New gTLD Subsequent Procedures GNSO PDP
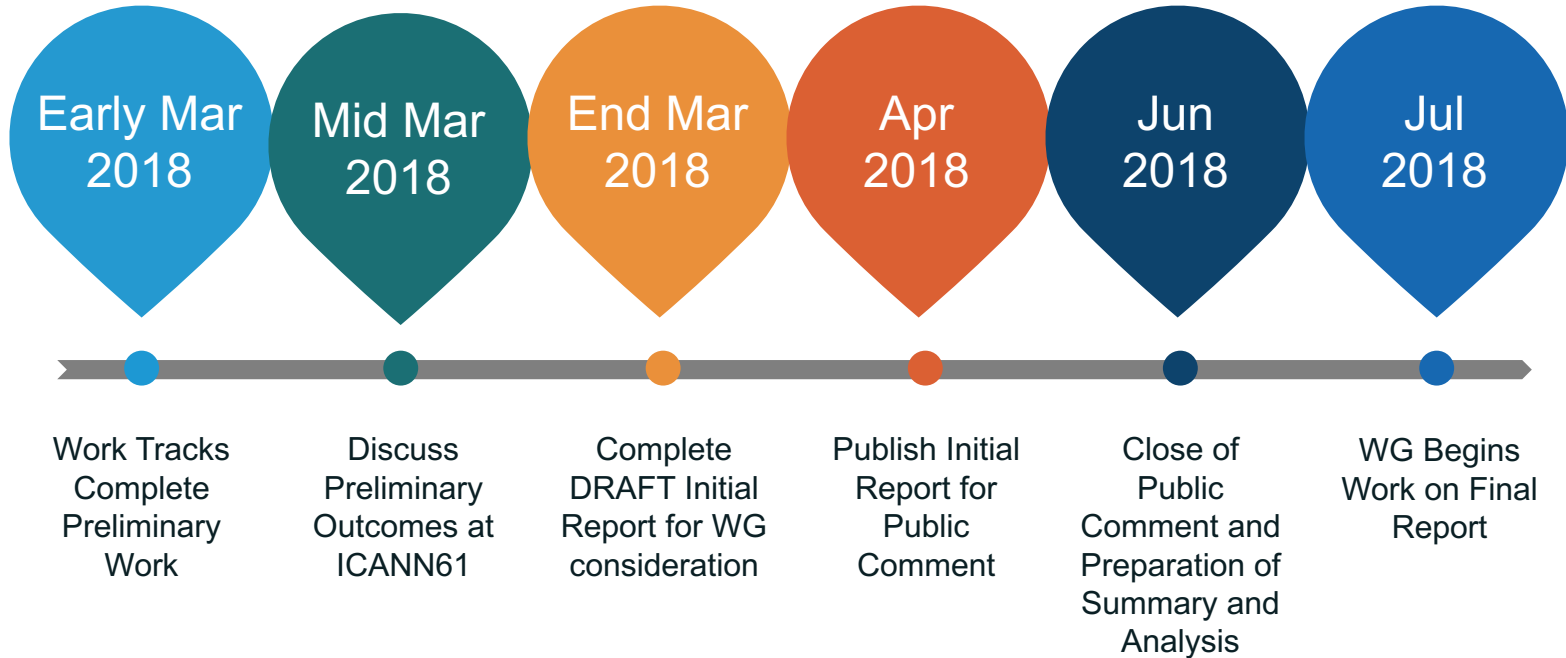
Summary of preliminary recommendations / implementation guidance, options, and questions.

