

CCWG-Accountability WorkStream 2

Activity Dashboard



Prepared for ICANN60 – updated on 23 October 2017
October 2017

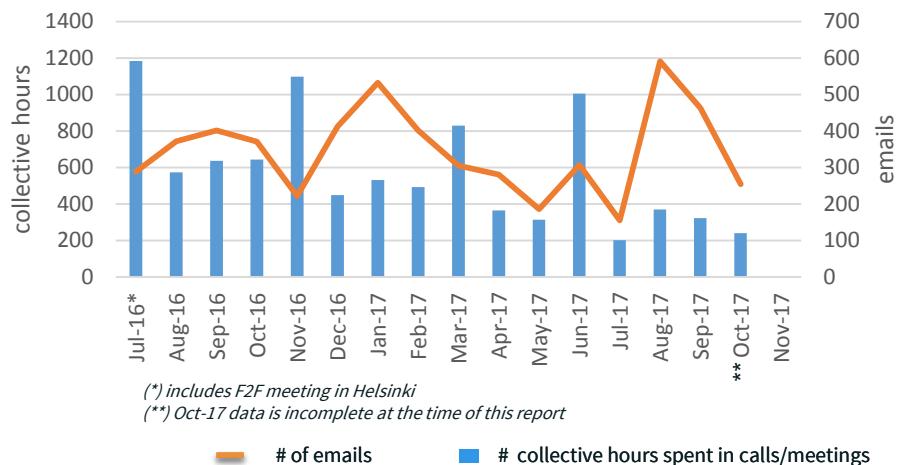
() Produced on behalf of the CCWG-Accountability, and approved by the CCWG co-chairs. All status updates found in this report are provided or approved by subgroups rapporteurs. Statistics are based on data available from the [WS2 wiki](#).*

