

# CCWG-Accountability WorkStream 2

## Activity Dashboard



DRAFT  
January 2018

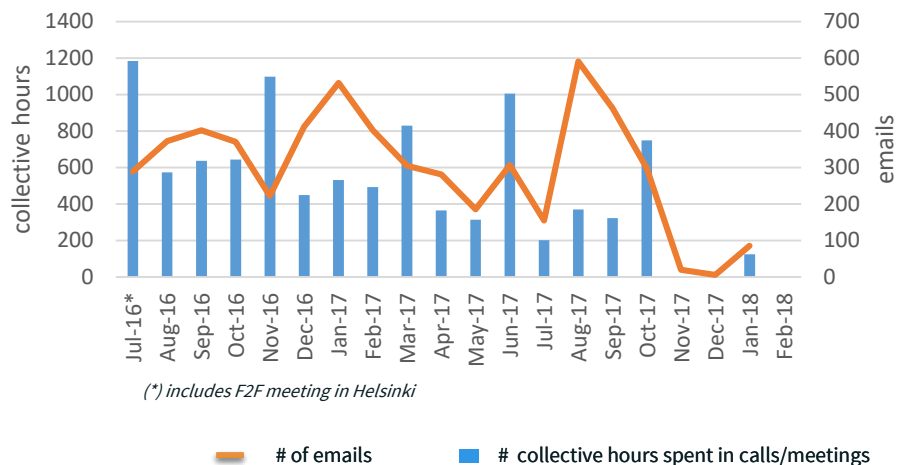
*(\*) Produced on behalf of the CCWG-Accountability, and approved by the CCWG co-chairs. All status updates found in this report are provided or approved by subgroups rapporteurs. Statistics are based on data available from the [WS2 wiki](#).*

# WS2 Overview

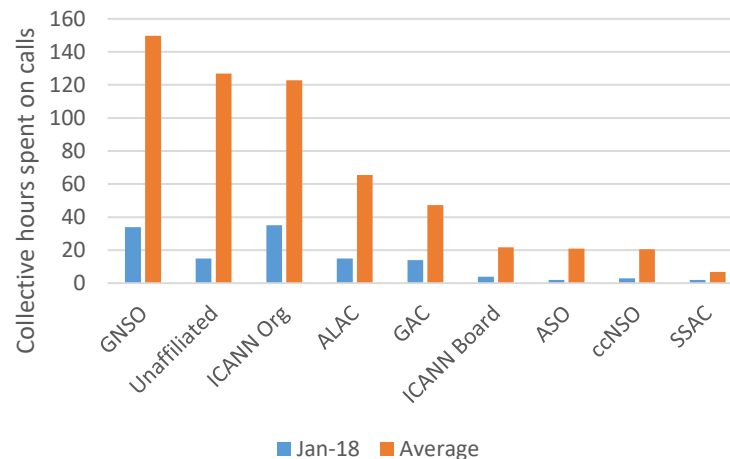
# WS2 Monthly Activity Update

Reporting Period:  
JANUARY 18

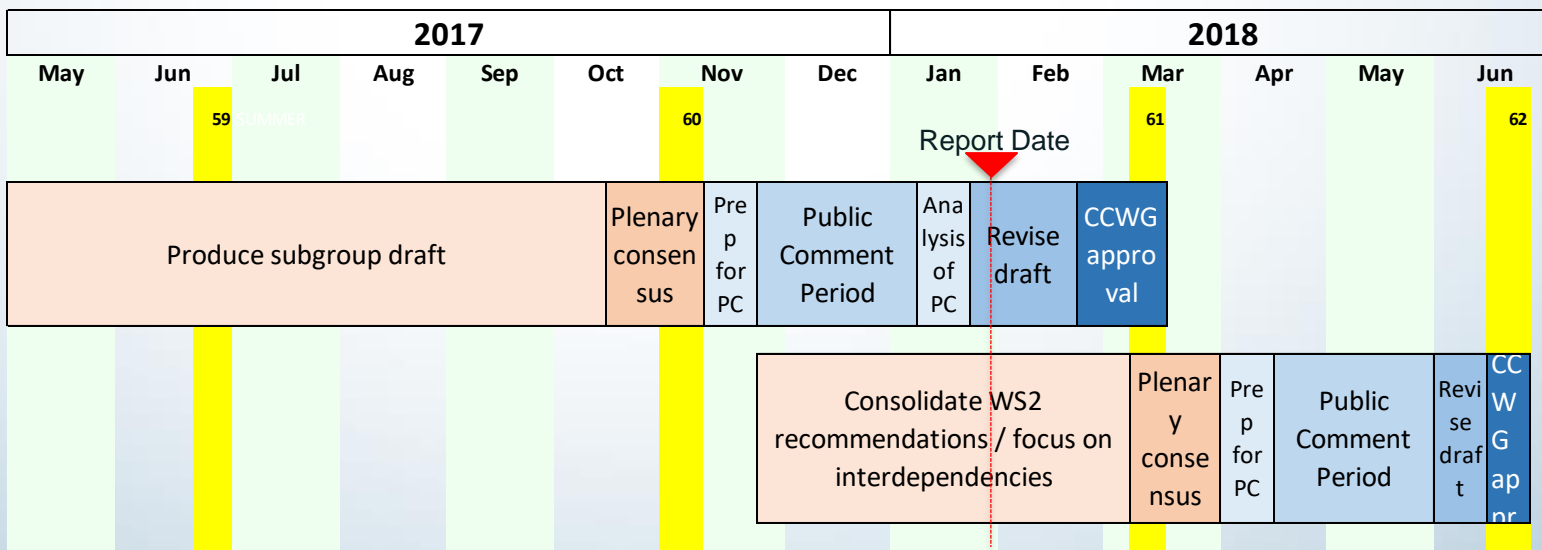
## Monthly Activity (plenaries & subgroups):



## Participation by Affiliation:



## FY18 Timeline:



















Based on data available from the WS2 wiki – this is a high level summary of the work underway . (\*) Work plans may vary by subgroups.

