RDAP Operational Profile for gTLD Registries and Registrars

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II. Introduction

Lookup and search services for name resources have traditionally been offered through WHOIS (see RFC3912), with additional services such as web pages and bulk download. Despite its wide deployment and usage, shortcomings of the WHOIS protocol became apparent with the years. These include the lack of a standard data model, the lack of support for internationalization and the inability to authenticate users and provide differentiated services to classes of users.

In 2012, The Internet Engineering Task Force (IETF) chartered the <u>WEIRDS</u> (Web Extensible Internet Registration Data Services) working group to determine the needs of the community. This working group concluded in early 2015 with the publication of several specifications (<u>RFC7480</u>, <u>RFC7481</u>, <u>RFC7482</u>, <u>RFC7483</u>, <u>RFC7484</u> and <u>RFC7485</u>) defining the behavior of the Registry Data Access Protocol (RDAP), a standardized replacement for WHOIS.

The goal of this document is to define an RDAP profile for gTLD Registries and Registrars. This document covers the features within the RDAP protocol that are mandatory, the basic parameters, the mandatory set of objects to be implemented, and other allowed optional objects.

III. RDAP Operational Profile

The purpose of this profile is to specify the RDAP requirements that are in line with the current Whois service requirements. The profile is built from the related IETF standards, requirements from the gTLD Registry Agreement (RA), 2013 Registrar Accreditation Agreement (RAA), Whois-related advisories and consensus policies published by ICANN.

This document should be read together with the following:

- gTLD Base Registry Agreement (RA),
 https://newgtlds.icann.org/sites/default/files/agreements/agreement-approved-09jan14-en.htm
- 2013 Registrar Accreditation Agreement (RAA), https://www.icann.org/resources/pages/approved-with-specs-2013-09-17-en
- Additional Whois Information Policy (AWIP), https://www.icann.org/resources/pages/policy-awip-2014-07-02-en
- Advisory: Clarifications to the Registry Agreement, and the 2013 Registrar
 Accreditation Agreement (RAA) regarding applicable Registration Data Directory
 Service (Whois) Specifications ("RDDS Clarification Advisory"),
 https://www.icann.org/resources/pages/registry-agreement-raa-rdds-2015-04-27-en
- Registry Registration Data Directory Services Consistent Labeling and Display Policy (CL&D), https://www.icann.org/resources/pages/rdds-labeling-policy-2016-07-26-en

Section 1 contains requirements applicable to both gTLD Registries and Registrars. Section 2 only applies to gTLD Registries while Section 3 only applies to gTLD Registrars.

The term "RDDS fields" in this document refers to the key/value pairs listed in the RDDS Specification (Section 1 of Specification 4) of the gTLD Base Registry Agreement (RA), the Registration Data Directory Service (WHOIS) Specification of the 2013 Registrar Accreditation Agreement (RAA) or the RDDS Clarification Advisory.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", and "MAY" in this document are to be interpreted as described in RFC 2119, which is available at http://www.ietf.org/rfc/rfc2119.txt.

- 1. The following requirements apply to both gTLD Registries and Registrars (i.e. contracted parties)
 - 1.1. Within the present profile and through the RAA and RA, all references to Registration Data Directory Services (RDDS) apply to the following services: WHOIS (port 43), web-based WHOIS and RDAP.

1.2. RDDS fields defined as Optional in this document are REQUIRED to be included in a response, using the appropriate mapping as defined in Appendix B, when germane to the query and data exists in the Registry or Registrar database, as the case may be.

1.3. RDAP protocol:

- 1.3.1. The RDAP service MUST implement the following RFCs:
 - <u>RFC7480</u> HTTP Usage in the Registration Data Access Protocol (RDAP)
 - <u>RFC7481</u> Security Services for the Registration Data Access Protocol (RDAP)
 - o RFC7482 Registration Data Access Protocol (RDAP) Query Format
 - <u>RFC7483</u> JSON Responses for the Registration Data Access Protocol (RDAP)
 - <u>RFC7484</u> Finding the Authoritative Registration Data (RDAP)
 Service
- 1.3.2. The RDAP service MUST be provided over HTTPS only. The RDAP service MUST use the best practices for secure use of TLS as described in <u>RFC7525</u> or its successors.
- 1.3.3. A client must be able to successfully validate the TLS certificate used for the RDAP service with a TLSA record from the DNS (RFC 6698 and RFC 7671) published by the RDAP service provider. The Certificate Usage field of the TLSA record MUST have a value of 1 or 3.
- 1.3.4. The TLS certificate used for the RDAP service MUST be issued by a Certificate Authority (CA) trusted by the major browsers and mobile operating systems such as the ones listed in the Mozilla Included CA Certificate List (https://wiki.mozilla.org/CA:IncludedCAs). The TLS certificate used for the RDAP service MUST be issued by a CA that follows the latest CAB Forum Baseline Requirements (https://cabforum.org/baseline-requirements-documents).
- 1.3.5. The RDAP service MUST support both GET and HEAD types of HTTP methods. HEAD requests are used to verify the existence of an object in the database, as specified in RFC7480.
- 1.3.6. RDAP extensions, if used, MUST be registered in the IANA's RDAP Extensions registry (https://www.iana.org/assignments/rdap-extensions/rdap-extensions.xhtml), as defined in RFC7480. Contracted parties MAY deploy RDAP extensions in order to add new RDDS fields, RDAP events or RDAP roles without further approval by ICANN. The RDAP extensions MUST NOT provide confidential information of any sort, add browser executable code (e.g., Javascript) to the response,

