## New gTLD Subsequent Procedures PDP Working Group

## Constituency Comment 1 Review Tool

21 September 2016

For complete overview of comments received, please see: https://community.icann.org/pages/viewpage.action?pageld=59645660.

Response	# Comment	Who/Where	Related Resources	WG Response	Recommended Action
General Co	omments				
	In its Helsinki Communiqué the GAC advised the ICANN Board that: 1. The starting point for development of policy on further releases of new gTLDs should first take into consideration the results of all relevant reviews of the new gTLD round and determine which aspects and elements need adjustment. In addition, the following should be addressed: a. Requirements with regard to interoperability, security, stability and resiliency can be met. b. An objective and independent analysis of costs and benefits is conducted beforehand, drawing on experience with and outcomes from the recent round; and c. There is an agreed policy and administrative framework that is supported by all stakeholders. 2. All measures available to the Board should be used to ensure that a comprehensive and measured approach to further releases of new gTLDs is taken in a logical, sequential and coordinated way rather than through parallel and overlapping efforts and/or timeframes that may not be agreed by all relevant interests. In your letter you ask that the GAC consider and clarify the extent to which a range of interconnected reviews and policy development processes relevant to new gTLDs. With regard to those identified in your letter, the GAC notes that:	GAC Comments	Helsinki Communiqué: https://www.icann.org/en/system/files/corresponde nce/gac-to-board-30jun16-en.pdf GNSO Council Review of Helsinki Communiqué: https://www.icann.org/en/system/files/corresponde nce/bladel-to-crocker-11aug16-en.pdf GAC Communiqués from Marrakech (ICANN 55), Dublin (ICANN 54), Los Angeles (ICANN 51), Durban (ICANN 47), Costa Rica (ICANN 43), Singapore (ICANN 41) with earlier GAC advice on New gTLD Principles and Future gTLD Rounds: https://gacweb.icann.org/display/GACADV/GAC+Com	The WG thanks the GAC for its comment. While the WG has begun deliberations, it intends to consider inputs from relevant reviews, other PDPs, and other relevant efforts, as dictated by this WG's charter.	No action required.
	<ul> <li>Work by ICANN and some PDPs and reviews to develop and maintain metrics to support both policy development and ongoing implementation should be considered as a specific stream of work.</li> <li>While the GAC is addressing some relevant issues through the GAC Working Groups that you list in your letter, input to PDPs and other forums will be coordinated through the GAC membership as a whole.</li> <li>The GAC's response to the questions from the Subsequent Procedures PDP WG should be seen in the context of the broader policy development landscape. Public policy issues will be addressed by the GAC through all appropriate forums, and the GAC will certainly continue to participate in this PDP. However, it is essential that a comprehensive and measured approach to new gTLD policy be taken in a sequential and coordinated way rather than through too many parallel and overlapping efforts.</li> <li>With regard to existing GAC consensus advice related to new gTLDs, I have separately responded on 18 May 2016 to your request for a historical record of advice or statements relevant to this work. Do not hesitate to come back to us if you have any questions on any of the advice given by the GAC up to now.</li> </ul>	0.60 Commo 11	muniques	The WC sharles the D.CC for "V	
0.82	<ul> <li>RySG Principles:</li> <li>1. Additional new gTLDs in the future. The RySG supports the introduction of new gTLDs in the future.</li> <li>2. Categorization or differentiation of gTLDs (for example brand, geographical, or supported/community) in ongoing new gTLD mechanisms. TheRySG supports the continuation of the categorization of gTLDs as outlined in the New gTLD Applicant Guidebook and the inclusion of brands in any ongoing mechanisms.</li> <li>3. Future new gTLDs assessed in "rounds." The strategic goal for future applications should be the implementation of a continuous process on a first-come, first-served basis. However, the RySG appreciates that there may be one or two further 'application rounds' imposed before this goal can be realistically achieved. In this respect, the RySG recommends that a clear commitment is given to a schedule of further application rounds, with shorter timespans between each round, in line with the original target of one year (AGB section 1.1.6).</li> <li>4. Predictability should be maintained or enhanced without sacrificing flexibility. In the event changes must be introduced into the new gTLD Application process, the disruptive effect to all parties should be minimized. The 2012 round suffered from too many unforeseen post-application rule changes and delays as ICANN struggled to implement the process. These changes and delays took their toll on a number of applicants, and as a result many suffered financial or other losses while some had to eventually withdraw from the process. Predictability for applicants of any future mechanisms should be a high priority.</li> <li>5. Community engagement in new gTLD application processes. The role of the GAC, the Board and the GNSO in resolving issues that arise during any ongoing mechanisms should be well-understood and documented.</li> <li>6. Limiting applications in total and/or per entity during an application window. Notwithstanding the ultimate goal of a continuous proces, the RySG does not support the notion of placing unnec</li></ul>	RySG Comments		The WG thanks the RySG for its comment. The WG will address bullets 1-6 in the course of reviewing the specific subjects. In relation to bullet 7, each of the Work Tracks intends to review the scope of its subjects and determine how best to sequence the subjects, as well as to determine the level of effort required to disposition each subject. The WG is required to consider, at a minimum, the subjects as identified in the charter. If the WG were to narrow the scope of its work, or to identify a set of subjects that would be addressed via a separate effort, it may need to seek to amend its charter through the GNSO Council.	No action required at this time, unless the GNSO Council and the WG were to determine that a phased approach (e.g., similar to the Work Stream approach for CCWG-Accountability) were to be employed for the work of this WG.