Updated on  
02 Feb 2018

# Subgroups Progress Update

Progress:  85%

Reporting Period: JANUARY 2018

Topic	Meetings this month	Progress	Status	Updates	Focus / Concerns / Risks
SO/AC Accountability	0	 100% (+ 0 %)		<ul style="list-style-type: none"> <li>Subgroup revised recommendations.</li> <li>CCWG-Plenary approved <a href="#">revised recommendations</a>.</li> <li>This topic is now completed.</li> </ul>	<ul style="list-style-type: none"> <li>Changes made are minor, and do not require a second public consultation.</li> </ul>
Human Rights	0	 100% (+ 0 %)		<ul style="list-style-type: none"> <li>Subgroup revised recommendations.</li> <li>CCWG plenary approved <a href="#">revised recommendations</a>.</li> <li>This topic is now completed.</li> </ul>	<ul style="list-style-type: none"> <li>Changes made are minor, and do not require a second public consultation.</li> </ul>
Transparency	0	 100% (+ 10 %)		<ul style="list-style-type: none"> <li>Subgroup revised recommendations.</li> <li>CCWG-Plenary approved <a href="#">revised recommendations</a>.</li> <li>This topic is now completed.</li> </ul>	<ul style="list-style-type: none"> <li>Changes made are minor, and do not require a second public consultation.</li> </ul>
Guidelines for Good Faith...	0	 100% (+ 25 %)		<ul style="list-style-type: none"> <li>Subgroup revised recommendations.</li> <li>CCWG-Plenary approved <a href="#">revised recommendations</a>.</li> <li>This topic is now completed.</li> </ul>	<ul style="list-style-type: none"> <li>Changes made are minor, and do not require a second public consultation.</li> </ul>
Staff Accountability	1	 70% (+0 %)		<ul style="list-style-type: none"> <li><a href="#">Public Comment Period</a> closed on 15 January 2018.</li> <li>8 comments received.</li> <li>Subgroup started analyzing comments received.</li> </ul>	<ul style="list-style-type: none"> <li>Limited time to consider comments and revise recommendations by ICANN61.</li> <li>Low participation in subgroup calls.</li> </ul>
Diversity	2	 70% (+0 %)		<ul style="list-style-type: none"> <li><a href="#">Public Comment Period</a> closed on 15 January 2018.</li> <li>15 comments received.</li> <li>Subgroup started analyzing comments received.</li> </ul>	<ul style="list-style-type: none"> <li>Limited time to consider comments and revise recommendations by ICANN61.</li> </ul>
Ombuds Office	2	 70% (+0 %)		<ul style="list-style-type: none"> <li><a href="#">Public Comment Period</a> closed on 15 January 2018.</li> <li>7 comments received.</li> <li>Subgroup started analyzing comments received.</li> </ul>	<ul style="list-style-type: none"> <li>Limited time to consider comments and revise recommendations by ICANN61.</li> <li>Low participation in subgroup calls.</li> </ul>
Jurisdiction	3	 70% (+ 15 %)		<ul style="list-style-type: none"> <li><a href="#">Public Comment Period</a> closed on 15 January 2018.</li> <li>14 comments received.</li> <li>Subgroup started analyzing comments received.</li> </ul>	<ul style="list-style-type: none"> <li>Limited time to consider comments and revise recommendations by ICANN61.</li> </ul>
Review of the CEP	0		Merged with IRP-IOT	<ul style="list-style-type: none"> <li>Review of the CEP effort continuing under IRP-IOT (Independent Review Process Implementation Oversight Team).</li> </ul>	

# Plenary Reviews and Public Comment Periods Schedule

	1 <sup>st</sup> Drafts			2 <sup>nd</sup> Drafts		
	CCWG Plenary 1 <sup>st</sup> reading	CCWG Plenary 2 <sup>nd</sup> reading	Public Comments	CCWG Plenary 1 <sup>st</sup> reading	CCWG Plenary 2 <sup>nd</sup> reading	Public Comments
Diversity	✓ 08/30/17	✓ 09/27/17	<a href="#">10/26/17 – 01/15/18</a>	TBD	TBD	tbc
Staff Accountability	✓ 09/27/17	✓ 10/11/17	<a href="#">11/13/17 – 01/15/18</a>	TBD	TBD	tbc
Ombudsman	✓ 10/11/17	✓ 10/18/17	<a href="#">11/10/17 – 01/15/18</a>	TBD	TBD	tbc
Jurisdiction	✓ 10/18/17	✓ 10/27/17	<a href="#">11/14/17 – 01/15/18</a>	TBD	TBD	tbc
SO/AC Accountability	✓ 03/10/17	✓ 03/29/17	<a href="#">04/14/17 – 05/26/17</a>	✓ 09/27/17	✓ 10/11/17	N/A (minor edits)
Human Rights	✓ 04/12/17	✓ 04/26/17	<a href="#">05/05/17 – 06/16/17</a>	✓ 10/11/17	✓ 10/18/17	N/A (minor edits)
Transparency	✓ 01/11/17	✓ (02/08/17)	<a href="#">02/21/17 – 04/10/17</a>	✓ 10/18/17	✓ 10/27/17	N/A (minor edits)
Good Faith Conduct	✓ (02/08/17)	✓ (02/22/17)	<a href="#">03/07/17 – 04/24/17</a>	N/A (minor edits)	N/A (minor edits)	N/A (minor edits)

