|  |  |
| --- | --- |
| **Concern** | **Mitigation** |
| The SPIRT may develop policy and undermine Council remit.  [SPIRT] | * The SPIRT has a representative membership structure to help keep it accountable. * The SPIRT’s functions include issue triage and providing advice on non-minor operational issues. It is not a policy-making body and will not knowingly develop policy. * Council maintains a supervisory role over the SPIRT. * All recommendations are subject to the review and oversight of the GNSO Council, who maintains the discretion on whether or not to adopt the recommendations made to the Council. |
| The SPIRT could be subject to the influence of lobbying (e.g., targeting other applications, re-opening issues, invoking the Framework in the first place, other?).  [SPIRT] | * Members will have diverse interests and backgrounds and complete statements of participation. * Decision-making procedures follow section 3.6 of the GNSO WG Guidelines on consensus-based decision-making. * All recommendations are subject to the review and oversight of the GNSO Council, who maintains the discretion on whether or not to adopt the recommendations made to the Council. * The SPIRT cannot refer itself work – it must be assigned by the GNSO Council, ICANN Board, or ICANN Org. |
| ICANN Org will be able to make certain decisions on its own without consulting with the community (i.e., bucket 1). This could include miscategorizing an issue (either purposely or as a matter of subjectivity).  [Framework] | * The framework puts significant limitations on decisions that ICANN org will make without consultation with the SPIRT. * ICANN org will maintain a change log (that should include informing Council on a timely basis and allowing for subscriptions to learn of updates) * Council maintains a supervisory role over the SPIRT.   The GNSO Council is able to provide comment on items identified by ICANN org in the change log. |
| It is unclear why the SPIRIT is better positioned compared to others in determining what is policy versus implementation.  [SPIRT] | * SPIRT will not be making any recommendations in a vacuum. It will work in collaboration with Council, Org, and Board as appropriate with Council playing a supervisory role. * It is expected that the SPIRT can work more efficiently than the Council alone because it and its membership are dedicated to this purpose. * As indicated in Implementation Guidance, the goal in implementation is to make the Framework and SPIRT as clear and simple as is feasible |
| Determining which ”bucket” something is in will not always be clear.  [Framework] | * SPIRT’s role is advisory in nature and will not be making any recommendations in a vacuum. It will work in collaboration with Council, Org, and Board as appropriate with Council playing a supervisory role. * ICANN org will maintain a change log (that should include informing Council on a timely basis and allowing for subscriptions to learn of updates) |
| The Framework and SPIRT are too complicated and GAC Concern [it is not clear that they create value versus existing mechanisms for issue mitigation].  [Framework and SPIRT] | * The Framework and the SPIRT are focused solely on mitigating issues related to the New gTLD Program, which should better ensure proper expertise, availability of resources, nimbleness and flexibility. * The working group has not come to an agreement on a simpler alternative that is fit for purpose. * During the course of implementation, thought should be given to whether educational or explanatory materials may be needed to promote better understanding of the mechanism and the SPIRT. |
| GAC Concern - The Framework and SPIRT may undermine existing roles and responsibilities afforded to the ICANN organizations under the ICANN Bylaws.  [Framework and SPIRT] | * The SPIRT’s functions include issue triage and providing advice on operational issues. It is not a policy-making body. * SPIRT members will have diverse interests and backgrounds and complete statements of participation. The SPIRT is intended to be open and encouraged to be representative. * ICANN org will maintain a change log (that should include informing Council on a timely basis and allowing for subscriptions to learn of updates) * The Framework does not impact or seek to limit any SO or AC’s ability to carry out its role and responsibilities under the ICAN Bylaws. |