		ccNSO Comments		comment. This subject will be	Consider during WT2 deliberations.
0.R3	If we talk about the concerns that the ccNSO have had with respect to the new gTLDs, I think the main one is about the use of country and teritory names as gTLDs. Here's the letter our chair sent back in 2009: http://ccnso.icann.org/about/disspain-to-dengate-thrush- 21nov09-en.pdf			considered during the deliberations of Work Track 2 on the subect of Reserved Names.	
Subject 1. A	dditional New gTLDs in the Future	•			
Initial Finding	s/Conclusions:				
The WG has r the future.	gTLD policy states the new gTLD application process should be an ongoing mechanism to accept applications for new gTLDs. ot agreed upon a set of arguments or data points that would suggest that the existing policy should be overwritten to cease the provision ninimum, anecdotal evidence of demand for additional new gTLDs, although data-driven evidence is being sought and may be provided by				
	mmman, unceded evence of demana for databalance grebs, achough acta anych evence is being sought and may be provided by omes analysis on the effects of the New gTLD Program on competition, diversity, innovation, trust, etc. which may provided by the CCT-RT.				
	Gexpects to consider findings from the CCT-RT, especially as it relates to cost-benefit analyses.				
Anticipated O					
	the WG has agreed that there should be additional new gTLDs in the future				
	nticipated to existing policy. consensus policy above expressed the commitment to an ongoing mechanism for the introduction of new gTLDs. Are there any facts	and/or			
	s that have changed such that you believe this should no longer be the policy? Please explain.	anu/or			
1a.R1	Please see comments above with regard to the GAC's Helsinki Communiqué. The GAC notes that economic analysis commissioned by	GAC Comments	An Economic Framework for the Analysis of the	The WG welcomes analysis on the	Preliminarily, the WG anticipates that
	ICANN in 2010 concluded that the largest sources of potential benefits are likely to be: additional user benefits that arise from innovative new business models that are very different from those of existing TLD registry operators; development of gTLDs to service communities of interest; and expansion of gTLDs to include IDNs that use an expanded character set and can thus offer new benefits to specific user communities. At the present moment, it is not clear whether any of these have been realised from the recent round.		Expansion of gTLDs: https://archive.icann.org/en/topics/new- gtlds/economic-analysis-of-new-gtlds-16jun10-en.pdf Economic Considerations in the Expansion of gTLDs:	effects of the New gTLD Program on competition, diversity, innovation,	the existing policy of additional new gTLDs will continue in the future. The WG will consider the GAC's comments before finalizing any recommendations on this subject.
			https://archive.icann.org/en/topics/new-gtlds/phase- two-economic-considerations-03dec10-en.pdf		
1a.R2	No. The process was rocky as both applicants and ICANN struggled to implement it but, overall, it was a success. In addition to support for an ongoing mechanism, the 2007 GNSO recommendations urged expediency in the introduction of additional gTLD application opportunities; stating that "The Request for Proposals (RFP) for the first round will include scheduling information for the subsequent rounds to occur within one year." This, coupled with ICANN's announcement in the 2012 Applicant process that a second round would begin "within one year" following the close of the application period for the 2012 round (See, Applicant Guidebook at Section 1.1.6), gave potential applicants the impression that they could skip the 2012 round and still have an opportunity to apply for a new gTLD within a reasonable amount of time. The announcement of an additional round has already been delayed well beyond the 1 year period contemplated by the GNSO and ICANN. We believe that it would be unfair to applicants that may have deferred their applications until processes and costs to apply for and operate a gTLD were more certain or until their business plans for a gTLD were more final to introduce further delay.	RySG Comments	2007 GNSO Final Report on the Introduction of New Top Level Domains: https://gnso.icann.org/en/issues/new-gtlds/pdp- dec05-fr-parta-08aug07.htm New gTLD Applicant Guidebook: https://newgtlds.icann.org/en/applicants/agb/guideb ook-full-04jun12-en.pdf	The WG has not agreed upon a set of arguments or data points that would suggest that the existing policy should be overwritten to cease the provision of new gTLDs in the future.	Preliminarily, the WG anticipates that the existing policy of additional new gTLDs will continue in the future. No action needed at this time.
1a.R3	No. However, we note that there has, in fact, been no ongoing mechanism for which the policy called.	IPC Comments		The WG has not agreed upon a set of arguments or data points that would suggest that the existing policy should be overwritten to cease the provision of new gTLDs in the future.	Preliminarily, the WG anticipates that the existing policy of additional new gTLDs will continue in the future. No action needed at this time.
	e absence of an ongoing mechanism have an anti-competitive effect for potential applicants?				
1b.R1	Preventing or restricting further release of new gTLDs could be seen as a windfall gain for existing gTLD owners, protecting them from competition, with associated price and service disadvantages for end users. However, competition is only one factor to be considered as part of any independent assessment of costs and benefits.	GAC Comments		Common thread in comments on this topic: not having an ongoing mechanism may have anti-competitive effects.	Preliminarily, the WG anticipates that the existing policy of additional new gTLDs will continue in the future. No action needed at this time.
1b.R2	Yes. The current uncertainty regarding whether and when a future application process will be opened creates a closed market for the operation of gTLDs. Unpredictability regarding application processes, or long gaps between application windows, may have similar stifling impacts on competition by limiting the number of new entrants to the market. New entrants could improve competition by increasing market dispersion or by introducing new and innovative product offerings.	RySG Comments		Common thread in comments on this topic: not having an ongoing mechanism may have anti-competitive effects.	action needed at this time.
1b.R3	Given ICANN's "monopoly" control over entry into the new gTLD marketplace, we believe that a failure to maintain an ongoing mechanism of some sort could potentially lead to anti-competitive effects. Brand owner concerns remain about the impact of additional new gTLDs on consumer confusion and on trade mark protection, and these must be addressed during the PDP. Nevertheless, potential applicants, including potential .brand applicants, may have chosen not to apply during the 2012 application round on the understanding, from the language of the Applicant Guidebook, that there would be subsequent procedures: "ICANN's goal is to launch subsequent gTLD application rounds as quickly as possible. The exact timing will be based on experiences gained and changes required after this round is completed. The goal is for the next application round to begin within one year of the close of the application submission period for the initial round."	IPC Comments	New gTLD Applicant Guidebook: https://newgtlds.icann.org/en/applicants/agb/guideb ook-full-04jun12-en.pdf	Common thread in comments on this topic: not having an ongoing mechanism may have anti-competitive effects. The WG looks forward to the results of the (RPM) PDP which will address the impact of additional new gTLDs on consumer confusion and trademark protection.	Preliminarily, the WG anticipates that the existing policy of additional new gTLDs will continue in the future. No action needed at this time.

1.c: Are ongo	ing mechanisms for the introduction of additional new gTLDs necessary to achieving sufficient diversity (e.g., choice and trust) in terms	of domain			
extensions? I	Please explain.				
1c.R1	This question requires further clarification of what "sufficient diversity" means in this context. There are a number of possible elements of diversity, including innovative new business models; geographic diversity; equitable treatment of underserved regions; and communities of interest (as opposed to commercially driven initiatives). These should be addressed in the work of both the PDP and the CCT Review as appropriate. The final issues report (especially section 4.2.3.) includes relevant information on the limited diversity in terms of geographic diversity of the applicants, as well as the distribution between "standard" and other types of application types, which might be an element to consider when discussing the possible need of establishing a differentiation between different types of applications.	GAC Comments	Final Issue Report on New gTLD Subsequent Procedures: https://gnso.icann.org/en/issues/new- gtlds/subsequent-procedures-final-issue-04dec15- en.pdf	It may be valuable for the WG to further clarify and unpack the meaning of diversity in this context and include a section on diversity factors/requirements in the Draft Report. For example, registrant diversity is one form of diversity and diversity of usage is another.	Develop an overall framework within the full working group on the issue of diversity. Continue the discussion within subteams on specific aspects of the diversity issue as they apply to sub team topics, perhaps utilizing the framework created by the full working group. If applicable, consider in the context of WT1 on communications.
1c.R2	Yes. We believe that the widespread participation in the 2012 round made a broader, more diverse set of prospective applicants aware of the potential benefits to launching a new gTLD. By preserving an ongoing mechanism, these parties, including communities, brands and geographic TLD operators, could more readily participate increasing overall choice for registrants and, potentially, inviting new and innovative uses of the DNS. We note that in the recently published ICANN gTLD Marketplace Health Index (Beta), ICANN uses the 4 distribution of ICANN-accredited registries by region and the number of jurisdictions with at least one registry operator as indicators of competition and industry diversity. However, given low participation in the 2012 round of applicant in certain regions, very limited improvements can be made to current statistics without an ongoing mechanism. We are also aware that as a result of the 2012 round, there are potential applicants that are anxious to implement their own TLDs. This is especially true for brandTLDs, which could suffer greatly if their competitors have TLDs and they do not—including for brands that did not exist at the time of the 2012 round. This is also true for geoTLDs and genericTLDs where demand exists that is not met by the	RySG Comments	ICANN gTLD Marketplace Health Index (Beta): https://www.icann.org/en/system/files/files/gtld- marketplace-health-index-beta-19jul16-en.pdf	Discussions in sub team 1 regarding communication and outreach will be closely linked to this point.	Develop an overall framework within the full working group on the issue of diversity. Continue the discussion within subteams on specific aspects of the diversity issue as they apply to sub team topics, perhaps utilizing the framework created by the full working group. If applicable, consider in the context of WT1 on communications.
1c.R3	We offer no substantive comment on this, other than to refer you to our response to 1.b above. That said, we do believe that a streamlined approach for .brands has the potential to quickly enhance consumer trust in the domain name space.	IPC Comments		Further discussion about the link between diversity, trust, and expansion of .brands may be needed.	Develop an overall framework within the full working group on the issue of diversity. Continue the discussion within subteams on specific aspects of the diversity issue as they apply to sub team topics, perhaps utilizing the framework created by the full working group. If applicable, consider in the context of WT1 on communications.
1.d: Is it too	early in the review cycle of the previous round to determine the full range of benefits of the 2012 round of new gTLDs? Should that imp	act the decision			
	additional new gTLDs and/or the timing of ongoing mechanisms for new gTLDs?	-			
1d.R1	Please see general comments above. The PDP should be conscious that evidence from the recent round is still being gathered, and note that development and agreement of (let alone collection of) relevant metrics appear to be spread across several processes and far from complete.	GAC Comments		While it may be too early to evaluate the full range of benefits and challenges, there is currently no indication that policy around ongoing mechanisms needs to be changed.	Continue discussion on this topic within the Working Group, taking into account these comments.
1d.R2	It is early to determine the full range of benefits of the current round, but that doesn't mean that studies of their impact should not be commenced, nor that the introduction of additional new gTLDs should be delayed further. The CCT-RT has already begun to assess the impact of new gTLDs on competition, choice, and consumer trust and ICANN's proposed marketplace health indicators will also track progress on indicators related to the impact of new gTLDs. However, based upon prior commitments to an ongoing process it is clear that these studies were not intended to gate the commencement of a future application process. Further, we believe that initial indicators, particularly the widespread participation in the 2012 round and the growth in second level registrations in new gTLDs, suggests that there is no reason to change course from the original intention of introducing an ongoing application process. We would also note that multiple TLDs have gone through the application, objection, GAC advice, evaluation, IRP, private auction, ICANN-auction, pre-delegation testing, delegation, TMCH sunrise, landrush/premium auctions, specialty periods, general availability, renewal cycles, and EBERO. Each anticipated phase of a TLD lifecycle has been experienced by one or more applicants. As a result, ICANN and the community has considerable information available to make operational and process improvements in the implementation of ongoing mechanisms.	RySG Comments	ICANN gTLD Marketplace Health Index (Beta): https://www.icann.org/en/system/files/files/gtld- marketplace-health-index-beta-19jul16-en.pdf	While it may be too early to evaluate the full range of benefits and challenges, there is currently no indication that policy around ongoing mechanisms needs to be changed.	Continue discussion on this topic within the Working Group, taking into account these comments.
1d.R3	While it may be too early in the review cycle to fully determine the full range of benefits (and harms) of the 2012 round, we refer you to our responses to 1.b and 1.c above. We also note that it is too early in the <i>life cycle</i> of the "previous" round to make such determinations, since the "previous" round is still ongoing in many respects, and should properly be viewed as the "current" round at this time. While these timing issues cannot be ignored in considering how and when to introduce additional new gTLDs, these are factors to consider and not absolute bars to moving forward.			While it may be too early to evaluate the full range of benefits and challenges, there is currently no indication that policy around ongoing mechanisms needs to be changed.	Continue discussion on this topic within the Working Group, taking into account these comments.
	ditional considerations should be taken into account before deciding on ongoing mechanisms for new gTLDs (e.g., to cancel ongoing m	echanisms for new			
gTLDs via pol 1e.R1	icy changes)? The GAC suggests that there needs to be a commitment by ICANN, registries and registrars to gather the most appropriate data on	GAC Comments		The WG welcomes analysis on security	The WG will consider this comment in
	security and consumer safety issues and ensure that this is fully transparent.			and consumer safety issues, which may be provided by the CCT-RT.	WT4.

