



Next-Generation RDS to replace WHOIS

Overview of main documents

Agenda

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GNSO Policy
Development
Process

2

PDP Working Group
Charter

3

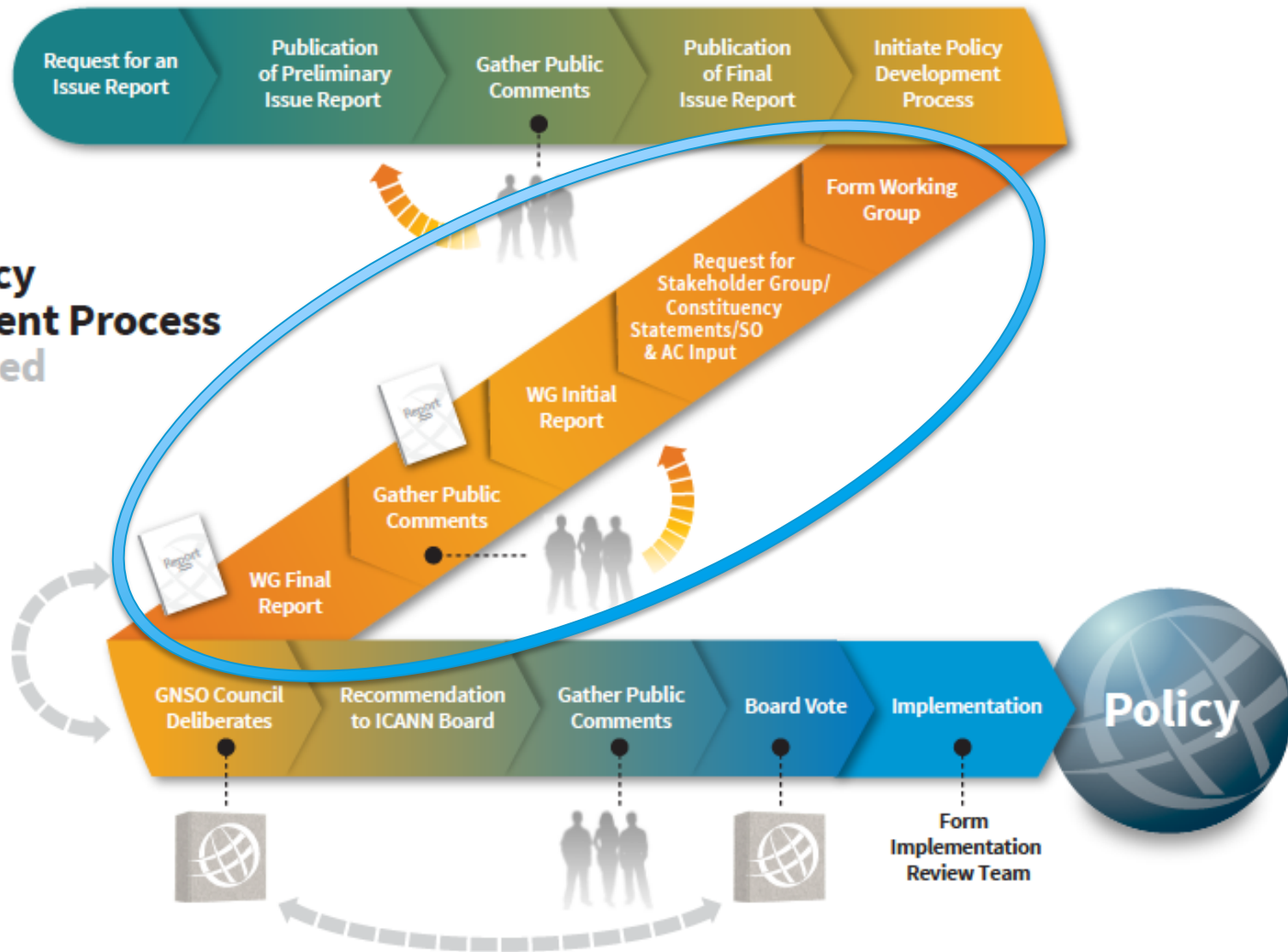
GNSO Working
Group Guidelines

GNSO Policy Development Process

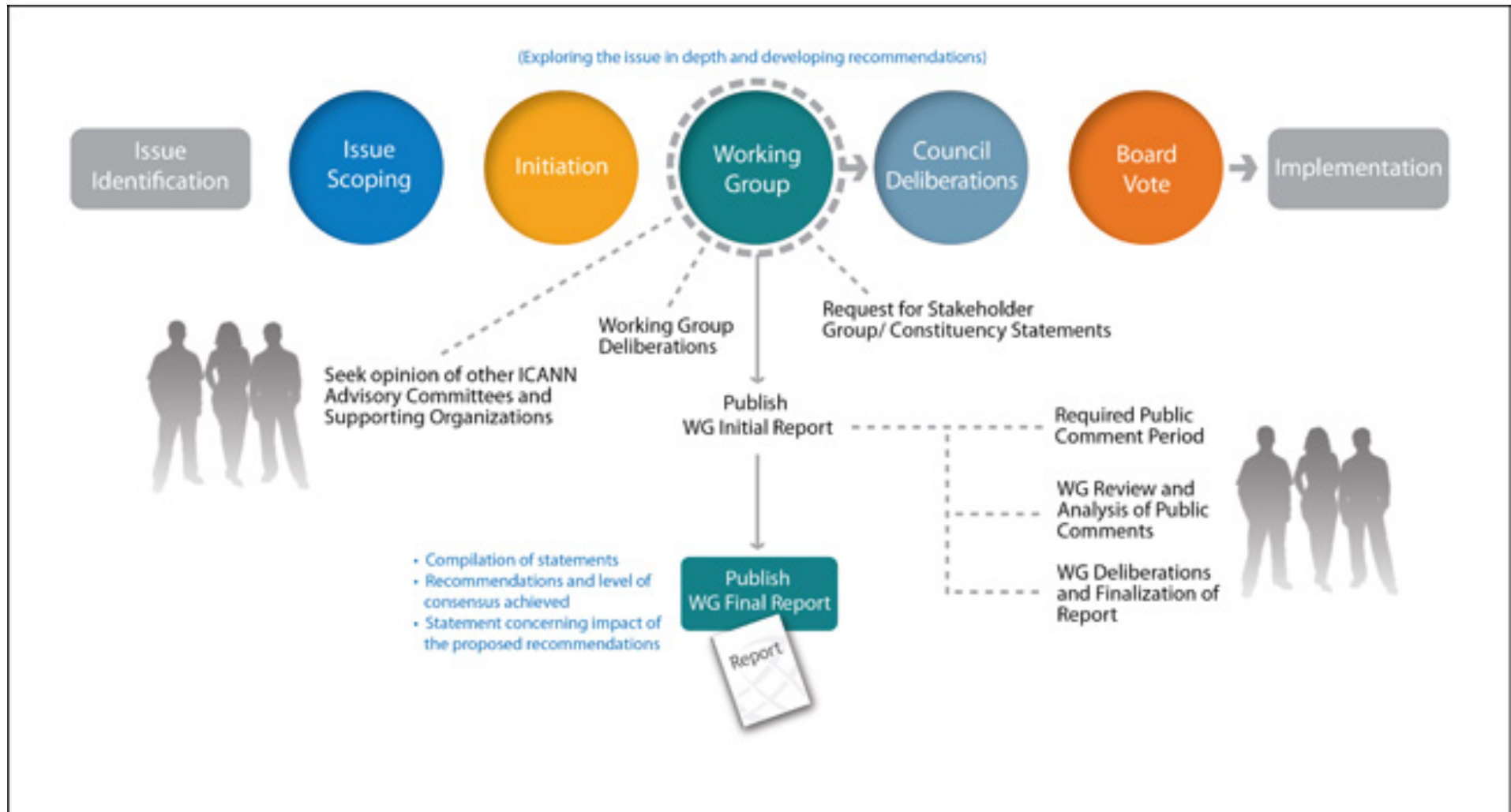
GNSO Policy Development Process

GNSO Policy Development Process *Summarized

*Some steps omitted, for brevity.



PDP Working Group Stage



PDP Working Group Stage Minimum Requirements

- ⦿ Request input from GNSO Stakeholder Groups and Constituencies in the early stages of the PDP. Stakeholder Groups and Constituencies should at a minimum have 35 days to complete such a statement. WG to determine how to structure request for input (e.g. in the form of questions, survey, open-ended)
- ⦿ Seek opinion of other ICANN Supporting Organizations and Advisory Committees. WG to determine how to structure request for opinion (e.g. in the form of questions, survey, open-ended)
- ⦿ Address Charter requirements and questions
- ⦿ Publish Initial Report for public comment
- ⦿ Analyze and address all comments received
- ⦿ Publish Final Report for GNSO Council consideration

Further reading

- Annex A of the ICANN Bylaws - <http://www.icann.org/en/about/governance/bylaws#AnnexA>
- PDP Manual – <http://gnso.icann.org/en/council/annex-2-pdp-manual-24jun15-en.pdf>
- PDP Overview - <http://gnso.icann.org/en/basics/consensus-policy/pdp>