- nor cause a negative impact to the security, stability, or resiliency of the Internet's DNS or other systems. Contracted parties SHALL provide and update the relevant documentation of all the RDAP extensions supported to ICANN prior to deployment.
- 1.3.7. An *rdapConformance* object [RFC7483] MUST be present in the topmost object of every response, and it MUST contain the conformance level of the RDAP protocol and of any extension, as specified in RFC7483.
- 1.3.8. RDAP services MUST be available over both IPv4 and IPv6 transport. The resource records related to the RDAP service MUST be signed with DNSSEC, and the DNSSEC chain of trust from the root trust anchor to the name of the RDAP server MUST be valid at all times. The DNSSEC security algorithm used for zone signing at each level MUST be listed as standardized for Zone Signing in the IANA's Domain Name System Security (DNSSEC) Algorithm Numbers registry.
- 1.3.9. Contracted parties MUST only use fully qualified domain names in RDAP responses.

1.4. Responses to RDAP queries:

- 1.4.1. The RDAP server MUST support Internationalized Domain Name (IDN) RDAP lookup queries using A-label or U-label format [RFC5890] for domain names, and in the case of Registries, also for name server objects. An RDAP server that receives a query string with a mixture of A-labels and U-labels MUST convert all the U-labels to A-labels, perform IDNA processing, and proceed with exact-match lookup.
- 1.4.2. The source data used to generate the RDAP responses MUST be the same across all RDDS services (i.e. port-43 WHOIS, web-based WHOIS and RDAP).
- 1.4.3. The case (i.e. uppercase and lowercase) of the data returned in RDAP responses SHOULD preserve the case received via EPP.
- 1.4.4. The terms of service of the RDAP service MUST be specified in the *notices* object in the topmost JSON object of the response. The *notices* object MUST contain a *links* object [RFC7483]. The *links* object MUST contain an URL of the contracted party providing the RDAP service.
- 1.4.5. In contact *entities* [RFC7483], phone numbers MUST be inserted as *tel* properties with a *voice* type parameter, as specified in RFC6350, the vCard Format Specification and its corresponding JSON mapping RFC7095.

- 1.4.6. In contact *entities*, fax numbers are Optional RDDS fields, if used, MUST be inserted as *tel* properties with a *fax* type parameter, as specified in <u>RFC6350</u>, the vCard Format Specification and its corresponding JSON mapping <u>RFC7095</u>.
- 1.4.7. RDAP Help queries [RFC7482] MUST be answered and include a *links* member with a URL to a document that provides usage information, policy and other explanatory material.
- 1.4.8. Truncated RDAP responses MUST contain a *notices* member describing the reason of the truncation. The *notices* object type MUST be of the form "Response truncated due to {authorization|load|unexplainable reason}".
- 1.4.9. Truncated RDAP objects MUST contain a *remarks* member describing the reason of the truncation. The *remarks* object type MUST be of the form "Result set truncated due to {authorization|load|unexplainable reason}".
- 1.4.10. An RDAP response MUST contain a *remarks* member with a *description* containing the string "This response conforms to the RDAP Operational Profile for gTLD Registries and Registrars version 1.0".
- 1.4.11. If permitted or required by an ICANN agreement provision, waiver, or Consensus Policy, an RDAP response may contain redacted registrant, administrative, technical and/or other contact information. If any information is redacted, the response MUST include a *remarks* member with *title* "Data Policy", *type* "object truncated due to authorization", a *description* containing the string "Some of the data in this object has been removed" and a *links* member with the elements *rel:alternate* and *href* indicating where the data policy can be found. An entity with redacted information MUST include the "removed" value in the *status* element.
- 1.4.12. An *entity* object within an RDAP response MUST contain an *events* member with the following events:
 - An event of eventAction type registration.
 - An event of eventAction type last changed. The event of eventAction type last changed MUST be omitted if the Contact Object (as defined in RFC5733) has not been updated since it was created.
 - An event of *eventAction* type *last update of RDAP database*.
- 1.4.13. In the case of a TLD in which name servers are specified as *Host Objects* (as defined in RFC5732), a *nameserver* object within an RDAP response MUST contain an *events* member with the following events:

- An event of eventAction type registration.
- An event of eventAction type last changed. The event of eventAction type last changed MUST be omitted if the Host Object has not been updated since it was created.
- An event of *eventAction* type *last update of RDAP database*.
- 1.4.14. The RDAP database (i.e. separate database used to generate the RDAP responses) MUST only include registration data (e.g., contact fields) synchronized from the Registry or Registrar database, as the case may be. For the absence of doubt, the RDAP service is one of the Registration Data Directory Services (RDDS) as defined in the RA and the RAA. In a case where the contracted party is querying its database directly, and therefore, using real-time data, the *eventAction* type *last update of RDAP database* MUST show the timestamp of the response to the query.
- 1.5. Responses to domain name RDAP queries:
 - 1.5.1. The top-level domain object [RFC7483] in the RDAP response MUST contain the A-label format of the domain in the *ldhName* member [RFC7483].
 - 1.5.2. The top-level domain object in the RDAP response MUST contain the U-label format of the domain in the *unicodeName* member [RFC7483], only if the domain name is an IDN.
 - 1.5.3. The top-level domain object in the RDAP response MUST contain a *status* member [RFC7483] with the domain statuses in the SRS. The status MUST be a valid status type per the IANA's RDAP JSON Values registry.
 - 1.5.4. The *status* member value of the RDAP *domain, nameserver* [RFC7483] and *entity* objects MUST conform to the values defined in IANA's RDAP JSON Values (https://www.iana.org/assignments/rdap-json-values.xhtml) of status type.
 - 1.5.5. The *status* member of a domain object in the RDAP response MUST match the EPP Status codes in the SRS within the allowed timeframe (e.g. ≤60 minutes) to update the RDAP database from the Registry or Registrar database, as the case may be.
 - 1.5.6. The *status* member of a domain object in the RDAP response MUST be either (1) an RDAP status derived from an EPP status code (e.g. the RDAP status "pending update" is derived from EPP status code "pendingUpdate") or (2) an RDAP status according to the mapping table in Appendix C.

- 1.5.7. The *domain* object in the RDAP response MUST contain the name servers of the domain in the *nameservers* member. Each *nameserver* object MUST contain the following member: *ldhName*. The following members are Optional: *ipAddresses* [RFC7483], *unicodeName*, *handle* [RFC7483] (ROID of the host object, *<host:roid>* as defined in RFC5732), and *status*. In the case of a TLD in which name servers are specified as domain attributes, the *nameserver* object MUST NOT contain the following members: *handle* and *status*.
- 1.5.8. The *domain* object in the RDAP response MUST contain *entities* with the following roles. Exactly one *entity* per role MUST be present in the response, each of them with a *handle* (ROID of the contact object, <*contact:roid>*, as defined in RFC5733) and valid members *fn*, *adr*, *tel*, *email* (as specified in RFC6350, the vCard Format Specification and its corresponding JSON mapping RFC7095):
 - o registrant
 - o administrative
 - o technical
- 1.5.9. The *domain* object in the RDAP response MAY contain an *entity* of the *billing* role with a *handle* (ROID of the contact object, *<contact:roid>*, as defined in RFC5733) and valid members *fn*, *adr*, *tel*, *email*.
- 1.5.10. The following RDDS fields used to generate the *adr* member of the entities with the *registrant*, *administrative* and *technical* roles are REQUIRED to be included in the RDAP response:
 - Registrant/Admin/Tech Street
 - Registrant/Admin/Tech City
 - Registrant/Admin/Tech Country
- 1.5.11. The following RDDS fields are Optional:
 - Registrant/Admin/Tech Organization
 - Registrant/Admin/Tech State/Province
 - Registrant/Admin/Tech Postal Code
 - Registrant/Admin/Tech Phone Ext
 - Registrant/Admin/Tech Fax
 - Registrant/Admin/Tech Fax Ext
- 1.5.12. The *domain* object in the RDAP response MUST contain an *entity* with the *registrar* role (called registrar entity in this section). The *handle* of the *entity* MUST be equal to the IANA Registrar ID. A valid *fn* member MUST be present in the *registrar* entity. Other members MAY be present in the *entity* (as specified in <u>RFC6350</u>, the vCard Format Specification and its corresponding JSON mapping <u>RFC7095</u>). Contracted parties MUST include an *entity* with the *abuse* role (called