1e.R2	We do not believe that there are any outstanding factors that need to be considered in determining whether an ongoing mechanism is warranted.	RySG Comments			No action required.
1e.R3	It is prudent not only to diagnose the problems and errors discovered through the 2012 round, but also to anticipate the problems which may occur in the next "ongoing mechanism" (whether round(s) or other procedures) in order to fix those in advance, thus providing additional certainty to applicants.	IPC Comments		Balance is needed between issues that are reasonably forseeable and those that could theoretically occur.	The WG will assess which issues need to be addressed, taking into account the level of risk.
1.f: Any oth	er Issues related to this overarching subject?				
1f.R1	No comments at this stage.	GAC Comments			
1f.R2	No.	RySG Comments			
1f.R3	We believe that an accreditation process for backend providers would greatly streamline the application process as well as the application review process.	IPC Comments		The issue of accreditation will be addressed in sub team 1 discussions.	The issue of accreditation will be addressed in sub team 1 discussions.
Subject 2	Categorization or differentiation of gTLDs (for example brand, geographical, or supported/community) in ongoing n			duressed in sub team 1 discussions.	
Categories v hypothetica No existing j The 2012 ro Specification	gs/Conclusions: vere considered in the original policy development process, but were deemed to be too challenging to identify, differentiate, and implemen I scenarios to consider. policy recommendations exist in regards to categories of gTLDs. und of the New gTLD Program provides real world examples of possible categories. 1 3 provides evidence that different requirements may be necessary based on the usage and purpose of TLDs. on or differentiation of gTLDs is anticipated to have effects on other mechanisms within the New gTLD Program (e.g., application requirem				
base agreen Categories s factor that o Different ca	nent, post-delegation activities, etc.) hould not be established just for the sake of creating them - there should be a tangible difference in the application process, Registry Agree reates a need to carve out a category. tegories of TLDs may have differing levels of complexity, some of which could be taken into account for determining if certain categories co e application window.	ment, or other			
additional co If additional Sub Teams - However, th - The specifi - The related	Outcomes: , the WG has agreed at a high level that there are likely benefits to maintaining the existing categories as defined in the AGB and possibly ategories of TLDs. (categories are identifie, the WG agrees that it should create, in the overarching issues process, the set of candidate categories to discuss - such as the AGB plus de facto ones. e WG has not agreed on: cs of the categories. d changes to the application process that would likely be necessary and perhaps unique to certain categories. ement mechanisms that may be needed as a result of establishing different paths to obtaining a new gTLD.	-			
2.a: Should Note: Sever Organizatio or 'Sensitive	subsequent procedures be structured to account for different categories of gTLDs? al possible categories have been suggested by PDP WG members, including: Open Registries; Geographic; Brand (Specification 13); Inte n; Community; Validated -Restricted Registries with qualification criteria that must be verified; Not-for-profit or non-profit gTLDs, NGO: Y TLDs; Exclusive Use Registries (Keyword Registry limited to one registrant & affiliates) or closed generics; TLD with applicant self-valid	; Highly Regulated	See also comments on categorization in the First At- Large Summit (ATLAS) Declaration: https://atlarge.icann.org/advice_statements/9261		
	ment via Charter Eligibility Dispute Resolution Policy.	I			
2a.R1	The GAC advised in its Communiqué from the Nairobi meeting (March 2010) as follows: Finally, the GAC reiterates the importance of fully exploring the potential benefits of further categories (or track differentiation) that could simplify rather than add complexity to the management of the new TLD program and in that way help to accelerate the new gTLD program. In particular, the GAC believes that: <i>i. This could create greater flexibility in the application procedures to address the needs of a diversity of categories or types of string - including common nouns (e.g., "music"), cultural/linguistic communities, brand names and geographical strings - would likely make application processes more predictable and create greater efficiencies for ICANN, both in ASCII and IDN spaces; <i>ii. Taking into account that applicants and users of new TLDs of a high public interest for a specific community, such as city TLDs or</i> <i>country-region and other geographical TLDs, may expect the legal framework of the territory in which the community is located to be</i></i>	GAC Comments	Nairobi Communiqué: https://gacweb.icann.org/download/attachments/27 131983/GAC_37_Nairobi_Communique.pdf?version= 1&modificationDate=1312226773000&api=v2 GAC Sub Group Report on the Protection of Geographic Names in the New gTLDs Process: https://gacweb.icann.org/download/attachments/35 455403/Geo%20Dames%20in%20new%20gTLDs%20 Updated%20%20V3%20%2029%20august%202014%	currently listed in the Applicant Guidebook should continue to be used. Differerent perspectives have been raised within the working group regarding additional categories. There	The WG agreed that it should create, in the overarching issues process, the set of candidate categories to discuss in the Work Track Sub Teams such as the AGB plus de facto ones.