WS2 Overview

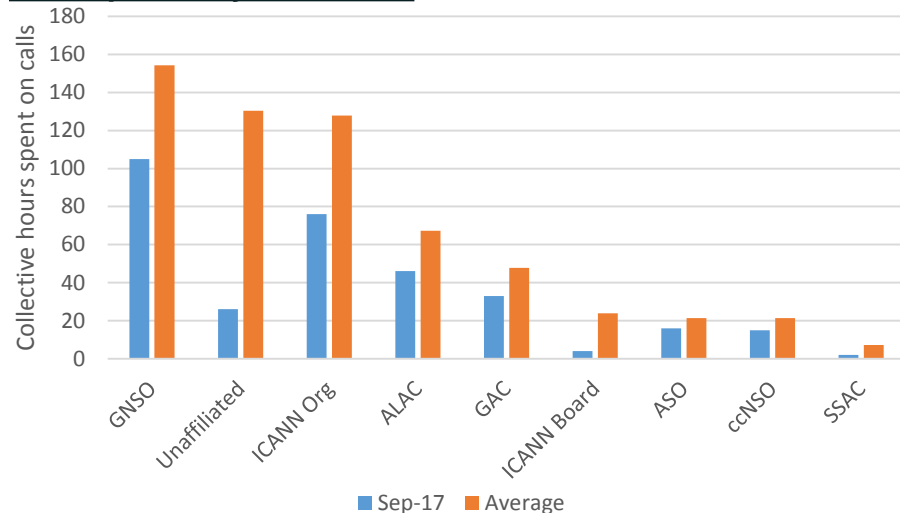
WS2 Monthly Activity Update

Reporting Period:
OCTOBER 17

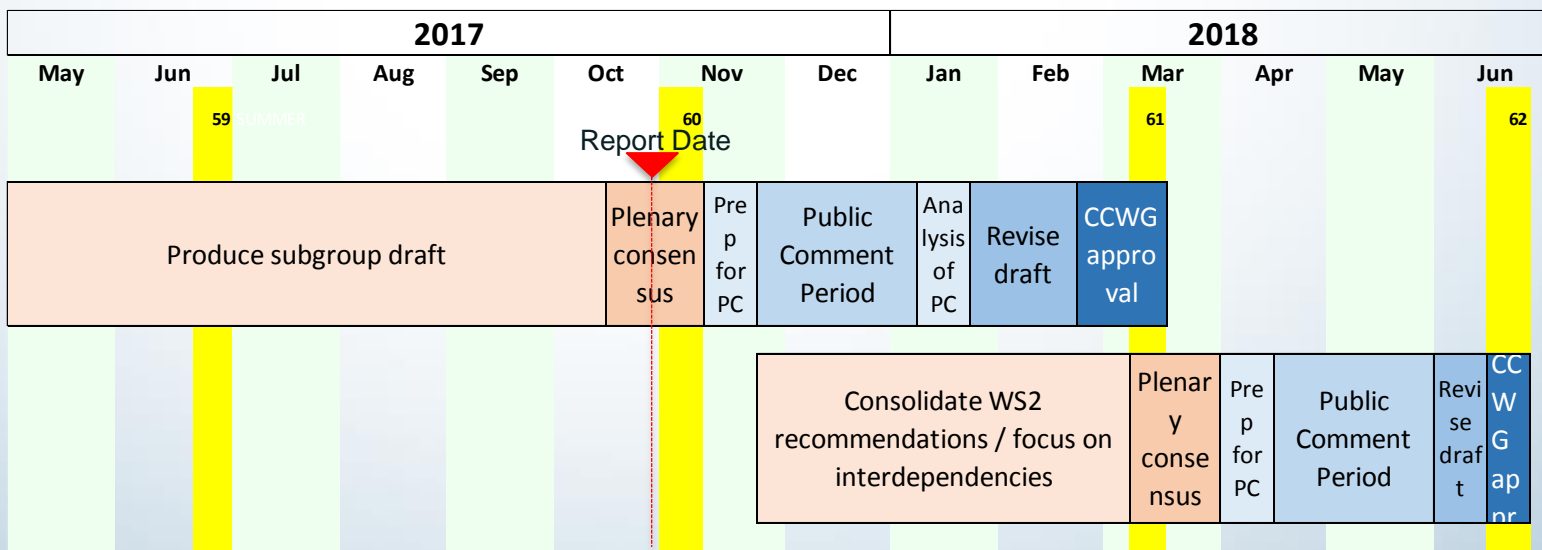
Monthly Activity (plenaries & subgroups):



Participation by Affiliation:



FY18 Timeline:



















Based on data available from the WS2 wiki – this is a high level summary of the work underway . (*) Work plans may vary by subgroups.

Updated on
23 Oct 2017

Subgroups Progress Update

Progress:  79%

Reporting Period: **OCTOBER 2017**

Topic	Meetings this month	Progress	Status	Updates	Focus / Concerns / Risks
SO/AC Accountability	0	 100% (+ 25 %)		<ul style="list-style-type: none"> Subgroup revised recommendations. CCWG-Plenary approved revised recommendations. This topic is now completed. 	<ul style="list-style-type: none"> Changes made are minor, and do not require a second public consultation.
Human Rights	0	 100% (+ 25 %)		<ul style="list-style-type: none"> Subgroup revised recommendations. CCWG plenary approved revised recommendations. This topic is now completed. 	<ul style="list-style-type: none"> Changes made are minor, and do not require a second public consultation.
Transparency	2	 90% (+ 20 %)		<ul style="list-style-type: none"> Several meetings with ICANN Legal between May and Oct 2017. Subgroup revised recommendations #2, #15, and #16. Plenary reviewing revised recommendations. 	<ul style="list-style-type: none"> 2nd Plenary reading scheduled at ICANN60 F2F meeting.
Guidelines for Good Faith...	0	 75% (+ 0 %)		<ul style="list-style-type: none"> Subgroup revised recommendations on the basis of inputs received. 	<ul style="list-style-type: none"> Waiting for ASO to provide feedback on proposed revisions made to address their comments.
Staff Accountability	3	 70% (+20 %)		<ul style="list-style-type: none"> Subgroup finalized its draft recommendations. CCWG-Plenary approved the recommendations. 	<ul style="list-style-type: none"> Staff to prepare report for Public Comment Period.
Diversity	2	 70% (+ 20 %)		<ul style="list-style-type: none"> Subgroup finalized its draft recommendations. CCWG-Plenary approved the recommendations. 	<ul style="list-style-type: none"> Staff to prepare report for Public Comment Period.
Ombuds Office	1	 70% (+ 30 %)		<ul style="list-style-type: none"> Subgroup finalized its draft recommendations. CCWG-Plenary approved the recommendations. 	<ul style="list-style-type: none"> Staff to prepare report for Public Comment Period.
Jurisdiction	5	 55% (+ 30 %)		<ul style="list-style-type: none"> Subgroup finalized its draft recommendations. CCWG-Plenary is reviewing draft recommendations. 	<ul style="list-style-type: none"> 2nd Plenary reading scheduled at ICANN60 F2F meeting.
Review of the CEP	0		Merged with IRP-IOT	<ul style="list-style-type: none"> Review of the CEP effort continuing under IRP-IOT (Independent Review Process Implementation Oversight Team). 	