# WS2 FY18 Budget Update

Reporting Period: **September 2017 YTD – Six months**  
(July 1, 2017 – December 31, 2017)

Amounts in USD Thousands (000s)	December 2017 YTD - Six months July 1, 2017 - December 31, 2017							Subtotal YTD Actual plus Committed	% Spend To-Date
	Staff	Travel & Meetings	Telecom & Language Support	Legal Services	US Gov't Affairs (Lobbying)	Other Professional Services	Total		
<b>Cross Community Working Group Support</b>									
IRP Phase 2	\$ -	\$ -	\$ -	\$ 14	\$ -	\$ -	\$ 14	\$ 14	16%
Accountability WS2	-	15	-	-	-	-	15	15	3%
<b>Total Costs - Cross Community Working Group Support</b>	<b>-</b>	<b>15</b>	<b>-</b>	<b>14</b>	<b>-</b>	<b>-</b>	<b>29</b>	<b>29</b>	<b>5%</b>
<b>ICANN Support</b>									
IRP Phase 2	-	-	-	-	-	-	-	-	0%
Accountability WS2	395	-	11	13	-	144	562	562	24%
<b>Total Costs - ICANN Support</b>	<b>395</b>	<b>-</b>	<b>11</b>	<b>13</b>	<b>-</b>	<b>144</b>	<b>562</b>	<b>562</b>	<b>23%</b>
<b>Total IANA Transition Project</b>									
IRP Phase 2	-	-	-	14	-	-	14	14	8%
Accountability WS2	395	15	11	13	-	144	577	577	20%
<b>Total Costs - IANA Transition Project</b>	<b>\$ 395</b>	<b>\$ 15</b>	<b>\$ 11</b>	<b>\$ 27</b>	<b>\$ -</b>	<b>\$ 144</b>	<b>\$ 591</b>	<b>\$ 591</b>	<b>19%</b>
<b>Total Budget by Cost Category</b>	<b>\$ 937</b>	<b>\$ 160</b>	<b>\$ 450</b>	<b>\$ 700</b>	<b>\$ -</b>	<b>\$ 850</b>	<b>\$ 3,097</b>		
% Spend To-Date vs. Budget by Cost Category	42%	9%	2%	4%	0%	17%	19%		

## Notes:

Total spend for YTD December 2017 represents 19% of annual budget as compared to a year-to-date budget of 50%.

- Community Support: spend year-to-date represents legal analyses, supporting the IRP work and community travel expenses for the CCWG meeting at ICANN meetings.
- ICANN Support represents 23% of the annual spend after six months - below target due to:
  - Limited legal expenses.
  - Lower than budgeted subgroups activity and subsequent support.

# Subgroup Progress

SO/AC Accountability  
Human Rights  
Transparency  
Guidelines for Good Faith...  
Staff Accountability  
Diversity  
Ombuds Office  
Jurisdiction  
Review of the CEP

## Updates:

- [Public consultation](#) closed on 26 May 2017. 10 comments posted.
- Subgroup revised recommendations.
- CCWG plenary approved revised recommendations.
- Changes made from the previous version of the document which went to public consultation are minor, revised report does not require a second public consultation.
- [The draft report can be found here.](#)
- This topic is now completed.

## Upcoming Activities:

- n/a

## Open Items:

**Rapporteurs:** Cheryl Langdon-Orr, Farzaneh Badii, Steve DelBianco

**# of signed-up Active Participants:** 41

**# of signed-up Observers:** 40

## Useful links:

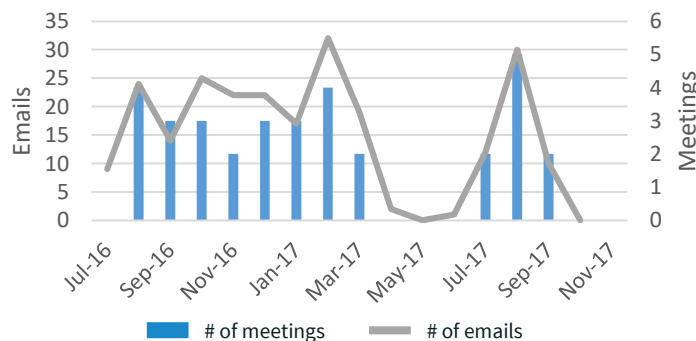
- [Wiki](#) [Draft report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

**Description / Scope:** Survey, Analyze, Assess and Recommend any Improvements to ICANN's SO and AC Accountability.

- Track 1: Review and develop recommendations to improve SO/AC processes for accountability, transparency, & participation that are helpful to prevent capture.
- Track 2: Evaluate the proposed "Mutual Accountability Roundtable" to assess its viability and, if viable, undertake the necessary actions to implement it.
- Track 3: Assess whether the IRP would also be applicable to SO & AC activities.

