

Dear All,

In follow-up to **Action item #4** assigned during your initial PDP WG call, listed below and attached as a PDF for easy future reference, please find a list of available resources to inform this group's deliberations.

All of these resources can be accessed from your wiki workspace: <https://community.icann.org/x/rjJ-Ag>. Please contact staff if you should have suggestions for materials to be added or updated on these wiki pages.

**Background Documents & Information Page, found at**  
<https://community.icann.org/pages/viewpage.action?pageId=56986688>

*All WG members should familiarize themselves with background documents, starting with those highlighted in yellow below that are specifically called out by the Charter as important documents.*

*To facilitate navigation of this large library of background materials, the documents and sections most relevant to each question to be addressed by this PDP were highlighted in the Issue Report and summarized in tables linked to this wiki page: <https://community.icann.org/display/qTLDRDS/Questions>*

#### **General GNSO Processes & Background**

- [Overview of GNSO Policy Development](#)
- [GNSO Policy Development Process](#) (from the ICANN Bylaws, Annex A)
- [GNSO PDP Manual](#)
- [GNSO WG Guidelines](#)
- [GNSO WG Chair Description](#)

#### **Board and GNSO Motions**

- [Board Motion to initiate PDP](#) (8 November 2012)
- [Board Motion reaffirming request for PDP](#) (26 April 2015)
- [GNSO Motion to approve WG Charter](#) (19 November 2015)

#### **EWG Final Report, FAQs, and Research**

- [EWG Final Report](#) (6 June 2014)
- EWG [Public Research Reports](#)
  - [Data Protection Considerations Applicable to the Collection of gTLD Registration Data](#)
  - [ccTLD WHOIS Data Verification/Validation](#) Survey
  - [WHOIS Privacy and Proxy Service Provider Practices](#) Survey
  - [Contact Data Validation and Verification Systems RFI](#)
  - [Registration Directory Service User Accreditation RFI](#)
  - [RDS Implementation Model Cost Analysis](#)
  - [RDS Risk/Benefit Survey](#)
- [EWG FAQs and Multimedia FAQs](#)
- [EWG Tutorial and Webinars](#)

- [EWG List of Proposed Principles](#) (extracted from June 2014 Final Report)
- [EWG's page on the ICANN website](#)
- [EWG Member Individual Statements and Blogs](#)

## EP-WG Process Framework

- [Process Framework Page](#) (26 April 2015)

## Issue Reports & Public Comments

- [Preliminary Issue Report](#) (13 July 2015)
- [Final Issue Report \(7 October 2015\)](#)
- [Public Comment Announcement](#) (13 July 2015)
- [Public Comment Forum](#) (13 July 2015 - 6 September 2015)
- [Report of Public Comments](#) (7 October 2015)
- Hyperlinked Table of [Public Comments on Issue Report](#)

## WG Charter

- [WG Charter Page](#) (19 November 2015)
- [Call for PDP WG Volunteers](#) (4 January 2016)

## Tutorials

- [EWG RDS FAQs](#)
- [EWG Multimedia FAQs](#)
- [EWG RDS Tutorial](#)
- [Top 10 Questions about the RDS Webinar](#) (16-17 September 2014)
- *Additional tutorial materials to be developed as needed*

## Additional Key Inputs, listed below and accessible from this page:

<https://community.icann.org/display/gTLDRDS/Additional+Key+Inputs>

*WG members may also wish to familiarize themselves with the following additional key inputs, all of which were identified in the Issue Report as useful in describing the history of this issue and various published perspectives and past recommendations regarding WHOIS.*

*Here again, to facilitate navigation, the key inputs most relevant to each question were identified in the Issue Report and listed in tables here: <https://community.icann.org/display/gTLDRDS/Questions>*

## WHOIS Task Force & Policy Review Team Reports

- [WHOIS Task Force Final Report](#) (2003)
- [WHOIS Task Force Final Report](#) (2007)
- [WHOIS Policy Review Team Final Report](#) (2012)

## Procedure for Handling Conflicts with National Laws

- [ICANN Procedure For Handling WHOIS Conflicts with Privacy Law](#)
- [Review of the ICANN Procedure for Handling WHOIS Conflicts with Privacy Law](#)
- 2013 RAA's [Data Retention Specification Waiver](#) and [Discussion Document](#)

## **WHOIS Studies**

- GNSO Council [Recommendation on Further Studies of WHOIS](#)
- [WHOIS Misuse Study](#) and [Final Study Report](#)
- [WHOIS Registrant Identification Study](#) and [Final Study Report](#)
- [WHOIS Privacy and Proxy Services Abuse Study](#) and [Final Study Report](#)
- [WHOIS Privacy and Proxy Relay/Reveal Survey](#) and [Final Survey Results](#)
- US GAO [Prevalence of False Contact Information for Registered Domain Names](#) Study (2005)
- NORC [WHOIS Accuracy Study](#) (2010)
- NORC [Study on the Prevalence of Domain Names Registered Using A Privacy or Proxy Service Among the Top 5 gTLDs](#) (2010)