- Abuse Entity in this section) within the registrar *entity*. The Abuse Entity MUST include *tel* and *email* members, and MAY include other members.
- 1.5.13. The *entity* with the *registrar* role in the RDAP response MUST contain a *publicIDs* member [RFC7483] to identify the IANA Registrar ID from the IANA's Registrar ID registry (https://www.iana.org/assignments/registrar-ids/registrar-ids.xhtml). The type value of the *publicID* object MUST be equal to IANA Registrar ID.
- 1.5.14. The *domain* object in the RDAP response MUST contain the following events:
 - An event of *eventAction* type *registration*.
 - An event of *eventAction* type *expiration*.
 - An event of eventAction type last changed. The event of eventAction type last changed MUST be omitted if the domain name has not been updated since it was created.
 - An event of *eventAction* type *last update of RDAP database*.
- 1.5.15. The *domain* object in the RDAP response MAY contain the following events:
 - An event of *eventAction* type *registrar expiration*.
 - An event of eventAction type transfer, with the last date and time that the domain was transferred. The event of eventAction type transfer MUST be omitted if the domain name has not been transferred since it was created.
- 1.5.16. Entities MUST use jCard [RFC7095] structured addresses.
- 1.5.17. If the queried domain name is allocated, the following applies: If allocated variant domain names exist for the queried domain name, or if the domain name is an allocated variant domain name, the domain object in the RDAP response MUST contain a *variants* member [RFC7483]. The variants *relation* member MUST contain valid variant *relation* types as defined in the IANA's RDAP JSON Values registry. If the queried domain name is an allocated variant name, the original name MUST be included in the *variants* member. In the case of Registrars, the *variants* member MUST reflect the latest known set of variant domain names and *relation* types.
- 1.5.18. A domain name RDAP response MUST contain a *remarks* member with a *title* "EPP Status Codes", a *description* containing the string "For more information on domain status codes, please visit https://icann.org/epp" and a *links* member with the https://icann.org/epp URL.

- 1.5.19. The *domain* object in the RDAP response MUST contain a *secureDNS* member [RFC7483] including at least a *delegationSigned* element. Other elements (e.g. *dsData*, *maxSigLife*) of the *secureDNS* member MUST be included, if the domain name is signed and the elements are stored in the Registry or Registrar database, as the case may be.
- 1.5.20. A domain name RDAP response MUST contain a *remarks* member with a *title* "Whois Inaccuracy Complaint Form", a *description* containing the string "URL of the ICANN Whois Inaccuracy Complaint Form: https://www.icann.org/wicf" and a *links* member with the https://www.icann.org/wicf URL.
- 1.5.21. The returned *domain* object in the RDAP response MAY contain exactly one entity with the *reseller* role, if the domain name was registered through a reseller.
- 1.5.22. The *domain* object *handle* in the RDAP response MUST contain the Repository Object Identifier (ROID of the domain object, *<domain:roid>* as defined in RFC5731) for the domain name object.

Note: a mapping of RDAP elements/objects is available in Appendix B.

- 1.5.23. RDAP test services MAY be operated, if such services comply with the following requirements:
 - a) An RDAP test service MUST NOT be listed in the IANA's Bootstrap Service registry for Domain Name Space.
 - b) An RDAP test service MAY implement RDAP extensions without further approval by ICANN. The Registry Operator is not required to inform ICANN about these RDAP extensions.
 - c) All RDAP test services MUST be decommissioned no later than 01 February 2019, or a later date defined by ICANN.
 - d) The operation of an RDAP test service MUST NOT provide confidential information of any sort.
 - e) The operation of an RDAP test service MUST NOT cause a negative impact to the security, stability, or resiliency of the Internet's DNS or other systems.
 - f) ICANN reserves the right to request termination of an RDAP test service for a given TLD at any time. Registry Operator MUST terminate an RDAP test services no later than seven (7) calendar days after receiving a request by ICANN.

g) The RDAP test services MUST be provided over HTTPS only. The RDAP service MUST use the best practices for secure use of TLS as described in RFC7525 or its successors.

2. The following requirements apply to Registries only:

- 2.1. Registries MUST support RDAP search requests for name servers by IP address as defined in RFC7482 section 3.2.2.
- 2.2. If a Registry supports multiple host objects with the same name, the Registry MUST support the capability to respond with a set of host objects in response to a name server lookup, no later than 180 days after an RFC defining this capability has been published.
- 2.3. The RDAP domain response MUST contain a links object, as defined in RFC7483 section 4.2, in the topmost JSON object of the response, if the registration data of the registrant, administrative, or a technical contact is not available in the registry (i.e. a "thin" registration). The links object MUST contain the elements rel:related and href pointing to the Registrar's RDAP URL of the queried domain name object.
- 2.4. Registries offering Whois contact lookup (e.g., per exhibit A of their RA) MUST support RDAP lookup request for *entities* with any role within other objects using the *handle* (as described in 3.1.5 of RFC7482).
- 2.5. Sections 1.5.8, 1.5.9, 1.5.10 and 1.5.11 do not apply for "thin" registrations.
- 2.6. Reporting requirements:
 - 2.6.1. Specification 3 of the RA specifies the format and content of the monthly reporting for Registry operators. The following rows are added to the Registry Functions Activity Report under section 2:

Field #	Field Name	Description
40	rdap-queries	Number of RDAP queries during the
		period.
41	rdap-rate-limit	Number of RDAP queries refused due to
		rate limiting for the period.
42	rdap-redirects	Number of HTTP redirects for the
		period.
43	rdap-authenticated	Number of authenticated RDAP queries
		for the period.
44	rdap-search-domain	Number of RDAP domain search queries
		for the period.
45	rdap-search-entity	Number of RDAP entity search queries
		for the period.
46	rdap-truncated-	Number of RDAP responses truncated due
	authorization	to authorization. Includes both
		results and object truncation events.
47	rdap-truncated-load	Number of RDAP responses truncated due
		to server load. Includes both results

		and object truncation events.
48	rdap-truncated- unexplainable	Number of RDAP responses truncated due to unexplainable reasons. Includes both results and object truncation events.

2.7. RDAP Bootstrapping requirements:

- 2.7.1. The base URL of RDAP services MUST be registered in the IANA's Bootstrap Service registry for Domain Name Space (https://www.iana.org/assignments/rdap-dns/rdap-dns.xhtml), as described in RFC7484, through the IANA Root Zone Management system. A separate entry is required for each TLD.
- 2.7.2. When the RDAP service base URL needs to be changed, the previous URL and the new one MUST remain in operation until: 1) the IANA's Bootstrap Service registry for Domain Name Space is updated, and 2) the date and time in the Expires HTTP header of a HTTP/GET request performed on the IANA's Bootstrap registry for Domain Name Space (after the new URL has been published) has elapsed.
- 2.7.3. An IANA's Bootstrap registry for Domain Name Space entry MUST be populated with an HTTPS URL only.

2.8. Response to registrar queries:

- 2.8.1. In response to registrar queries, the returned RDAP response MUST be an *entity* with *registrar* role, with a *handle* and valid elements *fn*, *adr*, *tel*, *email*.
- 2.8.2. Registrar object lookup using an entity search on the fn element MUST be supported.
- 2.8.3. Registries MUST support lookup for *entities* with the *registrar* role within other objects using the *handle* (as described in 3.1.5 of RFC7482). The *handle* of the *entity* with the *registrar* role MUST be equal to IANA Registrar ID. The *entity* with the *registrar* role in the RDAP response MUST contain a *publicIDs* member to identify the IANA Registrar ID from the IANA's Registrar ID registry. The type value of the *publicID* object MUST be equal to IANA Registrar ID.
- 2.8.4. The *adr* member in the RDAP response for a Registrar query MUST at least contain the following RDDS fields:
 - Street
 - City
 - Country
- 2.8.5. The following RDDS fields in the RDAP response for a Registrar query are Optional:

- State/Province
- Postal Code
- o Fax Number
- 2.8.6. The RDAP response SHOULD contain at least two *entities*, with the *administrative* and *technical* roles respectively within the *entity* with the *registrar* role. The *entities* with the *administrative* and *technical* roles MUST contain a *handle* and valid *fn*, *tel*, *email* members, and MAY contain a valid *adr* element.
- 2.9. Responses to name server RDAP queries:
 - 2.9.1. Registries MUST support *nameserver* lookup queries based on the name server's name as specified in 3.1.4 of RFC7482.
 - 2.9.2. The name server's name MUST be specified in the *ldhName* in A-label format.
 - 2.9.3. All known glue record IPv4 and IPv6 addresses for the name server MUST be listed in the *ipAddresses* member.
 - 2.9.4. The *unicodeName* member MUST be present in the response to a *nameserver* lookup, if the name server has an IDN label.
 - 2.9.5. The Registrar RDDS field is Optional; if present, it MUST be represented as an *entity* with the *registrar* role. The *handle* of the *entity* with the *registrar* role MUST be equal to IANA Registrar ID. The entity with the *registrar* role in the RDAP response MUST contain a *publicIDs* member to identify the IANA Registrar ID from the IANA's Registrar ID registry. The type value of the *publicID* object MUST be equal to IANA Registrar ID.
 - 2.9.6. In the case of a Registry in which name servers are specified as domain attributes, the existence of a name server used as an attribute for an allocated domain name MUST be treated as equivalent to the existence of a host object.
 - 2.9.7. The *nameserver* object MUST contain the following member: *ldhName*. The following members are Optional: *ipAddresses* [RFC7483], *unicodeName*, *handle* [RFC7483] (ROID of the host object, *<host:roid>* as defined in RFC5732) and *status*. In the case of a Registry in which name servers are specified as domain attributes, the *nameserver* object MUST NOT contain the following members: *handle* and *status*.
- 3. The following requirements apply to Registrars only:
 - 3.1. Responses to domain name RDAP queries:

- 3.1.1. For all gTLDs, with the exception of .com, .jobs and .net¹, Registrars are REQUIRED to provide an RDAP service for domain names for which the Registrar is the Sponsoring Registrar, and the registration data stored in the Registry is "thin". Registrars MAY offer an RDAP service for domain names registered under any gTLD.
- 3.1.2. A Registrar MUST return an HTTP 404 response when the Registrar is not the Sponsoring Registrar for the domain name.
- 3.1.3. The *domain* object *handle* in the RDAP response MUST contain the Repository Object Identifier (ROID of the domain object, *<domain:roid>* as defined in RFC5731) for the Domain Name object. For example, a Registrar could obtain the ROID from the Registry via EPP and cache the information locally after creating or gaining a domain name via a transfer.
- 3.1.4. The *entity handle* in the RDAP response MUST contain the Repository Object Identifier (ROID of the contact object, *<contact:roid>*, as defined in RFC5733) for the Contact object. For example, a Registrar could obtain the ROID from the Registry via EPP and cache the information locally. The RAA 2013 defines that this information MUST be shown if available from the Registry. If this information is not available from the Registry (e.g., a "thin" Registry), the *handle* MUST contain the unique identifier within the Registrar.
- 3.1.5. The *eventAction* type *last changed* MUST reflect the date and time of the latest successful update known to the Registrar. Registrars are not required to constantly refresh this date from the Registry.
- 3.1.6. The *status* element MUST reflect the latest known set of EPP statuses in the Registry. Registrars are not required to constantly refresh the EPP statuses from the Registry.

¹ The upcoming Thick Whois Policy that covers the transition of .com, .jobs and .net gTLDs from thin to thick Whois is expected to define requirements for Registrars to offer an RDAP service for registrations under these TLDs.

Appendix A: Open Issues

The following issues have been identified in the RDAP base specification required to mirror the current RDDS requirements. The following section describes the issues found, and the possible solutions. Implementers are advised that the RFC(s) published in the future to handle this missing functionality may be different from the proposed solution in this section.

1. Status Codes for Domains

The current Whois requirements (see, Additional Whois Information Policy, https://www.icann.org/resources/pages/policy-awip-2014-07-02-en) require the use the EPP domain statuses codes in responses.

This issue is discussed in the IETF document "Extensible Provisioning Protocol (EPP) and Registration Data Access Protocol (RDAP) Status Mapping" (https://tools.ietf.org/html/draft-ietf-regext-epp-rdap-status-mapping). This Internet Draft proposes new RDAP status codes to cover the EPP domain statuses that cannot be mapped to the currently defined RDAP statuses.

2. Multiple host objects for the same name server name

Items 29, 30, 32 of the RDDS Clarification Advisory (https://www.icann.org/resources/pages/registry-agreement-raa-rdds-2015-04-27-en) cover the case of the existence of multiple host objects for the same name server name. This requirement is not supported by the RDAP specification.

An Internet Draft (https://tools.ietf.org/html/draft-lozano-rdap-nameservers-sharing-name) with a potential solution for this issue was published in the IETF I-D repository, and the author is working with the REGEXT WG to move it through the IETF process.

Appendix B: Data Elements Mappings

Domain Name Query Elements (this section applies to both registries and registrars)

The table below provides data elements mappings of RDDS fields to RDAP for Domain Name queries.

RDDS field (see RA and RAA)	Lauretta un im BRAR Barrana	
Registry	Registrar	Location in RDAP Response
General		
WHOIS Server / Referral URL		links object with rel:related
	Registrar WHOIS Server /	[Not applicable in RDAP]
	Registrar URL	
Last update of WHOIS database	Last update of WHOIS database	events.eventAction "last update of RDAP database"
Domains		
Domain Name	Domain Name	ldhName
Domain ID	Registry Domain ID	handle
Updated Date	Updated Date	events.eventAction "last changed"
Creation Date	Creation Date	events.eventAction "registration"
Registry Expiry Date		events.eventAction "expiration"
Registrar Registration	Registrar Registration	events.eventAction "registrar
Expiration Date (see CL&D)	Expiration Date	expiration"
Domain Status	Domain Status	status object
Name Server	Name Server	nameservers.ldhname
DNSSEC	DNSSEC	secureDNS object
Internationalized Domain	Internationalized Domain	unicodeName
Name	Name	
Registrar		
Sponsoring Registrar	Registrar	entities.roles registrar
Sponsoring Registrar IANA ID	Registrar IANA ID	publicIDs.identifier
Registrar Abuse Contact Email (see CL&D)	Registrar Abuse Contact Email	entities.role abuse
Registrar Abuse Contact Phone (see CL&D)	Registrar Abuse Contact Phone	entities role abuse
Reseller	•	
Reseller (see CL&D)	Reseller	entities.roles reseller
Registrant Contact		entities role registrant
Registrant ID	Registry Registrant ID	entity.handle
Registrant Name	Registrant Name	jCard "fn"
Registrant Organization	Registrant Organization	org
Registrant Street	Registrant Street	Grouped into the adr member.
Registrant City	Registrant City	1
Registrant State/Province	Registrant State/Province	1

