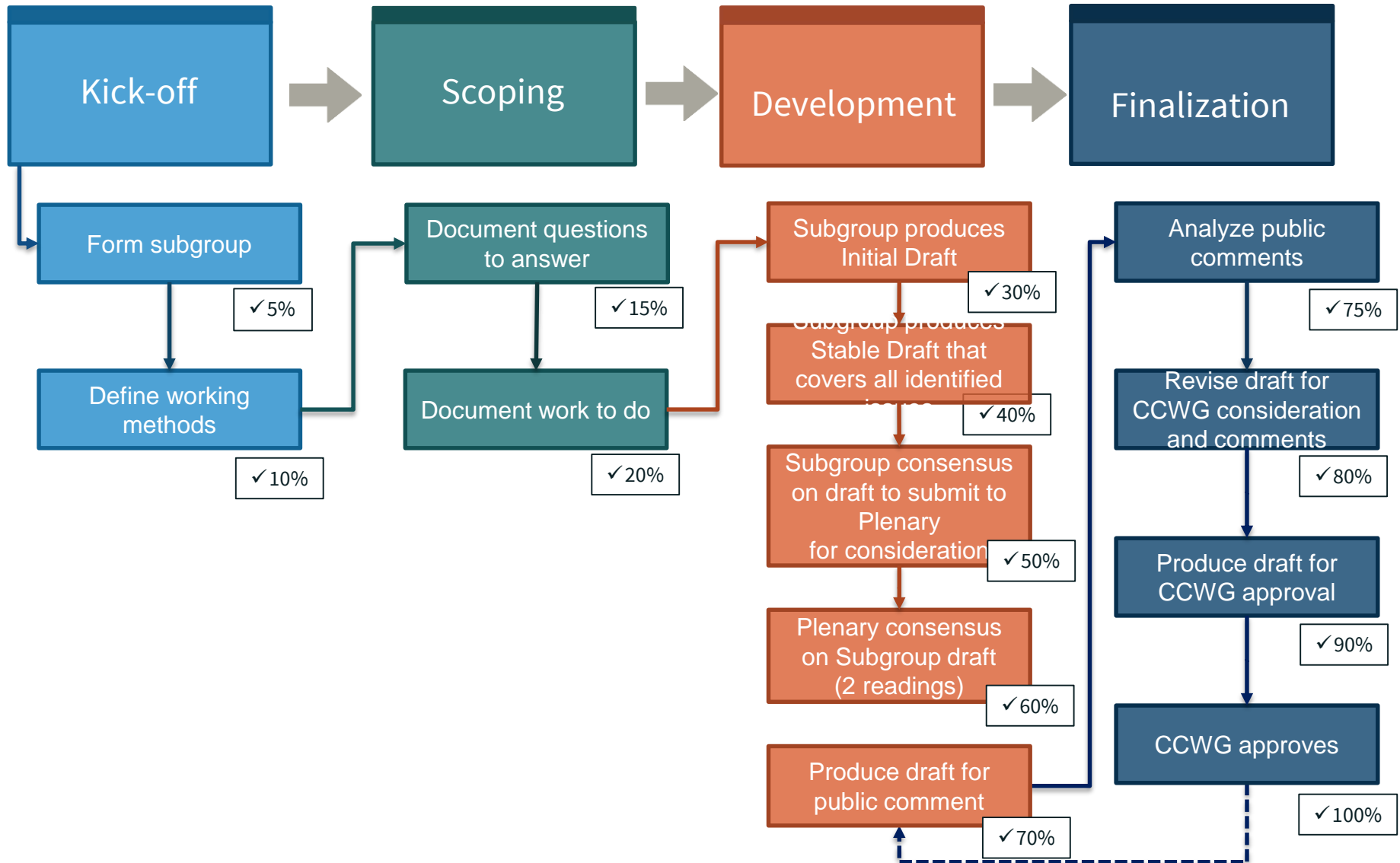


Work Plan:

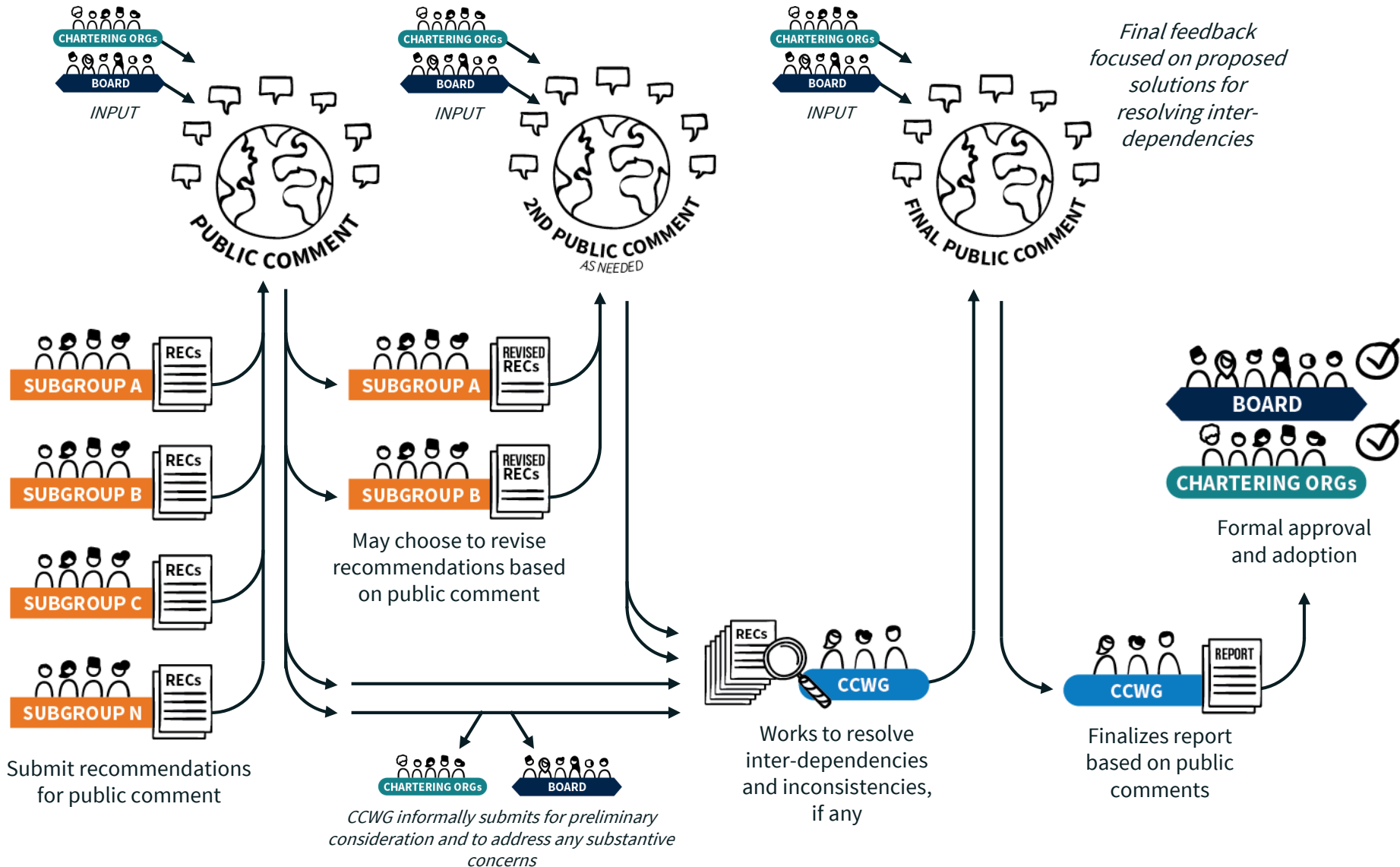
See IRP-IOT's work plan.

Appendix

Measurement of Progress



Approval Process



Steps & milestones to finalize recommendations

Subgroup Report		
Subgroup produces Initial draft	30%	10-Aug-17
Subgroup produces stable draft that covers all identified issues	40%	7-Sep-17
Subgroup consensus on draft to submit to plenary for consideration	50%	5-Oct-17
Plenary consensus on subgroup draft (1st reading)		12-Oct-17
Plenary consensus on subgroup draft (2nd reading)	60%	27-Oct-17
ICANN 60		28-Oct-17
		3-Nov-17
Staff produces draft for public comment	70%	17-Nov-17
Public Comment Period		17-Nov-17
		29-Dec-17
Winter break		23-Dec-17
		7-Jan-18
Analyze public comments	75%	22-Jan-18
Revise draft for CCWG consideration and comments	80%	16-Feb-18
CCWG review (1st reading)	90%	23-Feb-18
CCWG review (2nd reading)	95%	9-Mar-18

Final Report		
Consolidate WS2 recommendations / focus on inconsistencies & interdependencies (start)		17-Nov-17
CCWG draft final report review (1st reading)		9-Mar-18
ICANN 61		10-Mar-18
		15-Mar-18
CCWG draft final report review (2nd reading)		29-Mar-18
Staff produces draft for public comment		12-Apr-18
Public Comment Period		12-Apr-18
		24-May-18
Analyze public comments		31-May-18
Revise draft for CCWG consideration and comments		10-Jun-18
CCWG final report review (1st reading)		17-Jun-18
CCWG final report review (2nd reading)	100%	24-Jun-18
ICANN 62		25-Jun-18
		28-Jun-18

Reporting Schedule

- Cadence reduced to bi-monthly Report (every other month)
- Publication will be rushed to be ready for ICANN meetings as necessary:
 - ICANN 59: June 17 Dashboard (rushed)
 - Early September: August 17 Dashboard (regular)
 - ICANN 60: October 17 Dashboard (rushed)
- 25th of the month (M): subgroups rapporteurs provide their monthly update.
- 25th + 5 business days: PCST provides draft financial statement of activity to CCWG co-chairs for previous period (M-1).
- End of month: staff updates report with all statistical data of the month (M)
- 25th + 10 business days: dashboard including activity of month (M), and financial information of month (M-1) is published at the beginning of the next month (M+1).

CCWG-Accountability-WS2 Wiki

<https://community.icann.org/display/WEIA/WS2+-+Enhancing+ICANN+Accountability+Home>