PDP Working Group Charter

Charter reflects the Process Framework

- ◉ The board-adopted Process Framework was incorporated into the Draft Charter for a PDP WG on a Next-Generation gTLD RDS
- ◉ This Framework identified Pre-PDP WG Steps:
 - ◉ Completed: New Issue Report (including needed inputs and draft PDP WG Charter); Public Comment; Final Issue Report
 - ◉ GNSO Council consideration; PDP WG formation
- ◉ Followed by PDP WG, grouped and sequenced into 3 phases:
 - ◉ Phase 1: Policy Requirements Definition (IF & WHY)
 - ◉ Phase 2: Policy Functional Design (WHAT)
 - ◉ Phase 3: Implementation Guidance (HOW)
- ◉ Post-WG Steps: GNSO Council and Board Approval; Implementation Review Team Formation; Implementation, informed by PDP WG guidance

Phase 1 Questions

At a minimum attempt to reach consensus on the following questions:

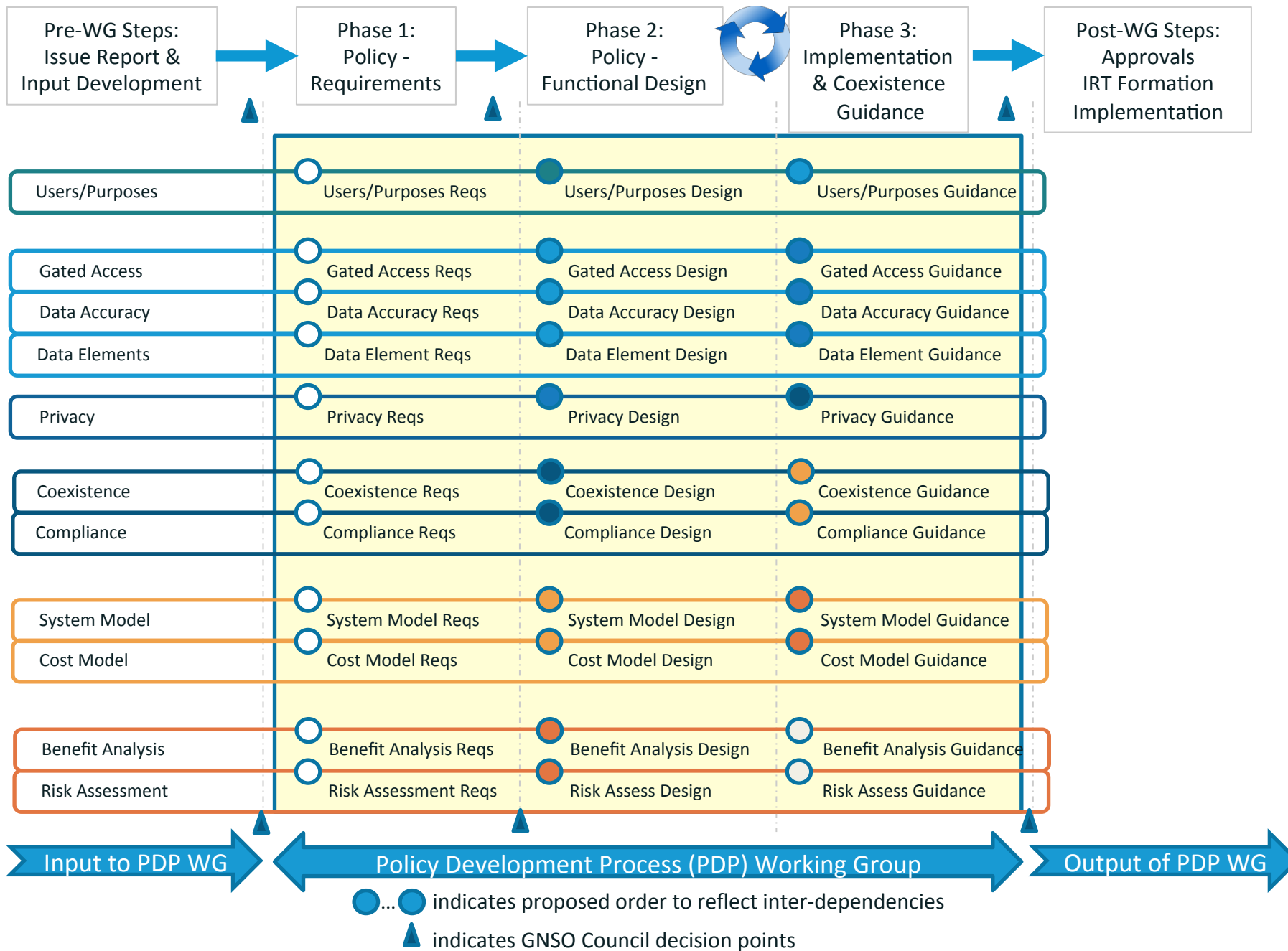
- What are the fundamental requirements for gTLD registration data?
- Is a new policy framework and next-generation RDS needed to address these requirements? If not, how does WHOIS meet these requirements

