# **Guidelines for the Implementation of Internationalized Domain Names**

## Interim Draft Version

## 1 Introduction

These Guidelines are about the implementation of Internationalized Domains Names (IDN) under Internet domains. IDN is standardized by IETF in IDNA2008.

The main target of this document is Top-Level Domain ("TLD") registries that offer or plan to offer registrations of IDNs under their Registry Agreements. For other registries (e.g. Country Code Top Level Domain Name registries) this document is the best current practice. These Guidelines are also valuable for registrars offering registration of IDNs.

The document has been prepared by members of the IDN Guidelines Working Group (IDNGWG), listed in Appendix A, constituted following the <u>Call for Community Experts</u>.

#### 1.1 Normative Language

The key words "MUST", "REQUIRED", "SHALL", "SHOULD", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119.

#### 1.2 Document Version

This document supersedes version 3.0 of the Guidelines following the expansion of the DNS under the 2012 New gTLD Program.

## 2 IDN Guidelines

#### 2.1 Transition

- TLD registries supporting Internationalized Domain Names ("IDNs") will do so in strict compliance with the requirements of the IETF protocol for Internationalized Domain Names in Applications, as defined in RFCs 5890, 5891, 5892, 5893, and 5894.
- 2. No code point permitted in IDNA2003 but disallowed in IDNA2008 will be accepted for registration regardless of the extent to which such code points appear in names registered prior to the protocol revision. The registrant of a domain that is no longer supported by IDNA2008 should be notified that there may be unanticipated consequences for a user attempting to reach it, and such names should be replaced, held, or deleted at registry initiative.
- 3. When a preexisting name requires a registry to make transitional exception to any of these Guidelines, the terms of that action will also be made readily available online, including the timeline for the resolution of such transitional matters. The excepted

- registrations themselves are, however, not part of this documentation. At the end of the transitional period, code points that are prohibited by IDNA2008 will not be permitted even by exception.
- 4. No label containing hyphens in the third and fourth positions will be registered unless it is a valid A-label, with reservation for transitional action in accordance with the preceding Guideline. Hyphens in these positions are explicitly reserved to indicate encoding schemes, of which IDNA is only one instantiation. These guidelines are not intended to assist with any other instantiations.

#### 2.2 Terminology

Relevant terminology used in the Guidelines is defined in Appendix B of this document with the intention that these definitions will be adopted by the community and used consistently across it.

## 2.3 Format of IDN Tables

- 6. A registry will publish one or several lists of Unicode code points that are permitted for registration and will not accept the registration of any name containing an unlisted code point. Each such list will indicate the script or language(s) it is intended to support. If registry policy treats any code point in a list as a variant of any other code point, the variant rules and the policies attached to it will be clearly articulated.
- 7. Label Generation Rules ("LGR") must be placed in the IANA Repository for IDN Practices. Further, (a) Except as applicable in 7(b) below, Registries must use Label Generation Ruleset (RFC 7940) format to represent a LGR; (b) Registries with existing legacy IDN tables already present within the IANA Repository for IDN Practices at the time these guidelines are published, are encouraged to transition to the LGR format; (c) The LGR must include the complete repertoire of code points, any variants and any applicable whole-label evaluation rules which the registry uses to determine if a label is acceptable for registration.

## 2.4 Consistency of IDN Tables

8. TLD registries are encouraged to collaborate on issues of shared interest, for example, by forming a consortium to coordinate contact with external communities, elicit the assistance of support groups, and establish global fora to address common current and emerging challenges in the development and use of IDNs.

<sup>&</sup>lt;sup>1</sup> Code points can be individual or could also include code point sequences, as suggested in RFC 7940.

- 9. TLD registries seeking to implement new IDN Tables or to modify existing ones may use available Reference Second Level LGRs as is or as a reference. IDN Tables may deviate from Reference Second Level LGRs. Notwithstanding the foregoing, Registry Operators seeking to implement LGRs (i.e. new or modifications of existing ones) that pose any security<sup>2</sup> and/or stability<sup>3</sup> issues will not be authorized to implement such LGRs.
- 10. TLD registries offering registration of IDNs with the same language or script tag (RFC 5646) are encouraged to cooperate on the contribution to the development and update of the second level reference IDN tables with the goal of minimizing the difference between the reference table of that language or script and the implemented tables for the same language or script.

#### 2.5 User Acceptance

11. Any information fundamental to the understanding of a registry's IDN policies that is not published by the IANA will be made directly available online by the registry. This documentation will include references to the linguistic and orthographic sources used in establishing policies and code point repertoires. The registry should also encourage its registrars to call attention to these policies for all IDN registrants. If material is provided both via the IANA Repository of IDN Practices and other channels, the registry must ensure that its substance is concordant across all platforms.

#### 2.6 IDN Variant Labels (Partially Discussed)

12. IDN Variant Labels generated by an IDN Table or a LGR must be allocated to the same registrant or blocked.

//New recommendation proposed by EC: Only IDN Variant Labels with a disposition of "allocatable" may be included in the DNS. IDN Variant Labels may be automatically

Commented [SH1]: LGRs?

<sup>&</sup>lt;sup>2</sup> Security - An effect on security by the proposed Registry Service shall mean (A) the unauthorized disclosure, alteration, insertion or destruction of Registry Data, or (B) the unauthorized access to or disclosure of information or resources on the Internet by systems operating in accordance with all applicable standards.