2a.R2	There are different views within the RySG about whether additional categories of TLDs should be defined therefore this response provides the responses for and against new categories. Despite the differences of opinion, we do reiterate that the RySG does support the continuation of the categorization of gTLDs as outlined in the New gTLD Applicant Guidebook and the inclusion of brands in any ongoing mechanisms. AGAINST: Nofuture application processes should be as open as possible to preserve the benefits brought by the 2012 round. Limiting applications and types could have a negative effect on future application processes' potential to foster innovation and broaden consumer choice. Likewise, excessive segmentation of the application pool will stymie the progress of the working group by encouraging separate policy analysis for each class of registry operator or applicant. In the event that the next round does result in 10,000 applications, or 15,000 as some have suggested, categories will result in added burdens for evaluators to decide what goes where. Contention resolution becomes even more complicated if a single string can fit into a number of categories. The administration of the registry agreement also becomes challenging. It is not clear that any claimed benefits of new categories will outweigh the potential costs. IN FAVOUR: It is possible that other categories, beyond .brands, could be strongly defined and lessons learnt applied to the extent that certain tailoring would be meaningful and worthwhile prior to the next application window. A one-size-fits-all approach did not work well in the latest round, consequently restrictions and obligations were imposed that were not appropriate or relevant to certain types of applicants. It would be careless of ICANN to disregard this, given the lessons learnt during this round, only to repeat again. Any ongoing mechanism should be able to cater for categories that can be well-defined based on the range of applicanton types seen in the last round, where there is si	RySG Comments		There is preliminary agreement within the Working Group that categories currently listed in the Applicant Guidebook should continue to be used. Differerent perspectives have been raised within the working group regarding additional categories. There is not yet consensus as to whether additional categories should be included.	The WG agreed that it should create, in the overarching issues process, the set of candidate categories to discuss in the Work Track Sub Teams such as the AGB plus de facto ones.
2a.R3	As mentioned above, we see some benefit in a streamlined process for .brand (Specification 13) applicants, since brands provide protection for consumers and do not raise many of the concerns of open gTLDs. We also believe that ICANN should consider a more substantial approach to subsidizing applications from underserved jurisdictions, which would be a highly appropriate use of the auction proceeds which ICANN is currently holding from the 2012 round.	IPC Comments		There is preliminary agreement within the Working Group that categories currently listed in the Applicant Guidebook should continue to be used. Differerent perspectives have been raised within the working group regarding additional categories. There is not yet consensus as to whether additional categories should be included. The new gTLD Auction Proceeds Drafting Team is developing the charter for a new CCWG that will address the issue of auctor proceeds.	The WG agreed that it should create, in the overarching issues process, the set of candidate categories to discuss in the Work Track Sub Teams such as the AGB plus de facto ones.
2.b: Are addit	ional categories missing from the list? If so, what categories should be added?				
2b.R1	The list appears to be a good basis for further analysis of this concept. The 2007 GAC Principles on new GTLDs already include a series of specific types or characteristics of TLDs (terms with national, cultural, geographic and religious significance; country, territory or place names and descriptions; IGO names and acronyms; etc.); and subsequent GAC Advice, such as i.e. the 2013 Durban Communiqué, has put emphasis on certain types of TLDs (generic; geographic names; community based; sensitive strings; highly regulated sectors), which may well deserve a differentiated treatment.	GAC Comments	2007 GAC Principles on new gTLDS: https://archive.icann.org/en/topics/new-gtlds/gac- principles-regarding-new-gtlds-28mar07-en.pdf 2013 Durban Communiqué: https://gacweb.icann.org/download/attachments/28 278854/Final_GAC_Communique_Durban_20130718. pdf?version=1&modificationDate=1375798225000&a pi=v2		
2b.R2	No.	RySG Comments	ſ		
2b.R3	None noted at this time.	IPC Comments			
2.c: Do all cate	egories identified by the PDP WG members belong in the list?				
2c.R1	No comments at this stage.	GAC Comments			
2c.R2	No, the list has few mistakes, different forms of legal bodies have not and should not be treated as a distinct category of TLDs. Similarly, ICANN did not distinguish in the 2012 round between "not-for-profit" TLDs. It is also possible that a TLD may fall into more than one of the proposed classifications; for example at least one of the current GEO TLDs also is not-for-profit. We do not believe that regulated and highly-regulated TLDs should be treated as a separate category of TLDs from the application process as these categories were solely derived from GAC Advice and not self-designation by the applicant.	RySG Comments			
2c.R3	Yes. There is no reason to narrow this list. Having this list does not necessarily mean that each type of TLD will have its own special	IPC Comments			
	process.				
2.d: If categor	ies are recognized, in what areas of the application, evaluation, contention resolution and/or contracting processes would the introdu	iction of			
-	ve a likely impact?				
2d.R1	The appropriate treatment of different types of TLD applications may require different tracks for the applications and/or different procedures, rules and criteria for their handling. This need is highlighted for instance by the unforeseen consequences for community applicants of recourse by competing applicants to other accountability mechanisms; and the specific challenges faced by some community applicants in auctions when in competition with commercial applicants. These issues should be further explored based also on the data still to be gathered.	GAC Comments			

2d.R2	The impact of a category depends on the actual of the actual is in identified, and any basefile as excising and a	Duff Commonte		
20.KZ	The impact of a category depends on the nature of the category, how it is identified, and any benefits or special procedures made available to those applicants. By way of example, contention resolution was relevant for community applicants that successfully	RySG Comments		
	completed CPE, but is less relevant for other TLD types. We don't believe that it is useful to link TLD types to application phases in this			
	manner, nor to excessively fixate on application categories in general.			
2d.R3	We believe that financial review would be affected since open, unrestricted TLDs, for example, would have more impact on consumers	IPC Comments		
	who build businesses on them than completely closed TLDs such as .brands. More generally, a number of aspects of the application			
	requirements and the base registry contract were of little practical relevance to, or even were unduly onerous for, a .brand application			
	and would merit review, which may well lead to the elimination or streamlining of these processes. The original process was largely			
	"one size fits all," other than the community process. More attention to fitting the process to specific types is encouraged.			
	ent categories of gTLD are defined, should all types be offered in each application window? Is it acceptable for an application window to	o open for only		
	ted subset of categories of gTLDs (e.g. a .Brands only application window)	1		
2e.R1	No comments at this stage.	GAC Comments		
2e.R2	This is another area where there were differences of opinion within the RySG:	RySG Comments		
	In case of the 'window/rounds' model:			
	All kinds of approved categories should be offered at the same time to avoid unnecessary gaming when companies try to manipulate			
	their applications in another format only because the window is open, and to grant equal access to the possibility of application			
	processing. Though this should not lead to longer time between the application windows in case where 'window' model is going to be			
	used.			
	In case of the continuous application process:			
	The "application windows" should not exist, as the subsequent procedures should allow a rolling application period (as is the case for			
	second-level domain names). We also discourage the introduction of restrictions on which applicants can participate in future			
	application processes in general. We would discourage windows that gave priority to one category of applicants over another.			
	Alternative view: It could prove more flexible and possibly more practical to manage operationally, if 'windows' opened up for specific categories. For			
	instance, using the three main categories identified in the last round (commercial, brands and GEOS), there could be an application			
	window assigned to each category during a year. This could simplify the post application processes, particularly the objection process,			
	GAC early warning, contention sets and the contracting process, as well as spread the demand on resources, both within GDD and the			
	community. This approach could also work as an interim measure prior to establishing a continuous application process.			
2e.R3	We note that this question reverts to the old approach of "each application window" as opposed to the more inclusive "ongoing	IPC Comments		
2e.K5	mechanism" found earlier in the document.	IPC Comments		
	Whilst not specifically advocating at this stage for a special early entry for .brands, very few of the .brand applications were subject to			
	the challenging issues encountered in the 2012 round which this PDP might be expected to seek to review and revise, for example string			
	contention, singular/plural, GAC advice, RPMs issues etc. If the required policy work to create a streamlined process for .brands were			
	to be completed whilst other aspects of the PDP working group's work remained ongoing there may be no good reasons to hold up			
	those brand applications which are uncontroversial.			
2.f: Any othe	er issues related to this overarching subject:	1	Resources related to specific categories of new gTLDs	
,,			(submitted following WG Request for Advice Relating	
			to the 2012 New gTLD Round):	
			, ,	
			- ccNSO Letter to the Board on Meaningful	
			Representations of Country and Territory Names in	
			the gTLD Space: goo.gl/d8k9KU	
			- ALAC Statement on the Use of Country and Territory	
			Names as Top-Level Domains: goo.gl/7yMrAz	
			- ALAC Correspondence on the Study Group on	
			Sensitive New gTLDs: goo.gl/03zxmZ	
			- ALAC Statement on the Community Expertise in	
			Community Priority Evaluation: goo.gl/BHyhqx	
			- ALAC Statement on the Preferential Treatment for	
			Community Applications in String Contention:	
			goo.gl/J4vuAW	
			- ALAC Statement on the Public Interest	
			Commitments: goo.gl/jTLjS1 and Follow-up	
			Statement on the Public Interest Commitments:	
			goo.gl/T3H3vz	
			- Proposal for the Use of Mandatory Policy Advisory	
			Boards for Regulated Industry Sector and Consumer-	
26.04		0.00	Trust-Sensitive New gTLD Strings: goo.gl/vCiufB	
2f.R1 2f.R2	Not at this stage. No.	GAC Comments RySG Comments		
21.KZ	INO.	ryso comments		