Saturday, 10 March 2018
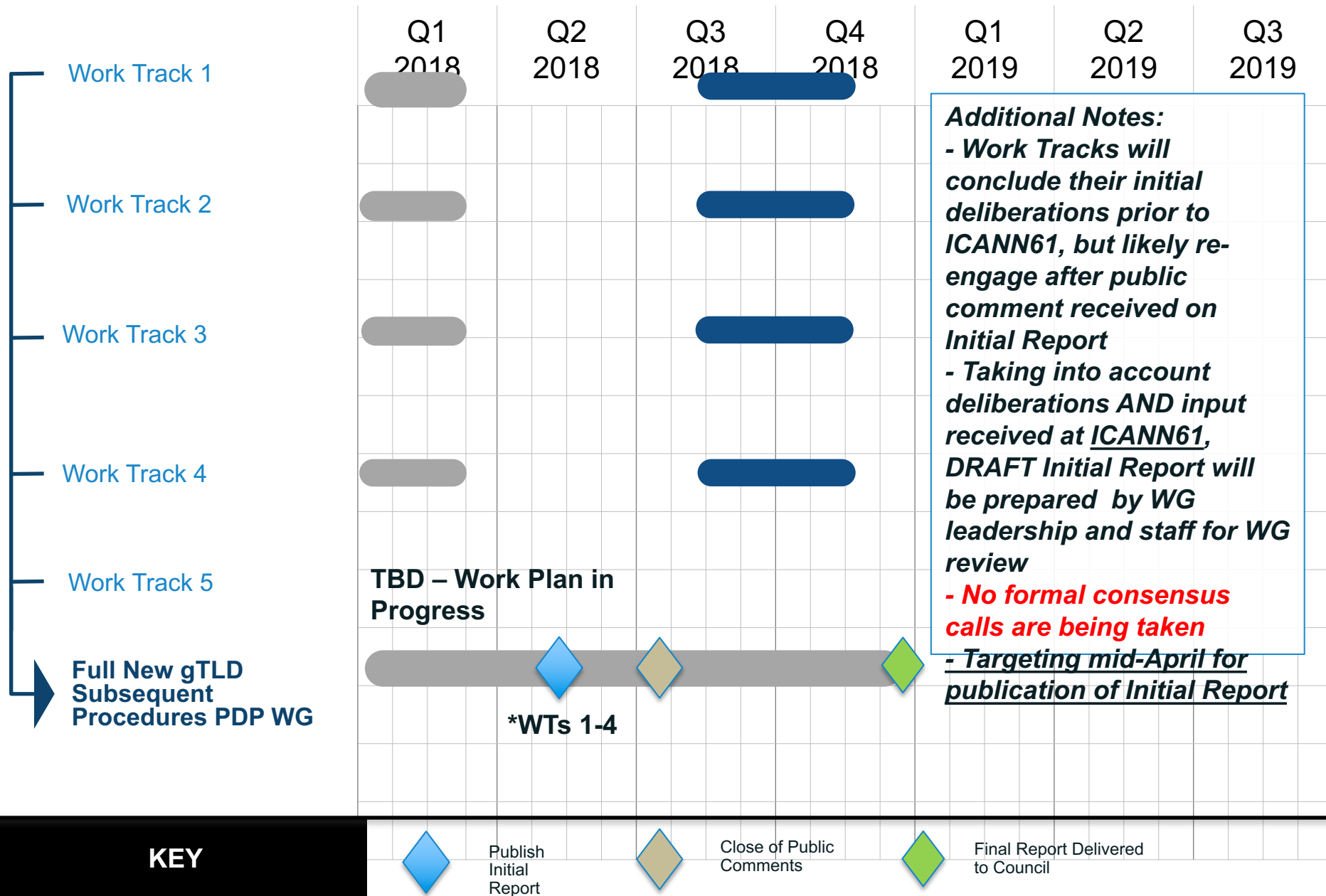
ICANN

Subsequent Procedures PDP Timeline - Initial Report
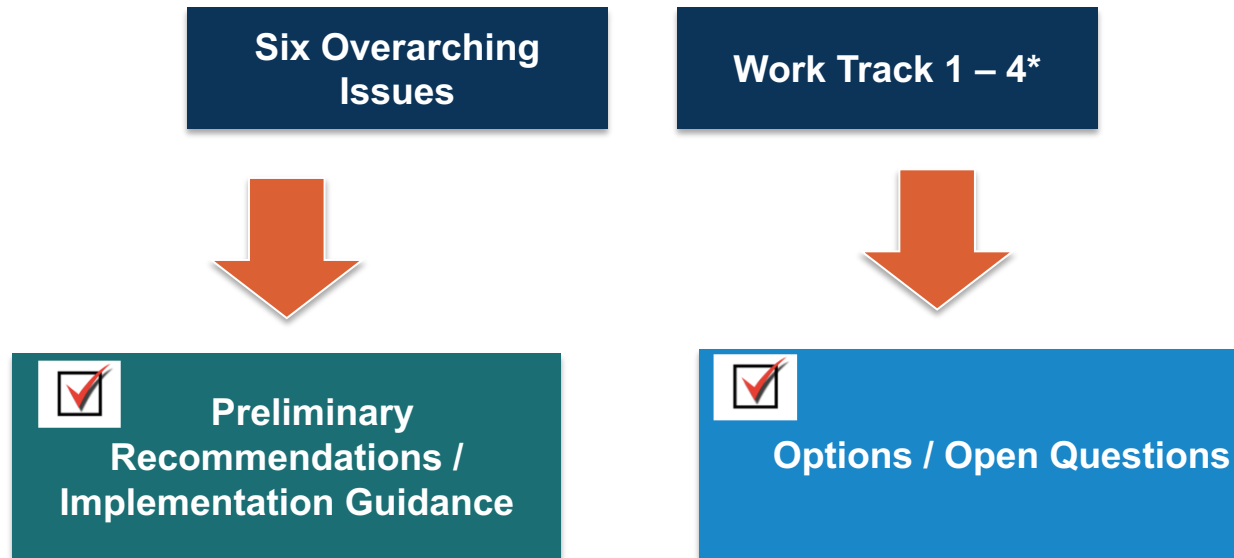
Work Tracks: Overarching & 1 – 4*

Early Mar 2018	Mid Mar 2018	End Mar 2018	Apr 2018	Jun 2018	Jul 2018
Work Tracks Complete Preliminary Work	Discuss Preliminary Outcomes at ICANN61	Complete DRAFT Initial Report for WG consideration	Publish Initial Report for Public Comment	Close of Public Comment and Preparation of Summary and Analysis	WG Begins Work on Final Report

* Work Track 5 will aim for an Initial Report to be published by July-August 2018

New gTLD Subsequent Procedures Timeline

	Q1 2018	Q2 2018	Q3 2018	Q4 2018	Q1 2019	Q2 2019	Q3 2019

Work Track 1

Work Track 2

Work Track 3

Work Track 4

Work Track 5

Full New gTLD Subsequent Procedures PDP WG

TBD – Work Plan in Progress

*WTs 1-4

Additional Notes:
- Work Tracks will conclude their initial deliberations prior to ICANN61, but likely re-engage after public comment received on Initial Report
- Taking into account deliberations AND input received at ICANN61, DRAFT Initial Report will be prepared by WG leadership and staff for WG review
- No formal consensus calls are being taken
- Targeting mid-April for publication of Initial Report

KEY

◆ Publish Initial Report

◆ Close of Public Comments

◆ Final Report Delivered to Council

SubPro Policy Recommendation Status

Six Overarching Issues	Work Track 1 – 4*

☑ **Preliminary Recommendations / Implementation Guidance**

☑ **Options / Open Questions**

Background

- **The outcomes in the Initial Report have <u>not</u> undergone formal consensus calls.**
  - **This is also true of the preliminary outcomes contained in this set of slides**
- The Initial Report will have a mixture of preliminary policy recommendations, preliminary implementation guidance, but also options under discussion and open questions where feedback is being sought from the community.
- This report is intended to provide a high-level understanding of the current status of outcomes for each topic and <u>in some cases, the text has been shortened to allow it to fit on slides</u>. **The text in the Initial Report, when released, should be considered authoritative.**

Overarching Issues & Work Track Topics

Six Overarching Issues

1. **Continuing Subsequent Procedures**
2. **Predictability**
3. **Community Engagement**
4. **Application Assessment in Rounds**
5. **Different TLD Types**
6. **Application Submission Limits**

Work Track 1
Overall Process, Support and Outreach

- Competition, Consumer Trust and Consumer Choice
- Applicant Guidebook
- Clarity of Application Process
- RSP Pre-Approval Program (Formerly the Accreditation Program)
- Systems
- Application Fees
- Communications
- Application Queuing
- Application Submission Period
- Support for Applicants From Developing Countries
- Variable Fees