Plenary Reviews and Public Comment Periods Schedule

	CCWG Plenary 1 st reading	CCWG Plenary 2 nd reading	Public Comments
1st drafts			
Diversity	✓ 08/30/17	✓ 09/27/17	TBA
Staff Accountability	✓ 09/27/17	✓ 10/11/17	TBA
Ombudsman	✓ 10/11/17	✓ 10/18/17	TBA
Jurisdiction	✓ 10/18/17	10/27/17	TBD
2nd drafts (revised after first public consultation)			
SO/AC Accountability	✓ 09/27/17	✓ 10/11/17	N/A (minor edits)
Human Rights	✓ 10/11/17	✓ 10/18/17	N/A (minor edits)
Transparency	✓ 10/18/17	10/27/17	TBD
Good Faith Conduct	TBD	TBD	N/A (tbc)

WS2 FY18 Budget Update

Reporting Period: **September 2017 YTD – Three months**
(July 1, 2017 – September 30, 2017)

Amounts in USD Thousands (000s)	September 2017 YTD - Three months July 1, 2017 - September 30, 2017							% Spend To-Date	2018 Budget Remaining	2018 Total Budget	% Budget To-Date
	Staff	Travel & Meetings	Telecom & Language Support	Legal Services	US Gov't Affairs (Lobbying)	Other Professional Services	Total				
Cross Community Working Group Support											
IRP Phase 2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	\$ 88	\$ 88	25%
Accountability WS2	-	-	-	-	-	-	-	0%	563	563	25%
Total Costs - Cross Community Working Group Support	-	-	-	-	-	-	-	0%	650	650	25%
ICANN Support											
IRP Phase 2	-	-	-	-	-	-	-	0%	88	88	25%
Accountability WS2	198	-	9	-	-	58	264	11%	2,095	2,360	25%
Total Costs - ICANN Support	198	-	9	-	-	58	264	11%	2,183	2,447	25%
Total IANA Transition Project											
IRP Phase 2	-	-	-	-	-	-	-	0%	175	175	25%
Accountability WS2	198	-	9	-	-	58	264	9%	2,658	2,922	25%
Total Costs - IANA Transition Project	\$ 198	\$ -	\$ 9	\$ -	\$ -	\$ 58	\$ 264	9%	\$ 2,833	\$ 3,097	25%
Total Budget by Cost Category	\$ 937	\$ 160	\$ 450	\$ 700	\$ -	\$ 850	\$ 3,097				
% Spend To-Date vs. Budget by Cost Category	21%	0%	2%	0%	0%	7%	9%				

Notes:

Total spend for YTD September 2017 represents 9% of annual budget as compared to a year-to-date budget of 25%.

- Community Support: spend year-to-date represents legal analyses, supporting the IRP work and community travel expenses for the CCWG meeting at ICANN meetings -- with no actual activity for YTD September 2017.
- ICANN Support represented 11% of the annual spend after three months - below target due to the timing of staff support scheduled.

Subgroup Progress

SO/AC Accountability
Human Rights
Transparency
Guidelines for Good Faith...
Staff Accountability
Diversity
Ombuds Office
Jurisdiction
Review of the CEP

Updates:

- [Public consultation](#) closed on 26 May 2017. 10 comments posted.
- Subgroup revised recommendations.
- CCWG plenary approved revised recommendations.
- Changes made from the previous version of the document which went to public consultation are minor, revised report does not require a second public consultation.
- [The draft report can be found here.](#)
- This topic is now completed.

Upcoming Activities:

- n/a

Open Items:

Rapporteurs: Cheryl Langdon-Orr, Farzaneh Badii, Steve DelBianco

of signed-up Active Participants: 41

of signed-up Observers: 40

Useful links:

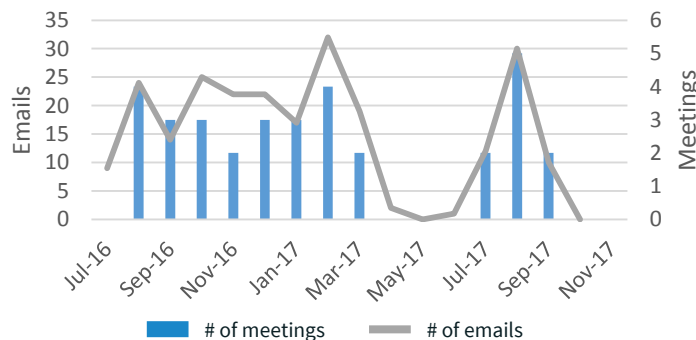
- [Wiki](#) [Draft report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope: Survey, Analyze, Assess and Recommend any Improvements to ICANN's SO and AC Accountability.