## Activity:

Total # of meetings:	33
Total # of emails:	239
Collective hours on calls:	546



## Work Plan:

Start work	Aug-16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan17	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr-May	✓
Revise draft	Jun-Sep	✓
CCWG approval	Oct	✓



## Updates:

- [Public consultation](#) closed on 16 June 2017. 11 comments posted.
- Subgroup revised recommendations on the basis of inputs received.
- Plenary approved revised recommendations on 18 October 2017.
- Changes made from the previous version of the document which went to public consultation are minor. Revised report does not require a second public consultation.
- The draft report can be found [here](#).
- This topic is now completed.

## Upcoming Activities:

- n/a

**Rapporteurs:** Niels ten Oever

**# of signed-up Active Participants:** 69

**# of signed-up Observers:** 52

## Useful links:

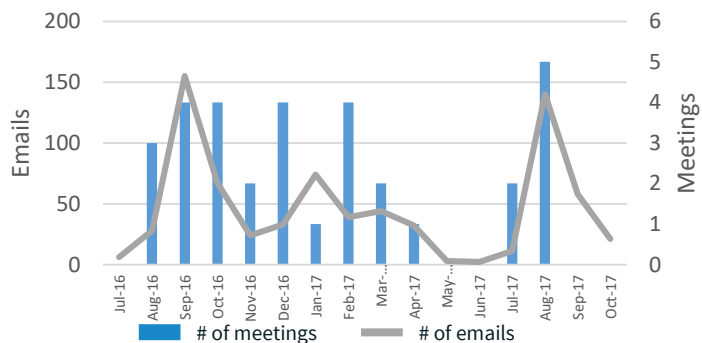
- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

## Description / Scope:

Develop a framework of interpretation for human rights bylaw, ("FOI-HR"), which was adopted in Work Stream 1. (Bylaws Section 1.2(b)(viii) )

## Activity:

Total # of meetings:	32
Total # of emails:	737
Collective hours on calls:	816



## Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Mar17	✓
Produce draft for CCWG	Apr	✓
Produce draft for PC	May	✓
Public Comment	May/June	✓
Revise draft	June-Oct	✓
CCWG approval	Oct	✓

## Updates:

- 1<sup>st</sup> [Public consultation](#) closed on 10 Apr 2017. 13 comments posted.
- Subgroup rapporteur had several meetings with ICANN Legal between May and October 2017 to discuss public comments posted by the organization.
- Subgroup revised recommendation #2 (regarding the Duty to Document), recommendation #15 (regarding Attorney-Client privilege), and recommendation #16 (regarding NDAs and open contracting).
- Plenary is reviewing [revised recommendations](#) (2<sup>nd</sup> reading scheduled at ICANN60 F2F meeting).

## Upcoming Activities:

- It is expected that the changes made from the previous version of the document which went to public consultation are not significant enough that the plenary will require a second public consultation.

## Open Items:

**Rapporteurs:** Michael Karanicolas

**# of signed-up Active Participants:** 35

**# of signed-up Observers:** 28

## Useful links:

- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

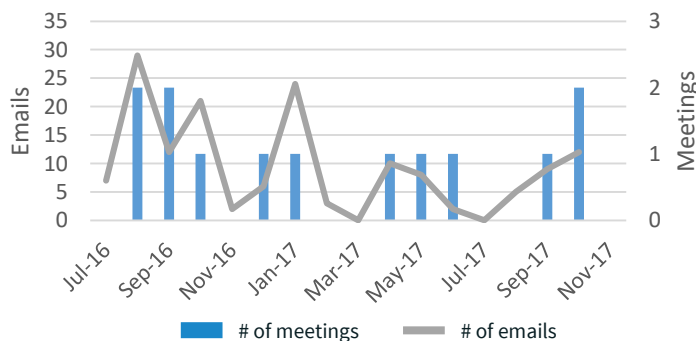
## Description / Scope:

Our research focuses on the following three areas:

- Enhancements to ICANN's existing Documentary Information Disclosure Policy (DIDP).
- Proactive Disclosure Policies (including interactions with governments and Board deliberations).
- Improvements to the existing whistleblower policy.

## Activity:

Total # of meetings:	13
Total # of emails:	151
Collective hours on calls:	190



## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Oct	✓
CCWG approval	Oct	✓

# Guidelines for Good Faith Conduct

Reporting Period: JANUARY 2018

Progress:  100%

Status: completed

## Updates:

- [Public consultation](#) closed on 24 April 2017. 5 public comments were posted.
- Subgroup met with the ASO at ICANN59 to address their comments.
- Subgroup [revised recommendations](#) on the basis of inputs received, and are waiting to hear back from ASO on proposed revisions to the text.

## Upcoming Activities:

- Subgroup to seek approval of CCWG plenary on revised draft.

## Open Items:

- Waiting for ASO to provide feedback on proposed revisions made to address their comments.