Registrant Postal Code	Registrant Postal Code	
Registrant Country	Registrant Country	-
Registrant Phone Number	Registrant Phone Number	tel with a type parameter voice
Registrant Phone Number Ext	Registrant Phone Number Ext	ext
Registrant Fax	Registrant Fax	tel with a type parameter fax
Registrant Fax Ext	Registrant Fax Ext	ext
Registrant Email	Registrant Email	email
Administrative Contact	Registratic Ethan	entity role administrative
Admin ID	Registry Admin ID	entity.handle
Admin Name	Admin Name	iCard "fn"
Admin Organization	Admin Organization	org
Admin Street	Admin Street	Grouped into the adr member.
Admin City	Admin City	
Admin State/Province	Admin State/Province	
Admin Postal Code	Admin Postal Code	
Admin Country	Admin Country	1
Admin Phone Number	Admin Phone Number	tel with a type parameter voice
Admin Phone Number Ext	Admin Phone Number Ext	ext
Admin Fax	Admin Fax	tel with a type parameter fax
Admin Fax Ext	Admin Fax Ext	ext
Admin Email	Admin Email	email
Technical Contact		entitites.role technical
Tech ID	Registry Tech ID	entity.handle
Tech Name	Tech Name	jCard "fn"
Tech Organization	Tech Organization	org
Tech Street	Tech Street	Grouped into the adr member.
Tech City	Tech City	
Tech State/Province	Tech State/Province	
Tech Postal Code	Tech Postal Code	
Tech Country	Tech Country	
Tech Phone Number	Tech Phone Number	tel with a type parameter voice
Tech Phone Number Ext	Tech Phone Number Ext	ext
Tech Fax	Tech Fax	tel with a type parameter fax
Tech Fax Ext	Tech Fax Ext	ext
Tech Email	Tech Email	email
Billing Contact		entities role billing
Billing ID	Registry Billing ID	entity.handle
Billing Name	Billing Name	jCard "fn"
Billing Organization	Billing Organization	org
Billing Street	Billing Street	Grouped into the adr member.
Billing City	Billing City	
Billing State/Province	Billing State/Province	
Billing Postal Code	Billing Postal Code	
Billing Country	Billing Country	
Billing Phone Number	Billing Phone Number	tel with a type parameter voice
Billing Phone Number Ext	Billing Phone Number Ext	ext
Billing Fax	Billing Fax	tel with a type parameter fax
Billing Fax Ext	Billing Fax Ext	ext

Billing Email	Billing Email	email
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Name Server Elements (this section only applies to registries)

The table below provides data elements mappings of RDDS fields to RDAP for Name Server queries.

RDDS field (see RA)	Location in RDAP Response	
Registry		
Server Name	nameserver.ldhName	
IP Address	nameserver.ipAddresses	
Registrar	entities.roles registrar	
WHOIS Server / Referral URL	[Not applicable in RDAP]	
Last update of WHOIS database (RA and RAA)	events.eventAction "last update of RDAP	
	database"	

Registrar Queries Elements (this section only applies to registries)

The table below provides data elements mappings of RDDS fields to RDAP for Registrar queries.

RDDS field (see RA)	Location in RDAP Response	
Registry		
General		
WHOIS Server / Referral URL	[Not applicable in RDAP]	
Last update of WHOIS database (RA and RAA)	events.eventAction "last update of RDAP	
	database"	
Registrar	entities.role registrar	
Registrar Name	jCard fn	
Registrar Street	Grouped into the adr member.	
Registrar City		
Registrar State/Province		
Registrar Postal Code		
Registrar Country		
Registrar Phone Number	tel with a type parameter voice	
Registrar Phone Number Ext	ext	
Registrar Fax	tel with a type parameter fax	
Registrar Fax Ext	ext	
Registrar Email	email	
Registrar Administrative Contact	entity.role administrative	
Admin Contact	jCard fn	
Phone Number	tel with a type parameter voice	
Phone Number Ext	ext	

Fax Number	tel with a type parameter fax
Fax Number Ext	ext
Email	email
Registrar Technical Contact	entitites.role technical
Technical Contact	jCard fn
Phone Number	tel with a type parameter voice
Phone Number Ext	ext
Fax Number	tel with a type parameter fax
Fax Number Ext	ext
Email	email

Appendix C: EPP status code mapping

EPP Status Code	RDAP Status Code	Comments
linked (contact status from RFC5733)	associated	Applies to name servers and entities, when they are associated with a network resource or domain.
ok	active	

Appendix D: RDAP IETF Standards

RDAP standards are a set of specifications, which together provide a complete RDAP service. Each specification is briefly described below.