- Track 1: Review and develop recommendations to improve SO/AC processes for accountability, transparency, & participation that are helpful to prevent capture.
- Track 2: Evaluate the proposed "Mutual Accountability Roundtable" to assess its viability and, if viable, undertake the necessary actions to implement it.
- Track 3: Assess whether the IRP would also be applicable to SO & AC activities.

Activity:

Total # of meetings:	33
Total # of emails:	239
Collective hours on calls:	546



Work Plan:

Start work	Aug-16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan17	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr-May	✓
Revise draft	Jun-Sep	✓
CCWG approval	Oct	✓

Updates:

- [Public consultation](#) closed on 16 June 2017. 5 comments posted.
- Subgroup revised recommendations on the basis of inputs received.
- Plenary approved revised recommendations on 18 October 2017.
- Changes made from the previous version of the document which went to public consultation are minor. Revised report does not require a second public consultation.
- The draft report can be found [here](#).
- This topic is now completed.

Upcoming Activities:

- n/a

Rapporteurs: Niels ten Oever

of signed-up Active Participants: 69

of signed-up Observers: 52

Useful links:

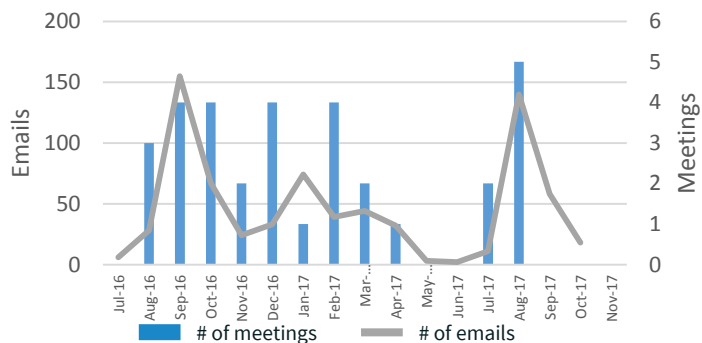
- [Wiki](#) [Draft Report](#)
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Description / Scope:

Develop a framework of interpretation for human rights bylaw, (“FOI-HR”), which was adopted in Work Stream 1. (Bylaws Section 1.2(b)(viii))

Activity:

Total # of meetings:	32
Total # of emails:	734
Collective hours on calls:	816



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Mar17	✓
Produce draft for CCWG	Apr	✓
Produce draft for PC	May	✓
Public Comment	May/June	✓
Revise draft	June-Oct	✓
CCWG approval	Oct	✓

Updates:

- 1st [Public consultation](#) closed on 10 Apr 2017. 13 comments posted.
- Subgroup rapporteur had several meetings with ICANN Legal between May and October 2017 to discuss public comments posted by the organization.
- Subgroup revised recommendation #2 (regarding the Duty to Document), recommendation #15 (regarding Attorney-Client privilege), and recommendation #16 (regarding NDAs and open contracting).
- Plenary is reviewing [revised recommendations](#) (2nd reading scheduled at ICANN60 F2F meeting).

Upcoming Activities:

- It is expected that the changes made from the previous version of the document which went to public consultation are not significant enough that the plenary will require a second public consultation.

Open Items:

Rapporteurs: Michael Karanicolas

of signed-up Active Participants: 35

of signed-up Observers: 28

Useful links:

- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

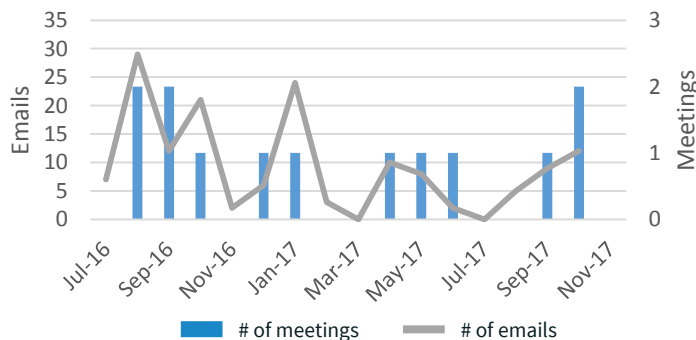
Description / Scope:

Our research focuses on the following three areas:

1. Enhancements to ICANN's existing Documentary Information Disclosure Policy (DIDP).
2. Proactive Disclosure Policies (including interactions with governments and Board deliberations).
3. Improvements to the existing whistleblower policy.