<sup>&</sup>lt;sup>3</sup> Stability - An effect on stability shall mean that the proposed Registry Service (A) is not compliant with applicable relevant standards that are authoritative and published by a well-established, recognized and authoritative standards body, such as relevant Standards-Track or Best Current Practice RFCs sponsored by the IETF or (B) creates a condition that adversely affects the throughput, response time, consistency or coherence of responses to Internet servers or end systems, operating in accordance with applicable relevant standards that are authoritative and published by a well-established, recognized and authoritative standards body, such as relevant Standards-Track or Best Current Practice RFCs and relying on Registry Operator's delegation information or provisioning services.

delegated by the TLD registry in accordance with RFC 3743 (i.e. Preferred Variants), otherwise IDN Variant Labels may be activated when requested by the Registrant (or through a sponsoring Registrar) of the Primary IDN.

#### **Summary of comments from ICANN 57:**

- Repertoire may contain code points AND code point sequences. So recommendations should be worded accordingly
- Align definitions of label dispositions with those in RFC 7940 and clarify the label disposition state changes
- Use of LGR (as a black box) should be explained in the guidelines to generate disposition and variants of a label
- The relationship to root zone LGR and scope of guidelines may be clarified. Can anything be said for root zone LGR, i.e. how second level and root level LGRs are same or different?
  - We clarify how a particular point may be different at second level, from the root zone, in order to actually clarify the second level handling of that issue.
  - O And if there are two LGRs that are in the same zone, even if it's in the second level, they may have some harmonization requirements that are not really optional for a workable and secure system, which is different from parallel TLDs; Definition of a variant of a code point is unique and shared across all LGRs within a zone (within a single TLD)

#### **Proposed definitions to be included:**

#### Variant

The term "variant" is used generally to identify different types of linguistic situations where different words are considered to be the same (i.e. a variant) of another word. Because of the wide-ranging understanding of the term, to avoid confusion more specific terms such as "IDN Variant", "IDN Variant Character" or "IDN Variant Label" should be used.

#### IDN Variant (IDN Variant Character and IDN Variant Label)

Variant is defined by an LGR. The term "IDN Variant" maybe used to reasonably describe an IDN Variant Character (code point or code point sequence) or an IDN Variant Label depending on its context. An IDN Variant character is defined in relation to a base character within an IDN Table, such as expressed by an LGR. An IDN Variant Label is a string generated from a Primary IDN based on a given LGR (or IDN Table and IDN registration rules).

//Recommendation: If a combination of multiple LGRs and/or IDN tables is used to generate labels for the same zone at the second (or other) level, there are harmonization requirements for a workable and secure system. The harmonization must be performed in cases where there are multiple LGRs and/or IDN tables either (i) from the same script which is known to have variant code points, e.g. in the root zone, or (ii) from different scripts which are considered related in the root zone and have homoglyphs, e.g. Armenian, Cyrillic, Greek, and Latin. In such cases, harmonization must be performed when there is either a change in existing LGR or addition of a new LGR. The harmonization must review code point

repertoire, variant analysis and whole label evaluation rules to ensure that there are no security and stability issues introduced. **Primary IDN** Primary IDN is the string representing the domain name applied for submitted by a registrant.

The following topics are still to be discussed by the IDN Guidelines Working Group.

#### 2.7 Similarity and Confusability of Labels - TBD

The different kinds of confusability of labels at the second level, arising from homoglyphs, cross-script homoglyphs, relevance of upper case, script mixing and other (e.g. semantic) mechanisms should be managed.

#### 2.8 Registration Data - TBD

WG to look into how to represent and manage registration data for IDNs and for variants of IDNs.

#### 2.9 EPP - TBD

WG to look into any recommendations for EPP, as raised by the community in ICANN 55.

## Appendix A: Members of IDN Guideliens WG

	Name	Supporting Organization/ Advisory Committee
1	Satish Babu	ALAC
2	Wael Nasr	ALAC
3	Mats Dufberg	ccNSO
4	Pablo Rodríguez	ccNSO
5	Edmon Chung	GNSO
6	Christian Dawson	GNSO
7	Chris Dillon	GNSO
8	Kal Feher	GNSO
9	Dennis Tan	GNSO
10	Jian Zhang	GNSO
11	Ram Mohan	SSAC
12	Patrik Fältström (will only review work)	SSAC

## **Appendix B: Glossary of Relevant Terms**

Term	Acronym	Definition	Additional Notes	Other related Terms
Internationalized Domain Names	IDNs			
	IDNA 2003			
	IDNA 2008			
Code Point				
A-Label				
Variant				IDN Variant
Label Generation Ruleset	LGR		Used synonymously for Label Generation Rules	IDN Table
Code Point Repertoire			Used synonymously for Repertoire	
Whole Label Evaluation Rules	WLE Rules			
IDN Table				LGR
Allocatable				

Term	Acronym	Definition	Additional Notes	Other related Terms
Allocated				
Activated				
Withheld				
Blocked				
IDN Variant				Variant, IDN Variant Code Point, IDN Variant Label
IDN Variant Code Point				IDN Variant
IDN Variant Label				IDN Variant