**Rapporteurs:** Lori Schulman

**# of signed-up Active Participants:** 12

**# of signed-up Observers:** 17

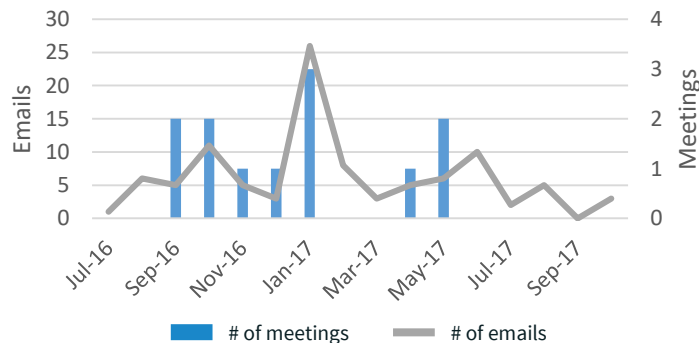
## Useful links:

- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

**Description / Scope:** Decisional Participants of the Empowered Community (EC) have the right to appoint and remove individual Directors. In the event that a Decisional Participant endeavors to remove an individual board member, his actions are indemnified provided the Decisional Participant has acted in “good faith”. The purpose of this sub-team is to draft guidelines for conduct that would be considered good faith actions on the part of the Decisional Participants in order for the indemnification to apply.

## Activity:

Total # of meetings:	12
Total # of emails:	99
Collective hours on calls:	129



## Work Plan:

Start work	Aug	✓
Document questions to answer	Mid-Sep	✓
Document work to do	Sep	✓
Produce draft for subgroup	Oct	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Oct	✓
CCWG approval	Oct	✓

# Staff Accountability

Reporting Period: JANUARY 2018

Progress:  70%

Status: on-track

## Updates:

- Public Comment period closed on 15 January 2018.
- 8 comments received.
- Subgroup started reviewing comments received.

## Upcoming Activities:

- Subgroup to revise recommendations on basis of inputs received.

## Open Items:

- Avri Doria had to step down from her role as rapporteur given her nomination to the Board at ICANN60.

**Rapporteurs:** Jordan Carter

**# of signed-up Active Participants:** 25

**# of signed-up Observers:** 18

## Useful links:

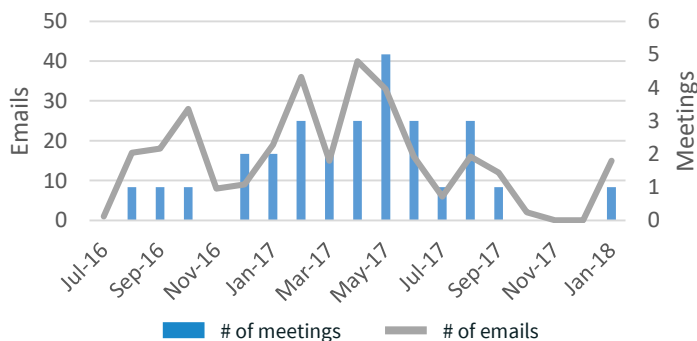
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)
- [Draft Report](#)

**Description / Scope:** (Annex 12 from CCWG report & ATRT 9.3, 9.4)

- Describe the role of ICANN staff vis-à-vis the ICANN Board and the ICANN community.
- Consider a Code of Conduct, transparency criteria, training, and KPIs to be followed by staff in relation to their interactions with all stakeholders .
- Establish regular independent (internal and community) surveys and audits to track progress and identify areas that need improvement, and establish appropriate processes to escalate issues.

## Activity:

Total # of meetings:	29
Total # of emails:	291
Collective hours on calls:	313



## Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Apr17	✓
Produce draft for CCWG	May-Oct	✓
Produce draft for PC	Nov	✓
Public Comment	Nov-Jan	✓
Revise draft	Jan-Feb18	
CCWG approval	Mar	

## Updates:

- Public Comment period closed on 15 January 2018.
- 15 comments received.
- Subgroup started reviewing comments received.

## Upcoming Activities:

- Subgroup to revise recommendations on basis of inputs received.

## Open Items:

## Rapporteurs:

Fiona Asonga, Rafik Dammak

**# of signed-up Active Participants:** 52

**# of signed-up Observers:** 43

## Useful links:

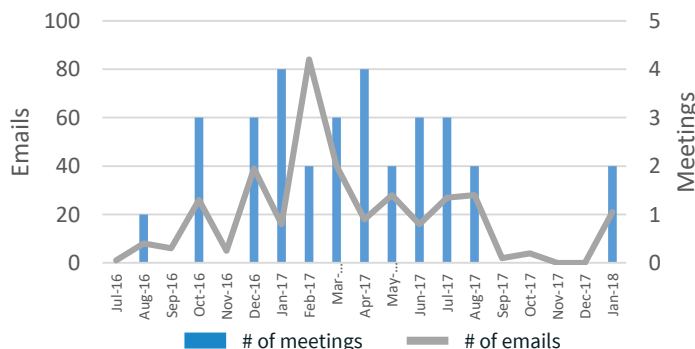
- [Wiki](#) [Draft Report](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

## Description / Scope:

With reference to recent discussion the group is looking at diversity mechanisms that enhance accountability within ICANN (Leadership, staff and community).

## Activity:

Total # of meetings:	32
Total # of emails:	369
Collective hours on calls:	598



## Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Apr17	✓
Produce draft for CCWG	Jun-Aug	✓
Produce draft for PC	Sep	✓
Public Comment	Nov-Jan	✓
Revise draft	Jan-Feb18	✓
CCWG approval	Mar	

## Updates:

- Public Comment period closed on 15 January 2018.
- 7 comments received.
- Subgroup started reviewing comments received.

## Upcoming Activities:

- Subgroup to revise recommendations on basis of inputs received.