Activity:

Total # of meetings:	13
Total # of emails:	150
Collective hours on calls:	190



Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Oct	✓
CCWG approval	Oct	✓

Guidelines for Good Faith Conduct

Reporting Period: OCTOBER 2017

Progress:  75%

Status: on-track

Updates:

- [Public consultation](#) closed on 24 April 2017. 5 public comments were posted.
- Subgroup met with the ASO at ICANN59 to address their comments.
- Subgroup [revised recommendations](#) on the basis of inputs received, and are waiting to hear back from ASO on proposed revisions to the text.

Upcoming Activities:

- Subgroup to seek approval of CCWG plenary on revised draft.

Open Items:

- Waiting for ASO to provide feedback on proposed revisions made to address their comments.

Rapporteurs: Lori Schulman

of signed-up Active Participants: 12

of signed-up Observers: 17

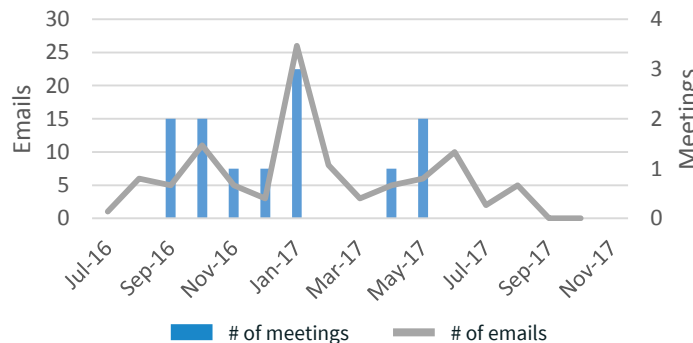
Useful links:

- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope: Decisional Participants of the Empowered Community (EC) have the right to appoint and remove individual Directors. In the event that a Decisional Participant endeavors to remove an individual board member, his actions are indemnified provided the Decisional Participant has acted in “good faith”. The purpose of this sub-team is to draft guidelines for conduct that would be considered good faith actions on the part of the Decisional Participants in order for the indemnification to apply.

Activity:

Total # of meetings:	12
Total # of emails:	96
Collective hours on calls:	129



Work Plan:

Start work	Aug	✓
Document questions to answer	Mid-Sep	✓
Document work to do	Sep	✓
Produce draft for subgroup	Oct	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Oct	
CCWG approval	Oct	

Staff Accountability

Reporting Period: **OCTOBER 2017**

Progress:  70%

Status: **on-track**

Updates:

- Subgroup finalized its [draft recommendations](#).
- CCWG-Plenary approved the recommendations (two readings)

Upcoming Activities:

- Staff to prepare report for Public Comment Period.

Open Items:

Rapporteurs: Avri Doria

of signed-up Active Participants: 24

of signed-up Observers: 18

Useful links:

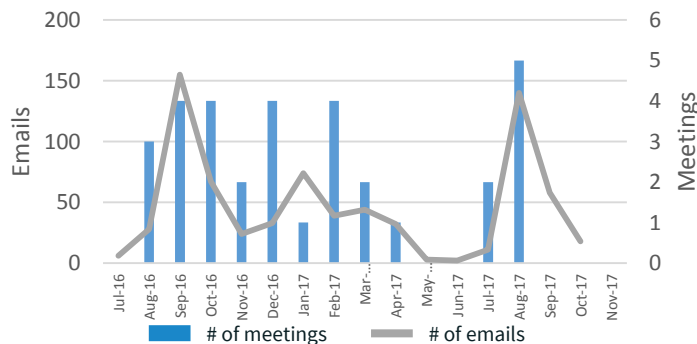
- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope: (Annex 12 from CCWG report & ATRT 9.3, 9.4)

- Describe the role of ICANN staff vis-à-vis the ICANN Board and the ICANN community.
- Consider a Code of Conduct, transparency criteria, training, and KPIs to be followed by staff in relation to their interactions with all stakeholders .
- Establish regular independent (internal and community) surveys and audits to track progress and identify areas that need improvement, and establish appropriate processes to escalate issues.

Activity:

Total # of meetings:	28
Total # of emails:	274
Collective hours on calls:	301



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Apr17	✓
Produce draft for CCWG	May-Oct	✓
Produce draft for PC	Oct	✓
Public Comment	Nov-Dec	
Revise draft	Jan-Feb18	
CCWG approval	Mar	

Updates:

- Subgroup finalized its [draft recommendations](#).
- CCWG-Plenary approved the recommendations (two readings)

Upcoming Activities:

- Staff to prepare report for Public Comment Period.