2f.R3		C Comments	
	needs to be fixed in advance of the opening of any ongoing application mechanism.		
	We also note significant ongoing concerns regarding processes relating to community applications, in particular the CEP. These need to be fixed before further community applications are considered.		
C			
	. Future new gTLDs assessed in "rounds."		
	ngs/Conclusions:		
	the gTLD allocation method identified in the GNSO's 2007 Final Report (recommendation 13).		
	objections, string contention, and other New gTLD Program mechanisms were designed for the concept of rounds and if another mechanism we	ere	
	l, these mechanisms would need to be reevaluated.		
	y have an impact on demand and market behavior.		
	a somewhat unique mechanism in the ICANN environment for the allocation of contracts and resources, though gTLD strings are a unique and	scarce resource	
	to say, a RAA.		
	es not necessarily have to mean an application acceptance window followed by a review cycle; It could mean for instance, an ongoing steady sto	ate cycle of	
annual "wii	ndows" (e.g., three months of application acceptance, remaining 9 months to complete evaluation, repeat each year).		
Antioinstad			
	l Outcomes:		
-	reed that there should be an ongoing process that is clearly defined, with the understanding that there may be one or two rounds.		
,	ther agreed that following these preliminary rounds the process will go to a steady state of first come, first served.	••••••••••	
	we continue to assess applications for new gTLDs in "rounds." If not, how could you structure an alternative application window for accept	ing and	
<b>assessing a</b> 3a.R1	pplications while at the same time taking into consideration public comments, objections, evaluation, contention resolution, etc.?	AC Comments	
Ja.KI	This will depend in part on the evaluations made of relevant aspects of the recent round. While the GAC has not yet considered the merits of all options, it should be noted for the sake of discussion that one alternative to	ac comments	
	"rounds" is a permanent process of ICANN accepting applications for new gTLDs within an agreed policy and operational framework: in		
	effect, "continuous delegation." This could provide long-term certainty, reduce opportunities for gaming the system and enable more		
	efficient allocation of resources by ICANN, the community and applicants. There are several aspects of this to be worked through should		
	it be explored further, including:		
	- A capacity for timely adjustment to the framework to respond to emerging issues, including public policy issues.		
	- A possible mechanism to ensure that when there is an application for a certain string, communities or other stakeholders that have an		
	interest in the same string are (a) alerted to the application and (b) have an opportunity to apply for the string within a specified		
	window, and/or (c) have some say on determining what uses the string will be put to should it be delegated.		
	- An objective analysis of relative resource demands on ICANN, the community and applicants.		
3a.R2		SG Comments	
June	and future—the opportunity to apply when they want to. A continuous process will prevent bottlenecks in application processing and	oo commento	
	allow applicants to apply for a gTLD when it is right for their business, rather than when a short window allows. While we support a		
	"rolling period," we understand that there has to be a way to deal with contention for the same string if there is pent-up demand since		
	the 2012 round. A hybrid approach might be considered by the Working Group (e.g. a short window followed by an immediate rolling		
	period).		
3a.R3	We believe that "rounds" have the potential to create false demand as they can create fear that a future round may not come promptly IPC	C Comments	
	in the future (such fear is duly based on the actual history of ICANN's various new gTLD efforts). On the presumption that there will be		
	subsequent new gTLD application procedures, we believe that it is important to create an application process, and timing, that provides		
	greater certainty, especially for the development of new brands and their corresponding brands. IPC Member Paul McGrady states it		
	this way in his treatise:		
	"It would be beneficial to brand owners for ICANN to normalize the schedule for future rounds as quickly as practical. It is conceivable		
	that multinational brand launches could be scheduled around the application period of future rounds in order to ensure that a new		
	"mega-brand" could, in fact, be expressed in a new gTLD. It is likely that counsel will need to "clear" new brands against the list of pre-		
	existing TLDs in order to ensure that the brand will not encounter string preclusion. A brand owner who invests millions to launch a new		
	mega-brand could be quite unhappy to learn later that its new brand is incapable of being expressed as a new gTLD registry because a		
	pre-existing TLD precludes the new brand from being expressed as a .brand gTLD." 1-3 McGrady on Domain Names § 3.02		
	Having said this, we can envisage significant challenges were ICANN to move to a continuously open, transparent, first-come first-		
	served application process, including administrative burdens on potential applicants, ICANN staff and all other members of the		
	community who would wish to review and comment on applications, as well as significant technical challenges in dealing with the		
	anticipated demand to be first, were such an application process to open. We believe that a suitable balance would best be achieved		
	by having a series of discrete open application windows followed by discrete closed evaluation windows, before the application window		
	opens back up. A potential timing of 3 months for an application window, followed by a 3 month evaluation window seems practical,		
	although the timing of the windows could be longer and would be a matter for discussion. Such a series of rolling application windows		
	would give certainty of timing for all members of the community, helping to reduce the artificial demand created by an individual round		
	where there is no certainty on when the next one will be. It would remove some of the administrative burden on applicants that a first-		
	come first-served application process would likely cause and certainly would reduce the technical risks. Further, this would allow ICANN		
	some time to react to application volumes and to gear up resources for the next cycle if demand in a previous cycle proves high. It		
	would also be respectful of the resource constraints of the wider ICANN community, some of whom might have difficulty reviewing		
	applications on an ongoing basis if the application window were a permanently-open one.		

	An open question in establishing a continuous process is how to deal with multiple potential applicants for a single gTLD. In the current			
	round, these are grouped into "contention sets." A true "first come, first served" process would eliminate contention sets, which would			
	lead to a number of consequences that need to be identified and evaluated. Alternatively, an ongoing process that held applications			
	(similar to some Sunrise periods) for a period of time could be considered, which would provide an opportunity for additional applications			
	to apply for the same string (particularly if applications are public record). This would, of course, lead to different consequences that			
	would also need to be identified and evaluated.			
	Whilst the IPC would favor moving to such a process as quickly as possible, given the length of time that there will have been since the			
	2012 round before new applications open, it might be necessary first to have another application round. If so, the intention to move to			
	a rolling open phase and the timing should be committed-to at the outset.			
3 h. How we	l puld the assessment of applications in a method other than in "rounds" impact rights holders, if at all?			
3b.R1	No comments at this stage.	GAC Comments		
3b.R2	We believe that a continuous process would have a slight positive impact on brands. Even in a rolling process, a defined period would	RySG Comments		
50.NZ		Rysd Comments		
	be applied to each application during which rights holders could object to a string that they believed infringed on their legal rights (e.g.			
	via the Legal Rights Objection). Brand protection costs associated with participation in applicable sunrise periods would be steadier and			
	more predictable where the number of new gTLDs grew steadily with demand rather than mushrooming suddenly due to a short			
	application window.			
3b.R3	If the applications in an ongoing application mechanism such as the rolling open process referred to above, were published for	IPC Comments		
1	opposition, brand owners whose business models do not require a gTLD registry would have the ability to oppose the application			
	without having to spend the money to block an abusive application and/or compete against that application in an auction process. In			
	this regard, the ability to oppose an application should be clarified and potentially expanded. As mentioned in 3.a above, the ongoing			
	application mechanism would allow for greater certainty in clearing new brands.			
3 c. Doos ro	stricting applications to "rounds" or other cyclical application models lead to more consistent treatment of applicants?	1		
3c.R1		GAC Comments		
30.81	Not necessarily. An ongoing process of accepting applications within an agreed framework could presumably treat applicants equally	GAC Comments		
	consistently.			
3c.R2	We do not believe that there is a relationship between the type of process (continuous vs. discrete) and consistent treatment. Having	RySG Comments		
	standard rules that are applied across the board by evaluators will lead to consistent treatment. One requirement of transitioning to a			
	continuous process will be ensuring that panels and other bodies engaged in evaluation, objection, and other procedures can execute			
	against objective policies and procedures over time.			
3c.R3	As mentioned above "rounds", as we currently understand and experience them, have the potential to create false demand since they	IPC Comments		
	encourage the filing of applications by brands purely for defensive purposes. Rounds may also encourage other applicants to rush to			
	apply due to lack of certainty over when or if a future opportunity will arise. On the other hand, rounds (for better or worse) do create			
	contention sets, which can lead to more consistent treatment of applicants. It may be worth considering "open" filings for rounds,			
	rather than the "Black Box plus Reveal" approach taken in the current round.			
2 di Should	"rounds" or other cyclical application models be used to facilitate reviews and process improvement?	I		
3d.R1	No comments at this stage.	GAC Comments		
-				
3d.R2	No. If things are not working, it is possible to fix them during a continuous process through the GNSO policy development process, while	RySG Comments		
	allowing other applications to proceed without delay.			
3d.R3	Reviews and process improvements should not be used as a justification for preferring rounds or other cyclical application models.	IPC Comments		
	Reviews and process improvements can also take place in an ongoing application process.			
	nds" lead to greater predictability for applicants and other interested parties?			
3e.R1	No comments at this stage.	GAC Comments		
3e.R2	We believe that rounds have a serious negative impact on business predictability for applicants. In particular, because a round-based	RySG Comments		
	model requires a fairly elaborate process to resolve contention, both the timing and probability of a given application is unknown at the			
	time of submission. Similarly, when more than one applicant applies for a particular string, other interested parties may be uncertain of			
	how to respond without knowing which applicant will prevail and may end up wasting resources objecting to or tracking an application			
	that was unlikely to prevail in the contention process.			
	In contrast, a continuous process allows businesses to make business-driven decision about whether it makes sense to apply for a gTLD,			
1	without the pressure to apply preemptively for fear of being locked out of the market. Consequently, it allows businesses to develop			
L	their applications more organically and robustly prior to submission, as applications can be linked to developed business plans.			
		IPC Comments		
3e.R3	Not necessarily. They are likely to lead to less predictability in many respects, as discussed above, as compared to an ongoing			
3e.R3	Not necessarily. They are likely to lead to less predictability in many respects, as discussed above, as compared to an ongoing application mechanism such as the rolling open process proposed above.			
	application mechanism such as the rolling open process proposed above.	GAC Comments		
3.f: Do "rou	application mechanism such as the rolling open process proposed above. nds" add latency to the evaluation and approval of an application, leading to longer times to market?			
<b>3.f: Do "rou</b> 3f.R1	application mechanism such as the rolling open process proposed above. nds" add latency to the evaluation and approval of an application, leading to longer times to market? No comments at this stage.	GAC Comments		
<b>3.f: Do "rou</b> 3f.R1 3f.R2	application mechanism such as the rolling open process proposed above. ds" add latency to the evaluation and approval of an application, leading to longer times to market? No comments at this stage. Yes, moving to a continuous process would dramatically lessen the vast time and resources spent on contention during the 2012 round. More than four years from the closure of the 2012 round, several strings remain in contention and their timeline for launch is unclear.	GAC Comments RySG Comments		
<b>3.f: Do "rou</b> 3f.R1	application mechanism such as the rolling open process proposed above. nds" add latency to the evaluation and approval of an application, leading to longer times to market? No comments at this stage. Yes, moving to a continuous process would dramatically lessen the vast time and resources spent on contention during the 2012 round. More than four years from the closure of the 2012 round, several strings remain in contention and their timeline for launch is unclear. We do not have sufficient data to determine this since there has never been an ongoing application mechanism against which to	GAC Comments		
<b>3.f: Do "rou</b> 3f.R1 3f.R2	application mechanism such as the rolling open process proposed above.  nds" add latency to the evaluation and approval of an application, leading to longer times to market?  No comments at this stage.  Yes, moving to a continuous process would dramatically lessen the vast time and resources spent on contention during the 2012 round.  More than four years from the closure of the 2012 round, several strings remain in contention and their timeline for launch is unclear.  We do not have sufficient data to determine this since there has never been an ongoing application mechanism against which to compare it. However, pooling applications into arbitrary groups would appear, at least facially, to lead to bottlenecks and resultant	GAC Comments RySG Comments		
<b>3.f: Do "rou</b> 3f.R1 3f.R2 3f.R3	application mechanism such as the rolling open process proposed above.  Inds" add latency to the evaluation and approval of an application, leading to longer times to market?  No comments at this stage.  Yes, moving to a continuous process would dramatically lessen the vast time and resources spent on contention during the 2012 round. More than four years from the closure of the 2012 round, several strings remain in contention and their timeline for launch is unclear.  We do not have sufficient data to determine this since there has never been an ongoing application mechanism against which to compare it. However, pooling applications into arbitrary groups would appear, at least facially, to lead to bottlenecks and resultant delays.	GAC Comments RySG Comments		
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during the 2012 round – the first of its kind, a fact that may have justified a number of adjustments during the implementation phase. However, this should not be the prime or only consideration.       Processing of Applications for New gTLDs: https://gacweb.ican.org/download/attachments/282         It is difficult for the GAC (or anyone else) to assess whether the round was "sufficiently predictable." The GAC responded to and advised or energing issues on their merits. Such a large-scale exercise, with what turned out to be few useful precedents, was always going to require flexibility and adjustment.       Processing of Applications for New gTLDs: https://gacweb.ican.org/download/attachments/282         a.R2       No. The timeline was highly unpredictable, and the process saw several last moment changes, which did not follow from the GNSO policy recommendations and were not reflected in the applicant guidebook (e.g. Strawman, Spec 11, Name Collisions, and the unilateral amendment provisions in the ICANN Registry Agreement). Apparent inconsistencies in objection and community priority determinations further contributed to applicant uncertainty. Now that the 2012 round is over and we can glean lessons from it, we will know how to fix it in a future, always open subsequent procedure.       IPC Comments         a.R3       No. There were significant variations from the program as published in the Applicant Guidebook vs. how it was actually implemented by staff. For example, the midstream prohibition against closed generics, the announcement of and corresponding denise of digital archery as a prioritization methodology, the requirement to develop "on the fly" a process for the treatment of country names and codes at the of name collision names, and the ongoing challenges with creating a process for the treatment of country names and codes at the       IPC	4.a: Was the	round of 2012 sufficiently predictable given external factors, while balancing the need to be flexible? Please explain.			
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determinations further contributed to applicant uncertainty. Now that the 2012 round is over and we can glean lessons from it, we will       https://doi.org/10.1011/j.com/2014/j.co		policy recommendations and were not reflected in the applicant guidebook (e.g. Strawman, Spec 11, Name Collisions, and the unilateral	I		
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know how to fix it in a future, always open subsequent procedure.       Image: Constraint of the program as published in the Applicant Guidebook vs. how it was actually implemented by staff. For example, the midstream prohibition against closed generics, the announcement of and corresponding demise of digital archery as a prioritization methodology, the requirement to develop "on the fly" a process to address rights protections on the release of name collision names, and the ongoing challenges with creating a process for the treatment of country names and codes at the       IPC comments					
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archery as a prioritization methodology, the requirement to develop "on the fly" a process to address rights protections on the release of name collision names, and the ongoing challenges with creating a process for the treatment of country names and codes at the	4a.R3		IPC Comments		
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of name collision names, and the ongoing challenges with creating a process for the treatment of country names and codes at the		archery as a prioritization methodology, the requirement to develop "on the fly" a process to address rights protections on the release			
שבטווע ופיפו, פנג.					
			I		