## Open Items:

**Rapporteurs:** Sebastien Bachollet

**# of signed-up Active Participants:** 24

**# of signed-up Observers:** 22

## Useful links:

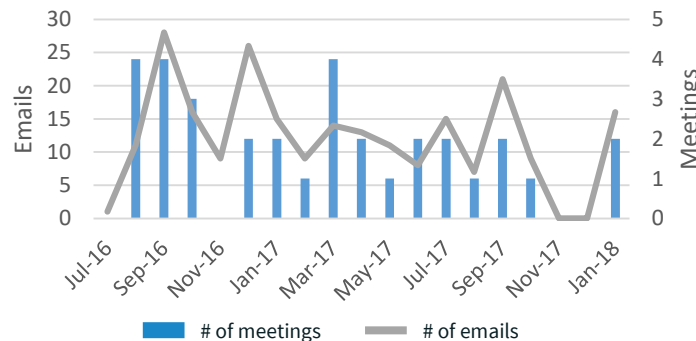
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)
- [Draft Report](#)

## Description / Scope:

Evaluate the current Ombudsman charter and operations against industry best practices and recommend any changes necessary to ensure that the ICANN Ombuds Office (IOO) has the tools, independence, and authority needed to be an effective voice for ICANN stakeholders.

## Activity:

Total # of meetings:	33
Total # of emails:	229
Collective hours on calls:	417



## Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Sep17	✓
Produce draft for CCWG	Sep-Oct	✓
Produce draft for PC	Oct	✓
Public Comment	Nov-Jan	✓
Revise draft	Jan-Feb18	✓
CCWG approval	Mar	

## Updates:

- Public Comment period closed on 15 January 2018.
- 14 comments received.
- Subgroup started reviewing comments received.

## Upcoming Activities:

- Subgroup to revise recommendations on basis of inputs received.

## Open Items:

**Rapporteurs:** Greg Shatan

**# of signed-up Active Participants:** 72

**# of signed-up Observers:** 41

## Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)
- [Draft Report](#)

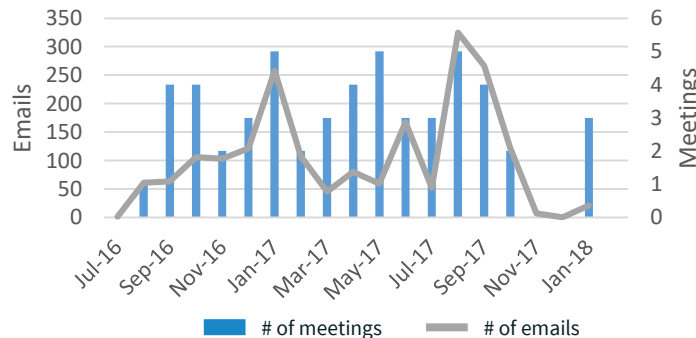
## Description / Scope: (Based on Annex 12)

Main issues relate to influence of ICANN's existing jurisdiction on the actual operation of policies and accountability mechanisms, primarily disputes involving ICANN, i.e., "choice of law" and "venue", but not necessarily the place of ICANN incorporation. This focus should include:

- Confirming and assessing the gap analysis, clarifying all concerns regarding the multi-layer jurisdiction issue.
- Identifying potential alternatives and benchmarking their ability to match all CCWG-Accountability requirements using the current framework.

## Activity:

Total # of meetings:	53
Total # of emails:	1964
Collective hours on calls:	1267



## Work Plan:

Start work	Aug16	✓
Document questions to answer	Sep	✓
Document work to do	Apr	✓
Produce draft for subgroup	Sep17	✓
Produce draft for CCWG	Oct	✓
Produce draft for PC	Oct-Nov	✓
Public Comment	Nov-Dec	✓
Revise draft	Jan-Feb18	
CCWG approval	Mar	

## Updates:

- Review of the CEP will continue under the [IRP IOT](#) on-going effort (Independent Review Process Implementation Oversight Team).

## Upcoming Activities:

## Open Items:

## Rapporteurs:

**# of signed-up Active Participants:** 18

**# of signed-up Observers:** 12

## Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

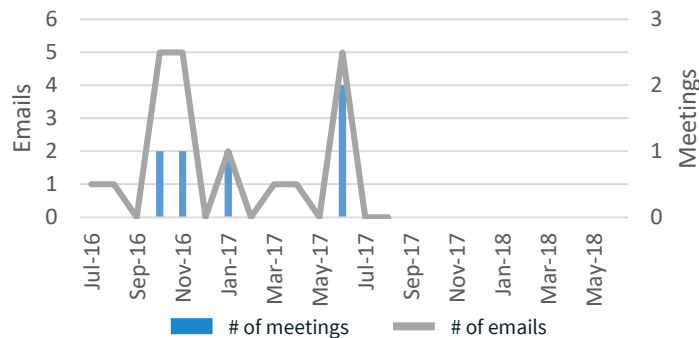
## Description / Scope:

The CEP is a voluntary process available prior to the filing of a request for Independent Review.

This subgroup is charged with reviewing Bylaws provisions relating to the CEP and with constructing the CEP Rules, per ICANN Bylaws §4.3(e)(i).

## Activity:

Total # of meetings:	5
Total # of emails:	21
Collective hours on calls:	44



## Work Plan:

See IRP-IOT's work plan.