Open Items:

Rapporteurs: Fiona Asonga, Rafik Dammak

of signed-up Active Participants: 51

of signed-up Observers: 43

Useful links:

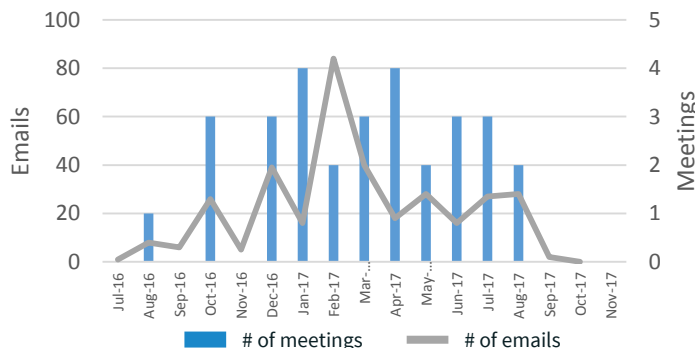
- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope:

With reference to recent discussion the group is looking at diversity mechanisms that enhance accountability within ICANN (Leadership, staff and community).

Activity:

Total # of meetings:	30
Total # of emails:	347
Collective hours on calls:	561



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Apr17	✓
Produce draft for CCWG	Jun-Aug	✓
Produce draft for PC	Sep	✓
Public Comment	Nov-Dec	
Revise draft	Dec-Feb18	
CCWG approval	Mar	

Updates:

- Subgroup finalized its [draft recommendations](#).
- CCWG-Plenary approved the recommendations (two readings)

Upcoming Activities:

- Staff to prepare report for Public Comment Period.

Open Items:

- Coordination with other sub-groups:
 - Transparency
 - Staff Accountability
 - Human Rights
 - Diversity
 - Accountability SO/AC

Rapporteurs: Sebastien Bachollet

of signed-up Active Participants: 23

of signed-up Observers: 22

Useful links:

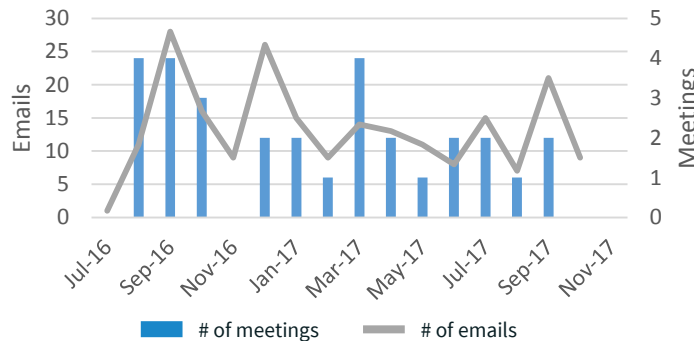
- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

Description / Scope:

Evaluate the current Ombudsman charter and operations against industry best practices and recommend any changes necessary to ensure that the ICANN Ombuds Office (IOO) has the tools, independence, and authority needed to be an effective voice for ICANN stakeholders.

Activity:

Total # of meetings:	30
Total # of emails:	213
Collective hours on calls:	390



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Sep17	✓
Produce draft for CCWG	Sep-Oct	✓
Produce draft for PC	Oct	✓
Public Comment	Nov-Dec	
Revise draft	Dec-Feb18	
CCWG approval	Mar	

Updates:

- Subgroup finalized its [draft recommendations](#).
- CCWG-Plenary conducted a successful 1st reading of the subgroup's recommendations on 18 Oct 17.

Upcoming Activities:

- CCWG-Plenary to continue reviewing subgroup's recommendations.

Open Items:

- CCWG-Plenary is discussing way-forward for Jurisdiction effort, as not all issues could be addressed within the timeframe of WS2.

Rapporteurs: Greg Shatan

of signed-up Active Participants: 72

of signed-up Observers: 41

Useful links:

- [Wiki](#)
 - [Mailing List archive](#)
 - [Meetings schedule](#)
- [Draft Report](#)

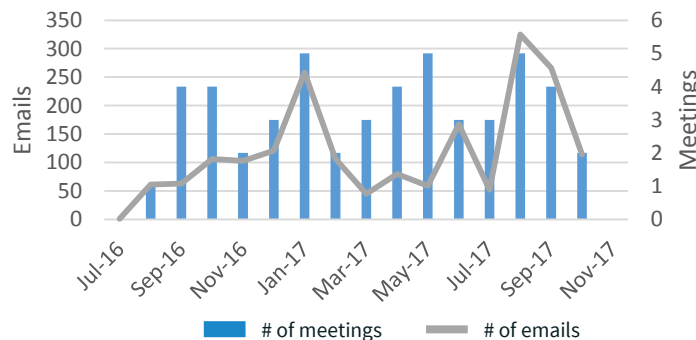
Description / Scope: (Based on Annex 12)

Main issues relate to influence of ICANN's existing jurisdiction on the actual operation of policies and accountability mechanisms, primarily disputes involving ICANN, i.e., "choice of law" and "venue", but not necessarily the place of ICANN incorporation. This focus should include:

- Confirming and assessing the gap analysis, clarifying all concerns regarding the multi-layer jurisdiction issue.
- Identifying potential alternatives and benchmarking their ability to match all CCWG-Accountability requirements using the current framework.