RFC7480 - HTTP Usage in the Registration Data Access Protocol (RDAP) https://www.rfc-editor.org/rfc/rfc7480.txt

Describes usage of HTTP transport for RDAP, error messages, RDAP extensions, rate limiting and internationalization with URIs.

RFC7481 - Security Services for the Registration Data Access Protocol (RDAP) https://www.rfc-editor.org/rfc/rfc7481.txt

Covers access control, authentication, authorization, privacy, data confidentiality and RDAP services availability considerations.

RFC7482 - Registration Data Access Protocol (RDAP) Query Format https://www.rfc-editor.org/rfc/rfc7482.txt

Defines the URL patterns for networks, autonomous systems, reverse DNS, name servers, registrars and entities queries. Also covers help requests, search (wildcards) and internationalization in requests.

RFC7483 - JSON Responses for the Registration Data Access Protocol (RDAP) https://www.rfc-editor.org/rfc/rfc7483.txt

Defines JSON object classes for domains, name servers, entities, IP networks and autonomous system numbers. Describe answers to help queries, searches, JSON-embedded error codes and truncated answers.

RFC7484 - Finding the Authoritative Registration Data (RDAP) Service https://www.rfc-editor.org/rfc/rfc7484.txt

Describes a method to find the authoritative server for RDAP data.

IANA RDAP JSON Values Registry

https://www.iana.org/assignments/rdap-json-values/rdap-json-values.xhtml

This registry defines valid values for RDAP JSON status, role, notices and remarks, event action, and domain variant relation, as defined in RFC7483.

IANA Bootstrap Service Registry for Domain Name Space https://www.iana.org/assignments/rdap-dns/rdap-dns.xhtml

Appendix E: Other References

RFC7485 - Inventory and Analysis of WHOIS Registration Objects https://www.rfc-editor.org/rfc/rfc7485.txt

Extensible Provisioning Protocol (EPP) and Registration Data Access Protocol (RDAP) Status Mapping

https://tools.ietf.org/html/draft-gould-epp-rdap-status-mapping

jCard: The JSON Format for vCard https://tools.ietf.org/html/rfc7095

vCard Format Specification https://tools.ietf.org/html/rfc6350

gTLD Base Registry Agreement

https://newgtlds.icann.org/sites/default/files/agreements/agreement-approved-09jan14-en.htm

2013 Registrar Accreditation Agreement

https://www.icann.org/resources/pages/approved-with-specs-2013-09-17-en

ICANN Advisories

https://www.icann.org/resources/pages/advisories-2012-02-25-en

Advisory: Clarifications to the Registry Agreement, and the 2013 Registrar Accreditation Agreement (RAA) regarding applicable Registration Data Directory Service (Whois) Specifications (RDDS clarification Advisory)

https://www.icann.org/resources/pages/registry-agreement-raa-rdds-2015-04-27-en

Advisory: Registrar Implementation of the 2013 RAA's Whois Requirements https://www.icann.org/news/announcement-2013-07-31-en

ICANN Consensus Policies

https://www.icann.org/resources/pages/registrars/consensus-policies-en

Additional Whois Information Policy

https://www.icann.org/resources/pages/policy-awip-2014-07-02-en

EPP Status Code (ICANN)

https://www.icann.org/epp

Draft Final Report from the Expert Working Group on Internationalized Registration Data

https://gnso.icann.org/en/issues/ird/ird-draft-final-10mar15-en.pdf

Study to Evaluate Available Solutions for the Submission and Display of Internationalized Contact Data

https://www.icann.org/en/system/files/files/transform-dnrd-02jun14-en.pdf

Final Report on the Thick Whois Policy Development Process https://gnso.icann.org/en/issues/whois/thick-final-21oct13-en.pdf

ICANN Whois Marketing Restriction Policy https://www.icann.org/resources/pages/registrars/consensus-policies/wmrp-en

gTLD Applicant Guidebook

https://newgtlds.icann.org/en/applicants/agb/guidebook-full-04jun12-en.pdf

RIPE RDAP Implementation (beta) https://github.com/RIPE-NCC/whois/wiki/RDAP

CNNIC RDAP Project Git https://github.com/cnnic/rdap

APNIC RDAP Conformance Git https://github.com/APNIC-net/rdap-conformance

Viagenie RDAP Test Suite http://rdap.viagenie.ca

Mozilla Included CA Certificate List https://wiki.mozilla.org/CA:IncludedCAs

CAB Forum Baseline Requirements https://cabforum.org/baseline-requirements-documents