4.b: Do the c	hanges implemented as a result of the establishment of Cross Community Working Groups and the adoption of the principles and proc	esses from the			
	nplementation Working Group suffice to maintain predictability of the application process while at the same time provide for the need	ed flexibility to			
address char	iges of circumstances?				
4b.R1	A cross-community working environment is essential to the development of policies that are both workable and maximise benefits to al	GAC Comments			
	relevant stakeholders. The GAC is committed to participating in cross-community processes to the extent that its resources permit.				
	Cross-community work also means the involvement of all relevant SOs and ACs, performing their roles as defined in the ICANN				
	framework. The GNSO PDP processes provides for early and continuing engagement of other SO/AC participants. However, it remains a				
	GNSO process, which needs to be complemented by the input from other SOs and ACs, including input to the Board when prior				
	feedback from such constituencies has not been appropriately reflected in the results of the PDP process.				
4b.R2	Yes, we believe that these frameworks should allow for gradual improvements to be made to new gTLD application processes without	RySG Comments			
40.112	having to gate the initiation of a subsequent application process.	Ry50 comments			
4b.R3		IDC Comments			
	We don't know since those items were not in place in the 2012 round and they have not been applied to any future application process.	IPC Comments			
	e the impacts on applicants, users and related parties from a process that lacks predictability?				
4c.R1	It would seem appropriate to ask the applicants, both successful and unsuccessful, e.g. those who submitted community based applications.	GAC Comments			
4c.R2	We restate Principle 4: The 2012 round suffered from too many unforeseen post-application rule changes and delays as ICANN	RvSG Comments			
40.112	struggled to implement the process. These changes and delays took their toll on a number of applicants, and as a result many suffered	Ryse comments			
	financial or other losses while some had to eventually withdraw from the process.				
		1			
	For example, .green, a community applicant with a clearly defined mission was forced to abandon its application as resources were				
	exhausted due to significant delays and complications with the application process. Predictability for applicants of any future				
	mechanisms should be a high priority.	100.0			
4c.R3	Real businesses are frustrated at long delays, aborted investments, and inexplicable changes of direction by ICANN. While businesses	IPC Comments			
1	bear the costs of such unpredictable actions and outcomes, ICANN also bears the cost to its own credibility and reputation, which were				
	at an extremely low point during the early days of the 2012 application process. The lack of predictability also creates an ongoing				
	skepticism and distrust by applicants, users and others – not least, potential applicants.				
4.d: Any othe	er issues related to this overarching subject:		See also discussion of predictability in ALAC		
			Comments on the Preliminary Issue Report on New		
			gTLD Subsequent Procedures:		
			https://atlarge.icann.org/advice statements/9715		
4d.R1	Many gTLD policy issues require resolution at the global rather than the national level. For many purposes, in practice this means	GAC Comments			
	resolution within ICANN processes to ensure consistency, as application of national laws country-by-country may not be sufficient. The				
	GAC – and others – need a degree of flexibility to respond to emerging issues in this global space which is operated by ICANN and the				
	community according to contractual arrangements and community-developed policies and procedures. The need for such flexibility				
	continues after the conclusion of a GNSO PDP.				
4d.R2	No.	RySG Comments			
4d.R2 4d.R3	N/A	IPC Comments			
		IPC Comments			
	Community engagement in new gTLD application processes.				
-	ys/Conclusions:				
Community e	ngagement is one factor that has an impact on the predictability of the New gTLD Program.				
There are new	w community engagement mechanisms in place that were not in existence or as well formed during the development of the GNSO's 2007 I	inal Report, such			
as liaisons be	tween community organizations, required outreach points as part of the PDP, PDPs being open to any interested participants, Implemento	ition Review			
Teams, etc.					
No matter ho	w robust and inclusive the PDP and policy implementation processes may be, it's likely impossible to account for every possible scenario.				
Reliable and	predictable mechanisms need to be in place to highlight unforeseen issues, determine the scope of the issue, designate mechanisms to mit	igate the issue,			
implement th	ne solution(s), perhaps among other factors.				
Anticipated (	Dutcomes:				
	the WG has determined that it may be beneficial to establish a change control framework that can help mitigate the destabilizing effect f	rom unforeseen			
	e circumstances in which the application window should be frozen while unforeseen policy issues are considered and resolved? If so, si	,			
		nould there be a			
5a.R1	standard that must be reached before considering freezing an application window? No comment at this stage.	CACComment			
5a.R1 5a.R2		GAC Comments			
Sd.KZ	Unlikely. It would be better to continue to evaluate and accept applications to keep from disenfranchising potential applicants. This	RySG Comments			
	would also throw more unpredictability into the mix.	100.0			
5a.R3	First, this question presupposes "windows," which should not be assumed. Second, policy questions arise with some regularity, and can	IPC Comments			
	be dealt with in the context of an ongoing process. The lightweight policy processes developed by the Policy & Implementation				
	Working Group should help deal with these in a timely and orderly fashion. We can foresee no reason to freeze the application process	1			
	for a policy issue. Any threshold to do so would have to be incredibly high – essentially cataclysmic.				
	However, there may be operational issues of a severity sufficient to freeze a round; for example, financial failure by ICANN, disaster and				
L	recovery, or external force majeure.				
5.b: If the Bo	ard is faced with questions that cannot be addressed by the policy recommendations they were sent, must the Board bring the issue b	ack to the GNSO			
	cess (e.g., the GNSO Expedited PDP or GNSO Guidance Process)?				