Activity:

Total # of meetings:	50
Total # of emails:	1928
Collective hours on calls:	1209



Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Apr	✓
Produce draft for subgroup	Sep17	✓
Produce draft for CCWG	Oct	✓
Produce draft for PC	Oct-Nov	
Public Comment	Nov-Dec	
Revise draft	Jan-Feb18	
CCWG approval	Mar	

Updates:

- Review of the CEP will continue under the [IRP IOT](#) on-going effort (Independent Review Process Implementation Oversight Team).

Upcoming Activities:

Open Items:

Rapporteurs:

of signed-up Active Participants: 18

of signed-up Observers: 12

Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

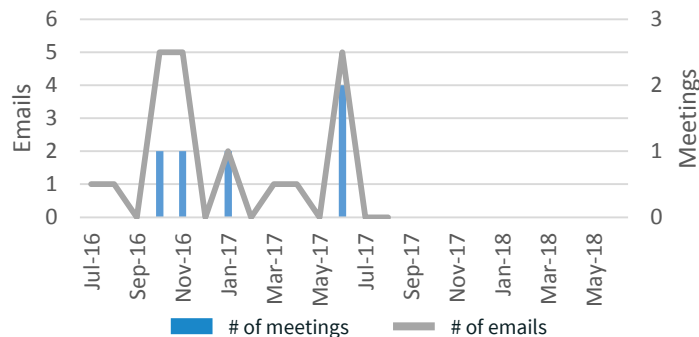
Description / Scope:

The CEP is a voluntary process available prior to the filing of a request for Independent Review.

This subgroup is charged with reviewing Bylaws provisions relating to the CEP and with constructing the CEP Rules, per ICANN Bylaws §4.3(e)(i).

Activity:

Total # of meetings:	5
Total # of emails:	21
Collective hours on calls:	44



Work Plan:

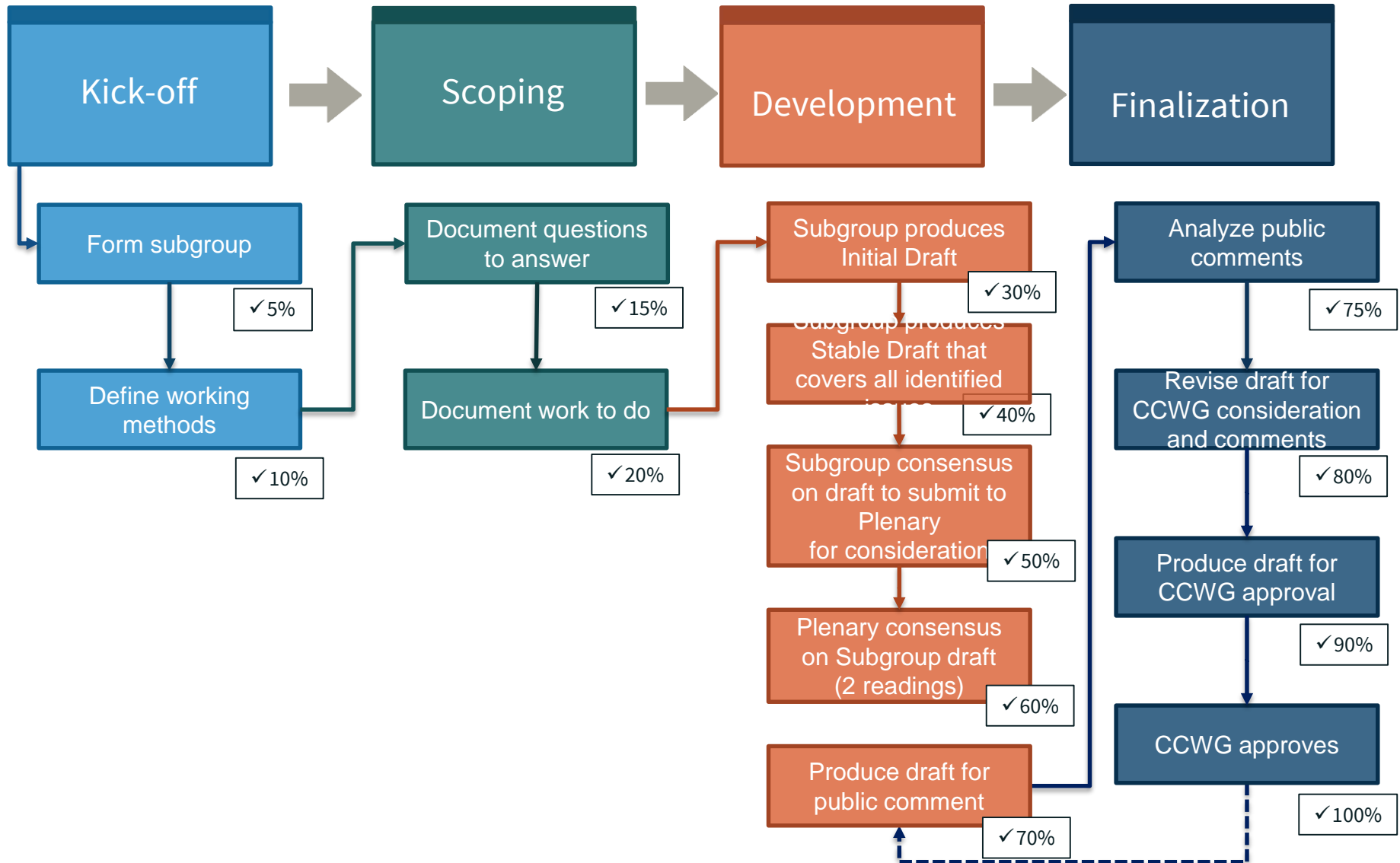
See IRP-IOT's work plan.