## **SSAC Reports**

- [SAC054, Report on Domain Name Registration Data Model](#)
- [SAC055, WHOIS: Blind Men and an Elephant](#)

## **Registry & Registrar Agreements**

- [2013 Registrar Accreditation Agreement](#) (RAA) and [WHOIS Requirements](#)
- [2014 Registry Agreement](#)

## **WHOIS Program Improvement Documents**

- Accuracy Reporting System (ARS) [Pilot Study Report](#)
- ICANN Blog: [Phase 1 of the ARS](#)
- [ARS Phase 1 Validation Criteria](#)
- [WHOIS Information Portal and Consolidated WHOIS Lookup Tool](#)
- [WHOIS Primer](#)
- [Annual Report on WHOIS Improvements](#)
- [WHOIS Implementation Report](#)

## **Related GNSO PDP Reports**

- GNSO PDP on [Thick WHOIS](#) and [Final Report](#)
- GNSO PDP on [Privacy & Proxy Services Accreditation Issues \(PPSAI\)](#) and [Final Report](#)
- GNSO PDP on [Translation/Transliteration of Contact Information](#) and [Final Report](#)

## **GAC Communiqués regarding WHOIS**

- [Singapore GAC Communiqué](#) (11 February 2015)
- [Los Angeles GAC Communiqué](#) (16 October 2014)
- [London GAC Communiqué](#) (25 June 2014)

- [Singapore GAC Communiqué](#) (27 March 2014)
- [Beijing GAC Communiqué](#) (11 April 2013)
- [GAC 44 Prague Communiqué](#) (28 June 2012)
- [GAC Principles regarding gTLD WHOIS Services](#) (28 March 2007)

## Article 29 Data Protection Working Party Letters

- Article 29 WP statement on the data protection impact of the revision of the ICANN RAA (2013-2014)  
<https://www.icann.org/en/system/files/correspondence/namazi-to-kohnstamm-25mar14-en.pdf>  
<https://www.icann.org/en/system/files/correspondence/kohnstamm-to-jeffrey-08jan14-en.pdf>  
<https://www.icann.org/en/system/files/correspondence/jeffrey-to-kohnstamm-20sep13-en.pdf>  
<https://www.icann.org/en/system/files/correspondence/kohnstamm-to-crocker-chehade-06jun13-en.pdf>
- Article 29 WP comments on the data protection impact of the revision of the ICANN RAA concerning accuracy and data retention of WHOIS (2012)  
<https://www.icann.org/en/system/files/correspondence/kohnstamm-to-crocker-atallah-26sep12-en.pdf>  
<https://www.icann.org/en/news/correspondence/chehade-to-kohnstamm-09oct12-en>
- Article 29 WP on ICANN Procedure for Handling WHOIS Conflicts with Privacy Law (2007)  
<http://gnso.icann.org/en/correspondence/cerf-to-schaar-24oct07.pdf>  
<https://www.icann.org/en/system/files/files/cerf-to-schaar-15mar07-en.pdf>  
<https://www.icann.org/en/correspondence/schaar-to-cerf-12mar07.pdf>
- Article 29 WP on ICANN's WHOIS Database Policy (2006)  
<https://www.icann.org/en/system/files/files/schaar-to-cerf-22jun06-en.pdf>  
<https://www.icann.org/en/correspondence/lawson-to-cerf-22jun06.pdf>  
<https://www.icann.org/en/correspondence/parisse-to-icann-22jun06.pdf>  
<https://www.icann.org/en/system/files/files/fingleton-to-cerf-20jun06-en.pdf>
- [Article 29 WP 2/2003 Opinion](#) on the application of the data protection principles to the WHOIS directories

## IETF RFCs

- [Legacy WHOIS protocol \(RFC 3912\)](#)
- [Registration Data Access Protocol \(RDAP\)](#)
- [Extensible Provisioning Protocol \(EPP\)](#)

## Other Input Documents (summary of [Background Documents page](#), repeated here for completeness)

- [General GNSO Processes & Background](#)
- [Board & GNSO Motions](#)
- [EWG Final Report, FAQs](#), and [Research](#)

- [EWG RDS Tutorial and Webinars](#)
- [EWG Member Individual Statements and Blogs:](#)
  - [Dissenting Report from Stephanie Perrin](#) [PDF, 108 KB] by Stephanie Perrin, EWG Member
  - [How to Improve WHOIS Data Accuracy](#), by Lanre Ajayi, EWG Member
  - [Where Do Old Protocols Go To Die?](#), by Scott Hollenbeck, EWG Member
  - [Some Thoughts on the ICANN EWG Recommended Registration Directory Service \(RDS\)](#), by Rod Rasmussen, EWG Member
  - [Building a Better WHOIS for the Individual Registrant](#), by Carlton Samuels, EWG Member
- [EP-WG Process Framework](#)
- [Issue Report & Public Comments](#)
- [WG Charter](#)
- [Affirmation of Commitments \(AoC\)](#)

Additional key input documents may be added here as needed.