# Appendix

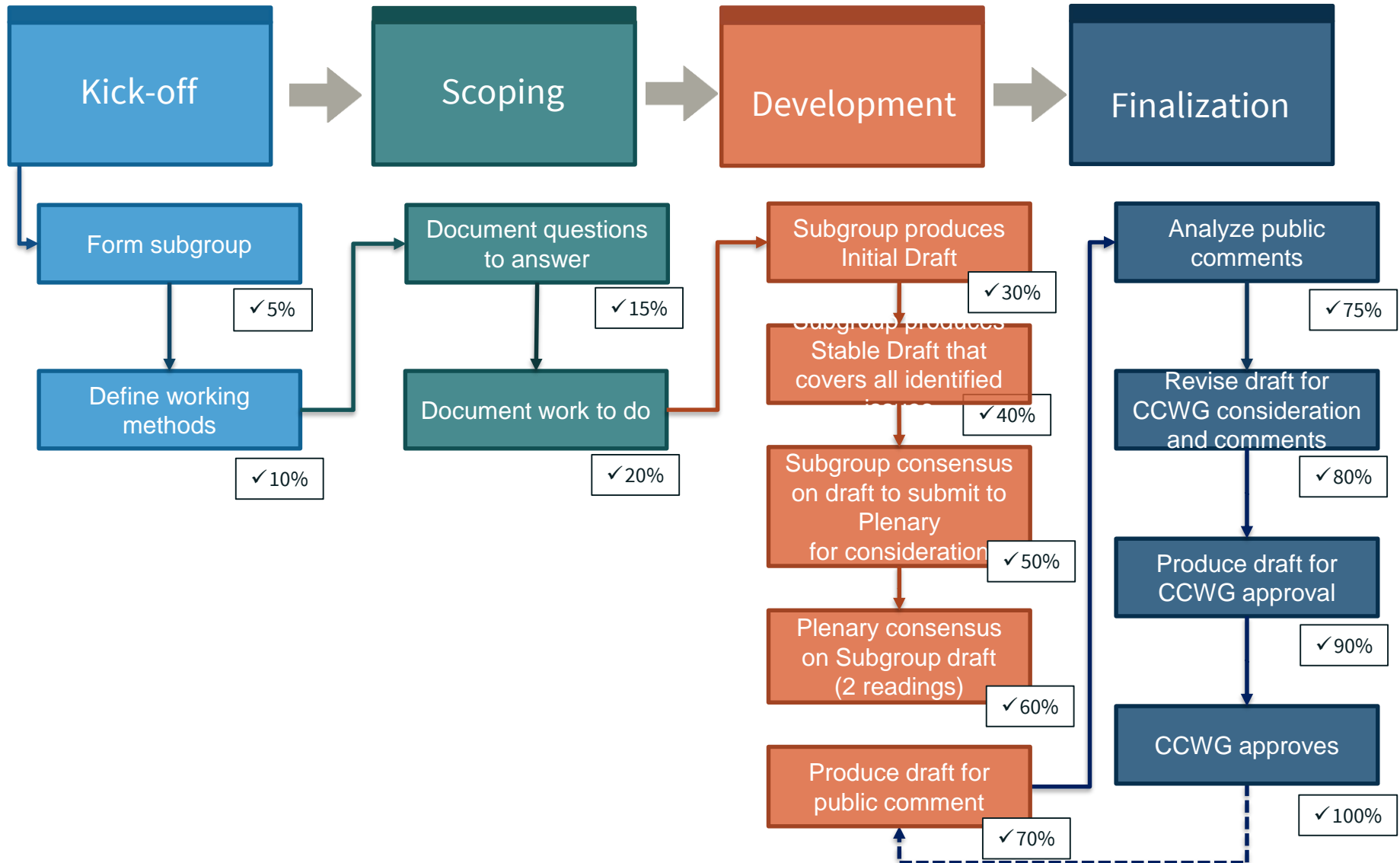
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# Links to Current Recommendations