Appendix

Links to Draft Recommendations

- [Diversity](#)
- [Guidelines for Good Faith Conduct](#)
- [Human Rights](#)
- [Jurisdiction](#)
- [Ombudsman](#)
- [SO/AC Accountability](#)
- [Staff Accountability](#)
- [Transparency](#)

Measurement of Progress



Steps & milestones to finalize recommendations

Subgroup Report		
Subgroup produces Initial draft	30%	10-Aug-17
Subgroup produces stable draft that covers all identified issues	40%	7-Sep-17
Subgroup consensus on draft to submit to plenary for consideration	50%	5-Oct-17
Plenary consensus on subgroup draft (1st reading)		12-Oct-17
Plenary consensus on subgroup draft (2nd reading)	60%	27-Oct-17
ICANN 60		28-Oct-17
		3-Nov-17
Staff produces draft for public comment	70%	17-Nov-17
Public Comment Period		17-Nov-17
		29-Dec-17
Winter break		23-Dec-17
		7-Jan-18
Analyze public comments	75%	22-Jan-18
Revise draft for CCWG consideration and comments	80%	16-Feb-18
CCWG review (1st reading)	90%	23-Feb-18
CCWG review (2nd reading)	95%	9-Mar-18

Final Report		
Consolidate WS2 recommendations / focus on inconsistencies & interdependencies (start)		17-Nov-17
CCWG draft final report review (1st reading)		9-Mar-18
ICANN 61		10-Mar-18
		15-Mar-18
CCWG draft final report review (2nd reading)		29-Mar-18
Staff produces draft for public comment		12-Apr-18
Public Comment Period		12-Apr-18
		24-May-18
Analyze public comments		31-May-18
Revise draft for CCWG consideration and comments		10-Jun-18
CCWG final report review (1st reading)		17-Jun-18
CCWG final report review (2nd reading)	100%	24-Jun-18
ICANN 62		25-Jun-18
		28-Jun-18

Reporting Schedule

- Cadence reduced to bi-monthly Report (every other month)
- Publication will be rushed to be ready for ICANN meetings as necessary:
 - ICANN 59: June 17 Dashboard (rushed)
 - Early September: August 17 Dashboard (regular)
 - ICANN 60: October 17 Dashboard (rushed)
- 25th of the month (M): subgroups rapporteurs provide their monthly update.
- 25th + 5 business days: PCST provides draft financial statement of activity to CCWG co-chairs for previous period (M-1).
- End of month: staff updates report with all statistical data of the month (M)
- 25th + 10 business days: dashboard including activity of month (M), and financial information of month (M-1) is published at the beginning of the next month (M+1).

CCWG-Accountability-WS2 Wiki

<https://community.icann.org/display/WEIA/WS2+-+Enhancing+ICANN+Accountability+Home>