As part of its deliberations on these questions, consider at a minimum:

1. Users/Purposes – who should have access and why?
2. Gated access – what steps should be take to control data access for each user/purpose?
3. Data accuracy – what steps should be taken to improve data accuracy?
4. Data elements – what data should be collected, stored, disclosed?
5. Privacy – what steps are needed to protect data and privacy?
6. Coexistence – what steps should be taken to enable next-generation RDS coexistence with and replacement of the legacy WHOIS system?
7. Compliance – what steps are needed to enforce these policies?
8. System model – what system requirements must be satisfied by any next-generation RDS implementation?
9. Cost – what costs will be incurred and how must they be covered?
10. Benefits – what benefits will be achieved and how will they be measured?
11. Risks – what risks do stakeholders face and how will they be reconciled?

Information sources

- Each of these areas is defined in the Issue Report and suggested PDP WG inputs – including but not limited to the recommendations by the Expert Working Group’s Final Report.
- The process framework time-sequences these areas to accommodate inter-dependencies and create opportunities for parallel policy development, subject to resource availability (see next slide).
- The EWG Final Report and FAQs, tutorials and EWG Member Statements should serve as the foundation for the PDP.
- In addition to past work on this topic and all available inputs suggested in the Issue Report and the Process Framework, the PDP WG should take into account ongoing initiatives that may help inform deliberations such as: implementation of the 2013 RAA; implementation of Thick WHOIS; Privacy and Proxy Services Accreditation Issues Recommendations; Translation & Transliteration recommendations.
- Relevant input provided by SG/Cs and SO/ACs.



Phase 1 Requirements

- The PDP WG should examine all requirements for gTLD registration data and directory services at a high level.
- Due to inter-dependencies, all areas should be considered together, by a single team. For example, the PDP WG should consider whether gTLD registration data should continue to be accessible for any purpose, or whether data should be accessible only for specific purposes. If the PDP WG decides the latter, it should recommend permissible users and purposes.
- At the conclusion of phase 1, the PDP WG's output should be sent to the GNSO Council for approval of its recommendations regarding IF and WHY a next-generation RDS is needed to replace WHOIS. If the WG has concluded that a new policy framework is needed, this output should include requirements to be addressed by that new framework and next-generation RDS. However, if the WG has concluded the existing WHOIS system can adequately address requirements, the WG's output should confirm this and identify any necessary changes to the WHOIS policy framework.

Council Deliberations on Phase 1

- Before proceeding to phase 2, the GNSO Council should decide whether or not sufficient progress has been made to move to the next phase, whether questions still need to be more fully addressed before moving to the new phase, or whether the PDP WG has accomplished its charter.
- The GNSO Council should be guided at each such decision point by a set of questions that assess how well key goals and concerns have been addressed. At a minimum these should include the questions posed by the ICANN Board: why are gTLD registration data collected; what purpose will the data serve; who collects the data; what value does the public realize with access to registration data; of all registration data available, which does the public need access to; is the WHOIS protocol the best choice for providing that access; what safeguards are provided to protect the data.
- Additionally, the Council should consider whether the PDP WG made suitable progress towards key goals and concerns in this phase of the PDP (see charter for example questions).

GNSO Working Group Guidelines

GNSO WG Guidelines

- The objective of the GNSO Working Group Guidelines is to assist Working Groups to optimize productivity and effectiveness
- The main elements of importance to Working Group members covered are:
 - First meeting of the Working Group
 - Working Group Member Roles and Responsibilities
 - Use of sub-teams, briefings and subject matter experts
 - Participation and Representativeness
 - Process integrity, Behavior and norms
 - Standard Methodology for Making Decisions
 - Appeal process
 - Communication and collaboration tools
 - Products & Output

Further reading

- GNSO Working Group Guidelines Summary -
<http://gns0.icann.org/council/summary-gns0-wg-guidelines-06apr11-en.pdf>
- GNSO Working Group Guidelines -
<http://gns0.icann.org/en/council/annex-1-gns0-wg-guidelines-24jun15-en.pdf>