5b.R1	The GAC would expect the Board to have regard to all available evidence and advice, including advice from the GAC. Reverting to	GAC Comments		
	applicable GNSO and PDP processes appears to be one of a range of options the Board could consider. Others might include seeking			
	expert advice on specialised issues.			
	Experience from the recent round suggests that conclusion of a PDP on such a wide-ranging set of issues is unlikely to be an end-point			
	agreed by all stakeholders in practice. The GAC will make every effort to participate in any agreed post-PDP policy processes.			
5b.R2	Generally yes, particularly if the matters at hand could contradict established policy. We also reiterate that the role of the GAC, the	RySG Comments		
SBIIL	Board and the GNSO in resolving issues that arise during any ongoing mechanisms should be well-understood and documented.	nyse connents		
5b.R3	Yes, but such a question should not trigger an "all stop" for applications already filed. The new policy can be developed and	IPC Comments		
55.115	implemented on a date certain effecting only applications after that date – at least in a continuous process. This is how the	ir e comments		
F as Charadal a	development and adoption of consensus policy works.			
	standard be established to discriminate between issues that must be solved during an open application window and those that can be	postponed until a		
-	application window? Please give an example.			
5c.R1	No comment at this stage.	GAC Comments		
5c.R2	We believe that the subsequent procedures PDP should narrow it's work to focus on issues where a change in policy (as set forth in the	RySG Comments	2007 GNSO Final Report on the Introduction of New	
	2007 Final Report and operationalized in the Applicant Guidebook) is required and where most of the community believes the issue is of		Top Level Domains:	
	such significance that it should block the initiation of a future application process. As examples, we believe that last-hour policy changes		https://gnso.icann.org/en/issues/new-gtlds/pdp-	
	to the program (e.g. Name Collision, closed generic restrictions, Specification 13) may merit revisiting so that they can be properly		dec05-fr-parta-08aug07.htm	
	reflected in the applicable policy. Issues that are not matters of policy but warrant improvement should not be the focus of the group;			
	ICANN staff should work with narrow implementation teams to address these issues without delaying the work of the overall PDP.		New gTLD Applicant Guidebook:	
	Similarly, issues that may warrant policy revision but need not impede a subsequent application process should be addressed on an		https://newgtlds.icann.org/en/applicants/agb/guideb	
	ongoing basis through the policy development process, without unjustifiably blocking future applicants that are willing to proceed		ook-full-04jun12-en.pdf	
	within the current framework.			
5c.R3	Policy issues, no. Operational issues, yes. Our examples are mentioned above, e.g., financial crisis for ICANN.	IPC Comments		
		IPC Comments		
	er issues related to this overarching subject.			
5d.R1	Procedures for implementing new expansions should ensure and enable participation from all relevant stakeholders from the affected	GAC Comments		
	communities, both empowering them to take part as applicants – especially from underserved regions - and to have a fair say when			
	their legitimate interests are affected by TLD applications.			
5d.R2	No.	RySG Comments		
5d.R3	Stakeholders need to participate fully as policy is developed, rather than leaving the work to others and depending on ex post facto	IPC Comments		
	opportunities to make changes.			
Subiect 6. I	imiting applications in total and/or per entity during an application window.			
	rs/Conclusions:			
-	policy recommendations from the GNSO's 2007 Final Report that establishes limits on the number of applications a single applicant can su	hmit		
	the application limits was expanded to consider a limit on the total number of applications during the application window, which could be	total acceptea,		
-	allowed, total delegations, etc.			
	number of applications that an entity can submit may be considered anti-competitive.			
	iting the number of applications that an entity can submit, could allow for a more even playing field, possibly spreading the allocation of a	scarce resource		
	pool of applicants.			
Applying an a	application limit for an entity was determined to be extremely difficult to implement and enforce.			
Applying any	sort of limit may have unforeseen consequences.			
Anticipated C	Dutcomes:			
Preliminarily.	the WG has agreed that the establishing application limits are seemingly anti-competitive and possibly contrary to the original principles of	of competition.		
	nforcing any sort of limit is seen as unrealistic to implement.	, ,		
	policy recommendations are envisioned.			
		he limitin - ft		
	limit for the total number of applications for an application window and/or from a single entity be established? If so, what should be t	ne limiting factor		
	pplication, total number of strings, etc.) and why?	CAC Come i		
6a.R1	No comments at this stage.	GAC Comments		
6a.R2	We reiterate that the RySG does not support the notion of placing unnecessary limitations on future applicants. This would be anti-	RySG Comments		
	competitive and has the potential to inhibit innovation: and as such is antithetical to the purpose of introducing new gTLDs.			
6a.R3	No. Please see our response to 1.b above.	IPC Comments		
6 h. If a limit		mount of		
0.D. II a IIIIII	for the total number of applications for an application window and/or from a single entity is established, how would the appropriate a	iniounit of		
	for the total number of applications for an application window and/or from a single entity is established, how would the appropriate a be set to establish this limit?			
		GAC Comments		
applications 6b.R1	be set to establish this limit? No comments at this stage.	GAC Comments		
applications	be set to establish this limit? No comments at this stage. There is no rational basis for such a limit. And it creates the reason for attempts of unfair play (multiple companies indirectly controlled	-		
applications 6b.R1 6b.R2	be set to establish this limit? No comments at this stage. There is no rational basis for such a limit. And it creates the reason for attempts of unfair play (multiple companies indirectly controlled by the same entity).	GAC Comments RySG Comments		
applications 6b.R1 6b.R2 6b.R3	be set to establish this limit? No comments at this stage. There is no rational basis for such a limit. And it creates the reason for attempts of unfair play (multiple companies indirectly controlled by the same entity). N/A	GAC Comments RySG Comments IPC Comments		
applications 6b.R1 6b.R2 6b.R3 6.c: If a limit	be set to establish this limit? No comments at this stage. There is no rational basis for such a limit. And it creates the reason for attempts of unfair play (multiple companies indirectly controlled by the same entity). N/A for the total number of applications for an application window and/or from a single entity is established, what mechanism(s) could be	GAC Comments RySG Comments IPC Comments used to enforce lin		
applications 6b.R1 6b.R2 6b.R3 6.c: If a limit 6c.R1	be set to establish this limit? No comments at this stage. There is no rational basis for such a limit. And it creates the reason for attempts of unfair play (multiple companies indirectly controlled by the same entity). N/A for the total number of applications for an application window and/or from a single entity is established, what mechanism(s) could be No comments at this stage.	GAC Comments RySG Comments IPC Comments <b>used to enforce lin</b> GAC Comments		
applications 6b.R1 6b.R2 6b.R3 6.c: If a limit	be set to establish this limit? No comments at this stage. There is no rational basis for such a limit. And it creates the reason for attempts of unfair play (multiple companies indirectly controlled by the same entity). N/A for the total number of applications for an application window and/or from a single entity is established, what mechanism(s) could be	GAC Comments RySG Comments IPC Comments used to enforce lin		