Work Track 2
Legal/Regulatory

- Reserved Names List / TMCH (Pricing)
- Base Registry Agreement
- Registrant Protections
- Contractual Compliance
- Registrar Non-Discrimination
- TLD Rollout
- Second-level Rights Protection Mechanisms
- Registry/Registrar Standardization
- Global Public Interest
- IGO/INGO Protections
- Closed Generics
- Applicant Terms and Conditions

Work Track 4
IDN, Technical & Operations

- Internationalized Domain Names & Universal Acceptance
- Security & Stability
- Applicant Reviews: Technical/Operational and Financial
- Name Collisions

Work Track 3
String Contention, Objections and Disputes

- New gTLD Applicant Freedom of Expression
- String Similarity
- Objections
- Accountability Mechanisms
- Community Applications

Work Track 5
Geo Names

- Geo Names

Status Update Overview: Overarching Issues

Six Overarching Issues	Recs / IG	Options / Questions
1 Cancelling Subsequent Procedures		
2 Predictability		
3 Community Engagement		
4 Application Assessment in Rounds		
5 Different TLD Types		
6 Application Submission Limits		

Status Update Drill-Down: Overarching Issues

Six Overarching Issues	Updates
1 Cancelling Subsequent Procedures	• WG believes there should be additional new gTLDs and will affirm existing policy
2 Predictability	• WG has prepared a draft predictability framework
3 Community Engagement	• No additional guidance seen at this time
4 Application Assessment in Rounds	• WG agrees that that there will at least be one additional **round**. Moving forward from there, undecided, but presenting options for community feedback.
5 Different TLD Types	• Discussions are still undergoing and no consensus or group direction has been identified to-date to add additional types beyond existing 2012 (standard, community, geographic, and BRAND)
6 Application Submission Limits	• The WG does not believe there is a practical way to limit the number of overall applications per "round" OR applications from any party

Status Update Overview: Work Track 1

11 Work Track Topics	Recs / IG	Options / Questions
1. Competition, Consumer Trust & Consumer Choice		
2. Applicant Guidebook	■	
3. Clarity of the Application Process		■
4. Registry Service Provider (RSP) Pre Approval Program (Formerly the Accreditation Program)	■	■
5. Systems		■
6. Application Fees	■	■
7. Communications		■
8. Application Queuing	■	
9. Application Submission Period	■	■
10. Support for Applicants from Developing Countries	■	■
11. Variable Fees	■	■

Draft Language for Consideration

Applicant Guidebook

Preliminary Implementation Guidance:

Recommendations on the Structure or Design of the AGB
- Reduce the amount of background and rationale, move to Appendices.
    - Less focus on historical context
    - Less of a policy approach
- Create a practical guide that can be used in applying for a TLD
- Improving the Table of Contents along with the Index making the document easier to find relevant information and to search
- Step-by-step, possibly by type of application
- Some WT members pointed out that a digital version could allow for advanced indexing of an omnibus text. A core set of provisions may be applicable to everyone, but additional provisions may only be applicable to some. If the text is tagged and searchable, users could more easily locate the parts of the text that are relevant to them.

Draft Language for Consideration

Communications

Preliminary Implementation Guidance:

- The knowledge base could be made more timely and searchable, applicant advisories could be better communicated (e.g., create some sort of subscription service), program information should be consolidated into a single site, ICANN's Global Stakeholder Engagement team could be leveraged to promote global awareness.
- Metrics to understand the level of success for communications were not established; in particular, lack of awareness around the Applicant Support Program was highlighted as an area of weakness.
- Provide applicants with an option to be notified of developments related to the New gTLD Program and related processes and procedures, as well as information that is germane to their own applications.
- Utilize ICANN portals to submit questions to ICANN for specific applications confidentially. Specific, timely deadline for responding to questions. In addition to the portals, create a more general "help line" and publish a searchable FAQ-type page on ICANN's website.
- Organize regional teams within underserved regions to more effectively introduce, educate and inform people who may be qualified but without the right contacts to learn about the RSP and Applicant Support programs.