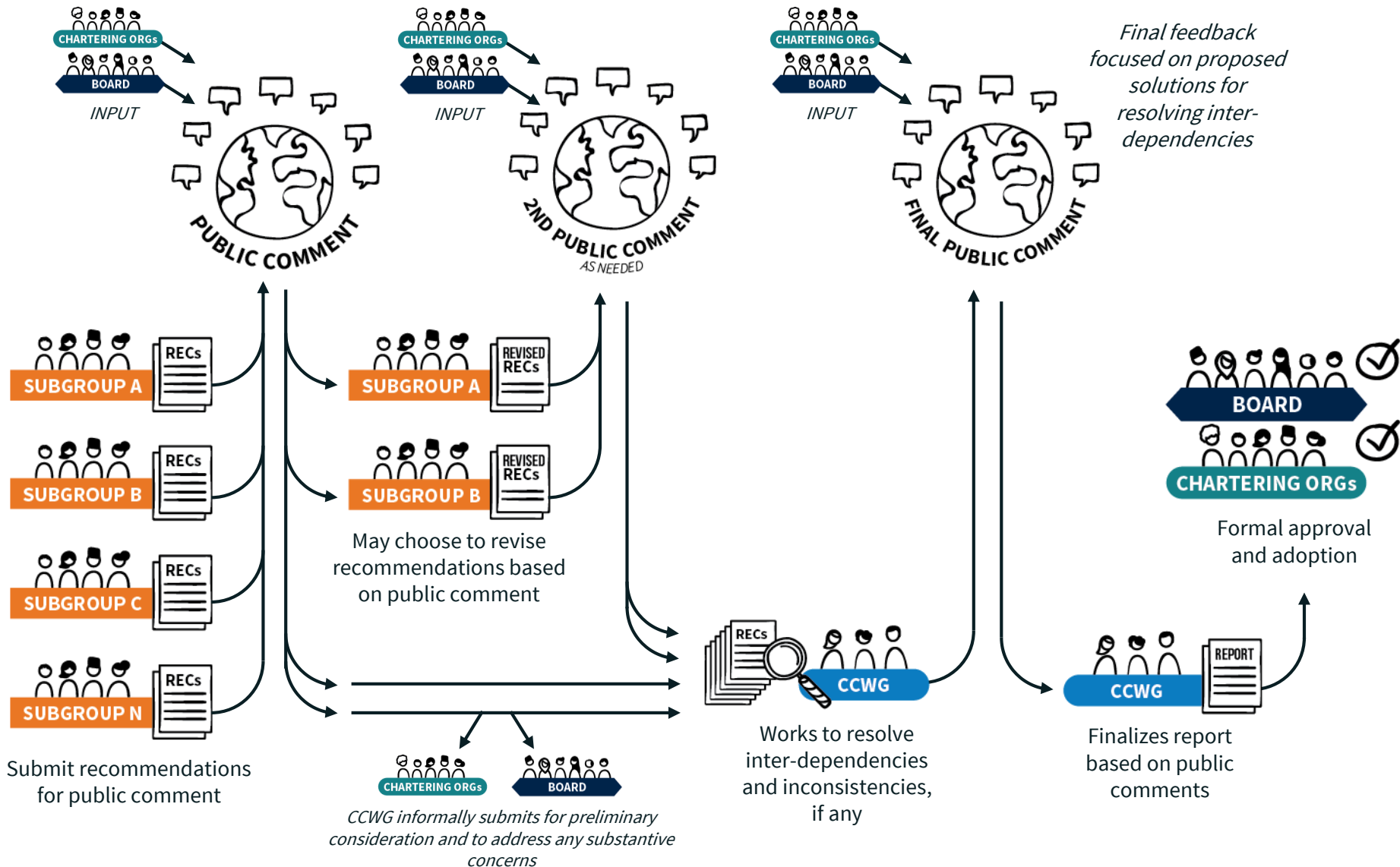
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- [Diversity](#)
- [Guidelines for Good Faith Conduct](#)
- [Human Rights](#)
- [Jurisdiction](#)
- [Ombudsman](#)
- [SO/AC Accountability](#)
- [Staff Accountability](#)
- [Transparency](#)

# Measurement of Progress



# Approval Process



# Steps & milestones to finalize recommendations

Subgroup Report		
Subgroup produces Initial draft	30%	10-Aug-17
Subgroup produces stable draft that covers all identified issues	40%	7-Sep-17
Subgroup consensus on draft to submit to plenary for consideration	50%	5-Oct-17
Plenary consensus on subgroup draft (1st reading)		12-Oct-17
Plenary consensus on subgroup draft (2nd reading)	60%	27-Oct-17
ICANN 60		28-Oct-17
		3-Nov-17
Staff produces draft for public comment	70%	17-Nov-17
Public Comment Period		17-Nov-17
		<del>29-Dec-17</del> 15-Jan-18
		23-Dec-17
End of Year Break		7-Jan-18
Analyze public comments	75%	<del>22-Jan-18</del> 02-Feb-18
Revise draft for CCWG consideration and comments	80%	<del>16-Feb-18</del> 2-Mar-18
CCWG review (1st reading)	90%	<del>23-Feb-18</del> 9-Mar-18
CCWG review (2nd reading)	95%	9-Mar-18 29-Mar-18

Final Report		
Consolidate WS2 recommendations / focus on inconsistencies & interdependencies (start)		17-Nov-17
CCWG draft final report review (1st reading)		9-Mar-18
ICANN 61		10-Mar-18
		15-Mar-18
CCWG draft final report review (2nd reading)		29-Mar-18
Staff produces draft for public comment		12-Apr-18
Public Comment Period		12-Apr-18
		24-May-18
Analyze public comments		31-May-18
Revise draft for CCWG consideration and comments		10-Jun-18
CCWG final report review (1st reading)		17-Jun-18
CCWG final report review (2nd reading)	100%	24-Jun-18
ICANN 62		25-Jun-18
		28-Jun-18

# Reporting Schedule

- Cadence reduced to bi-monthly Report (every other month)
- Publication will be rushed to be ready for ICANN meetings as necessary:
  - Early February: January 18 Dashboard (regular)
  - ICANN61: February 18 Dashboard (rushed)
  - Early May: April 18 Dashboard (regular)
  - ICANN62: June 18 Dashboard (rushed)
- 25<sup>th</sup> of the month (M): subgroups rapporteurs provide their monthly update.
- 25<sup>th</sup> + 5 business days: PCST provides draft financial statement of activity to CCWG co-chairs for previous period (M-1).
- End of month: staff updates report with all statistical data of the month (M)
- 25<sup>th</sup> + 10 business days: dashboard including activity of month (M), and financial information of month (M-1) is published at the beginning of the next month (M+1).

# CCWG-Accountability-WS2 Wiki

<https://community.icann.org/display/WEIA/WS2+-+Enhancing+ICANN+Accountability+Home>