6 d: How way	Id a limit on the total number of applications for an application window and/or from a single entity impact fees?			
6d.R1	In a limit on the total number of applications for an application window and/or from a single entity impact fees? No comments at this stage.	GAC Comments		
6d.R1 6d.R2				
6d.R2	Regardless of the number of applications, ICANN's standard is to look at the financial stability of the company, including all applied-for	RySG Comments		
6.1.02	and currently-owned TLDs. There is no rational basis to change this.	100 0		
6d.R3	Fees would have to increase as ICANN may have to defend itself against an antitrust claim. (However, we note that the current round	IPC Comments		
	fees appear to have included a very significant allocation for legal defense, so perhaps it is more accurate to say that fees may not			
	decrease as they otherwise should.)			
	nits to the total number of applications for an application window and/or from a single entity be considered anti-competitive? Please			
6e.R1	No comments at this stage.	GAC Comments		
6e.R2	Potentially. We believe that limitations of this nature could prevent registries from succeeding through diverse business models.	RySG Comments		
6e.R3	Please see our response to 1.b above.	IPC Comments		
	to the total number of applications for an application window and/or from a single entity favor "insiders?	1		
6f.R1	No comments at this stage.	GAC Comments		
6f.R2	It is unclear whether application limits would favor insiders. We believe that an open, unrestricted, and continuous process would be	RySG Comments		
	the generally beneficial to "insiders" and new applicants alike.			
6f.R3	If there is a closed window process similar to the 2012 window, it would definitely favor "insiders." More broadly, any process that is	IPC Comments		
	complex and subject to rules that can be gamed will favor "insiders."			
	r issues related to this overarching subject:			
6g.R1	No comments at this stage.	GAC Comments		
0	No.	RySG Comments		
6g.R3	ICANN should avoid setting arbitrary limits to market entry.	IPC Comments		
Open Quest	ions			
1. Are there f	urther overarching issues or considerations that should be discussed in the New gTLDs Subsequent Procedures PDP WG?			
OQ1.R1	Based upon the depth of work outlined during the Helsinki meeting, we believe that the working group should tailor its efforts to only	RySG Comments		
	the most significant policy issues that must be addressed before a future application process can open and defer other issues to staff to			
	develop implementation guidance or to other policy efforts that need not gate the PDP. Otherwise, we believe that the process will be			
	stymied, both in terms of time and the ability to reach community consensus.			
OQ1.R2	The IPC has no further overarching issues or considerations to provide at this time, but may do so as the process progresses.	IPC Comments		
2. Are there a	dditional steps the PDP WG should take during the PDP process to better enable community engagement?			
OQ2.R1	No comments at this moment of time.	RySG Comments		
OQ2.R2	The IPC has no additional steps to propose to better enable community engagement at this time, but may do so as the process progress	IPC Comments		
Additional I	Resources			
AR.R1	In SAC082: SSAC Response to the Request for Advice Relating to the 2012 New Generic Top Level Domain (gTLD) Round	SSAC Response to		
	(https://www.icann.org/en/system/files/files/sac-082-en.pdf) SSAC refers to earlier reports and advice relevant to discussion of domain			
	collision issues, including SAC045: Invalid Top Level Domain Queries at the Root Level of the Domain Name System	Advice Relating to		
	(https://www.icann.org/en/system/files/files/sac-045-en.pdf), SAC062: SSAC Advisory Concerning the Mitigation of Name	the 2012 New		
	Collision Risk (https://www.icann.org/en/system/files/files/sac-062-en.pdf), and SAC066: SSAC Comment Concerning JAS Phase One	gTLD Round		
	Report	8		
	on Mitigating the Risk of DNS Namespace Collisions (https://www.icann.org/en/system/files/files/sac-066-en.pdf).			
AR.R2	RSAC provided links to two resources in their response	RSSAC Response		
	(https://community.icann.org/display/NGSPP/Historical+Record+of+Statements+and+Advice+to+the+2012+round+of+the+New+gTLD+	to the Request for		
	Program?preview=/59645657/59647675/RSSAC%20Response%20to%20New%20gTLD%20Subsequent%20Procedures%20Outreach.pdf			
		the 2012 New		
	r	gTLD Round		
	"On 25 November 2010, Jun Murai, then RSSAC Chair, sent comments via email to the ICANN Board of Directors on behalf of the RSSAC.	5.25 100110		
	These comments outlined a series of steps and commitments that RSSAC, on behalf of the root server operators, would take to define			
	the parameters of desired root zone system service." (https://www.icann.org/en/system/files/files/files/murai-to-board-25nov10-en.pdf)			
	the parameters of desired root zone system service. (https://www.icanit.org/en/system/mes/mes/mes/mid/dr-to-dodrd-25/00/10-en.pdf)			
	"The RSSAC also agreed to further technical studies and performance monitoring to ensure the stability and robustness of the root			
	name server system. The culmination of this effort resulted in the publication of RSSAC002: Advisory on Measurements of the Root			
	Server System." (https://www.icann.org/resources/pages/rssac-publication of K35Ac002. Auvisory on Measurements of the Koot			
AR.R3	NCUC Provided the following historical documents for consideration (summary document at	NCUC Response		
MN.K3	need Fronded the following instolled documents for consideration (summary document at	NCOC Response		

	30 Mar 2013 letter to Steve Crocker from NCUC Chair on GNSO motion on Red Cross and IOC name protections: Urges board not to approve GNSO motion because public comments were ignored. (https://community.icann.org/display/NGSPP/Historical+Record+of+Statements+and+Advice+to+the+2012+round+of+the+New+gTLD+ Program?preview=/59645657/59648231/NCUC-Boardletteron%20IOC-RC-clean.pdf) 27 Feb 2012 NCUC comment on defensive registrations: The current framework for the protection of trademarks in the domain name	to the Request for Advice Relating to the 2012 New gTLD Round
	space is more than adequate. Calls for additional protection at the top and second level are unjustifiable. (https://community.icann.org/display/NGSPP/Historical+Record+of+Statements+and+Advice+to+the+2012+round+of+the+New+gTLD+ Program?preview=/59645657/59648228/Defensive%20Registrations-Feb2012.pdf)	
	Feb 2011 NCSG comment on USG proposal on GAC veto of TLDs: Opposes US proposal to eliminate limited public interest objection in favor of a right to veto a TLD "for any reason." (https://community.icann.org/display/NGSPP/Historical+Record+of+Statements+and+Advice+to+the+2012+round+of+the+New+gTLD+ Program?preview=/59645657/59648229/NCSG%20Statement%20on%20USG%20Proposal%20on%20new%20gTLDs.pdf)	
	Dec 2008 NCUC statement of Draft Applicant Guidebook: Invokes principle G (freedom of expression) and notes that the "Morality and Public Order" provisions of the Draft Applicant Guidebook amount to content regulation. (https://community.icann.org/display/NGSPP/Historical+Record+of+Statements+and+Advice+to+the+2012+round+of+the+New+gTLD+ Program?preview=/59645657/59648230/NCUC%20Statement%20on%20new%20gTLDs-final-12-2008.pdf)	
	20 Jul 2007 NCUC statement with dissenting on Recommendation 6: NCUC supports most of the recommendations in the GNSO's Final Report, but not #6, which exceeds the scope of ICANN's mission. It asks ICANN to create rules and adjudicate disputes about permissible expression and enables it to censor expression in domain names that would be lawful in some countries. (https://community.icann.org/display/NGSPP/Historical+Record+of+Statements+and+Advice+to+the+2012+round+of+the+New+gTLD+ Program?preview=/59645657/59648232/NCUC-Dissenting%20View%20on%20Rec6-Jul7-2007.pdf)	
	12 Jun 2007 NCUC comment on the GNSO New TLD Committee's Draft Final Report on the Introduction of New Generic Top Level Domains: Goes through each of the recommendations of the New TLD Committee final report and expresses support or opposition. Emphasis is on protecting free expression rights. (https://community.icann.org/display/NGSPP/Historical+Record+of+Statements+and+Advice+to+the+2012+round+of+the+New+gTLD+ Program?preview=/59645657/59648233/PDP-Dec05-NCUC-CONST-STMT-JUNE2007.pdf)	
AR.R4		GAC Response to the Request for Advice Relating to the 2012 New gTLD Round
AR.R5	https://docs.google.com/spreadsheets/d/1BoDtmXT5GYpeuk5UoSKCQ3MVWldSbh4X86mbCMR4JhA/edit#gid=305222389. Topics include IDNs, PICs, objection procedures, geographic names and other subjects that may be addressed in the PDP but were not the	ALAC Response to the Request for Advice Relating to the 2012 New gTLD Round