| **SSAC069 Statements** | **DT#** | **Draft Proposal Section #** |
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| **Issue 1:** After the IANA stewardship transition, which (if any) of the specific requirements and deliverables defined in the current IANA Functions Contract should be retained? Is external monitoring necessary for requirements that are retained? If so, how and by whom should it be performed?**Recommendation 1:** The operational communities (protocol parameters, names, and numbers) that have been invited to submit proposals should determine 1) whether or not the requirements and deliverables defined in the IANA Functions Contract should be retained, and if so which ones; 2) whether or not additional external controls are necessary for requirements that should be retained; and 3) if additional external controls are necessary, how and by whom they should be administered. | See next tableABCL | NAIII.A.1.4.2III.A.1.1.3III.A.1.3.1, III.A.1.3.2III.A.1.1.1 |
| **Issue 2:** Are existing mechanisms (other than the IANA Functions Contract) for holding ICANN accountable for the proper performance of the IANA Functions adequate? If not, what additional accountability mechanisms would be needed after the IANA Functions transition?**Recommendation 2a:** Each of the communities should determine whether or not existing mechanisms outside of the IANA Functions Contract are sufficiently robust to hold the IANA Functions Operator accountable to the affected communities for the proper performance of the IANA Functions after the IANA Functions Contract expires; and if they are not, the communities should determine what additional accountability mechanisms will be needed.**Recommendation 2b:** Each of the communities should review and (if necessary) enhance its policy development process to ensure that all of the instructions that it provides to the IANA Functions Operator are clear and implementable. | BCNA | III.A.1.1.3III.A.1.3.1, III.A.1.3.2CCWG? |
| **Issue 3:** In the absence of NTIA involvement after the stewardship transition, would it be more difficult for the IANA Functions Operator to obtain waivers or licenses in order to legally interact with entities subject to governmental sanction?**Recommendation 3:** Each of the communities should investigate and clarify the process for handling the possibility of governmental sanctions and restrictions (e.g., the protocol for obtaining OFAC licenses where US sanctions might interfere with the ability to execute proper instructions to IANA) following the stewardship transition. | K | III.A.1.3.3 |
| **Issue 4:** After the stewardship transition, how can the community discourage outside political or economic interests from attempting to improperly influence the IANA Functions Operator in the performance of the IANA Functions?**Recommendation 4:** As part of the transition process, each of the affected communities should consider the extent to which the importance of transparency and freedom from improper influence in the performance of the IANA Functions might require additional mechanisms or other safeguards. | NA | CCWG? |
| **Issue 5:** Is there a need for a Final Authorization Authority for changes to the root zone following NTIA’s withdrawal from this role? If so, how should that Authority be structured and exercised?**Recommendation 5:** Noting the stability and efficiency of existing structures, processes, and mechanisms for the management of the root zone, the SSAC recommends that any proposal to replace NTIA’s final authorization of root zone changes with an alternative be at least as reliable, resilient, and efficient as the current process. | D | III.A.2 |
| **Issue 6:** What arrangements should be made for post-transition implementation of the Root Zone Management Process?**Recommendation 6:** Effective arrangements should be made for the reliable and timely performance of all aspects of the root zone management process post transition, including inter-organization coordination if the post-transition RZM process involves more than one root zone management partner. | F | III.A.2 |
| **Issue 7:** How will the role of Root Zone Maintainer be performed in the absence of a cooperative agreement with NTIA?**Recommendation 7:** NTIA should clarify the processes and legal framework associated with the role of the Root Zone Maintainer after transition. | F | III.A.2 |

| **Clause No. & Title****Clause Deliverable****Due Date** | **DT#** | **Draft Proposal Section #** |
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| C.2.6 Transparency and AccountabilityUser instructional documentation including technical requirementsOctober 1, 2013 | B? | III.A.1.1.3?, III.A.1.4.3.1 |
| C.2.7 Responsibility and Respect for StakeholdersDocumenting the source of the policies and proceduresOctober 1, 2013 | NA | II.A to II.B, III.A.1.4.3.2 |
| C.2.8 Performance StandardsPerformance StandardsOctober 1, 2013 | A | III.A.1.4.2, III.A.1.4.2.1 |
| C.2.9.2e Root zone AutomationAutomated Root ZoneJuly 1, 2013 | D? | III.A.2, III.A.1.4.1.6 |
| C.2.9.2g Customer Service Complaint Resolution Process (CSCRP)Customer Compliant ProcessOctober 1, 2013 | CM | III.A.1.3.1, III.A.1.3.2III.A.1.1.2 |
| C.3.4 Security PlanDocumenting Practices and configuration of all system Annually(December 15th) | NA | III.A.1.4.3.8 |
| C.4.2 Monthly Performance Progress Report includes DNSSECReport based on C.2 Monthly(15 days following the end of each month) | CN | III.A.1.3.1, III.A.1.3.2III.A.1.4 |
| C.4.3 Root Zone Management DashboardRoot Zone Management DashboardOctober 1, 2013 | N | III.A.1.4, III.A.1.4.2.3 |
| C.4.4 Performance Standards ReportsPerformance Standards ReportOctober 1, 2013 | N | III.A.1.4, III.A.1.4.2.4 |
| C.4.5 Customer Service SurveyCustomer Service SurveyAnnual Report of Customer Survey (December 15th). First Report due December 15, 2013. | C | III.A.1.3.1, III.A.1.3.2III.A.1.4.2.5 |
| C.4.6 Final ReportFinal ReportDue 30-Days After Expiration of Contract |  |  |
| C.5.1. Audit DataAudit Report Annually(By January 15th). First Report due January 15, 2014. | CN | III.A.1.3.1, III.A.1.3.2III.A.1.4.2.6III.A.1.4 |
| C.5.2 Root Zone Management Audit DataRoot Zone Management Audit ReportOctober 1, 2013 | CN | III.A.1.3.1, III.A.1.3.2III.A.1.4.2.7III.A.1.4 |
| C.5.3 External AuditorExternal Audit ReportAnnually (February 15th)First Report due February 15, 2014. | CN | III.A.1.3.1, III.A.1.3.2III.A.1.4.2.8III.A.1.4 |
| C.6.2.4 Conflicts of Interest Enforcement and Compliance ReportEnforcement and Compliance ReportAnnually (January 5th).First Report due January 5, 2014. | J | III.A.1.3.2, III.A.1.4.3.12 |
| C.7.2 Contingency and Continuity of Operations Plan (The CCOP)ConsultationOctober 1, 2013 | L (?) | III.A.1.4.3.14 |
| C.7.3 Transition to SuccessorTransition plan in case of successor contractorApril 14, 2014Eighteen (18) months after start of the contract | L | III.A.1.1.1, III.A.1.4.3.15 |