Guidelines for the Implementation of Internationalized Domain Names

Interim Draft Version

1 Introduction

These Guidelines are about the implementation of Internationalized Domains Names (IDN) under Internet domains. IDN is standardized by IETF in IDNA2008.

The main target of this document is Top-Level Domain ("TLD") registries that offer or plan to offer registrations of IDNs under their Registry Agreements. For other registries (e.g. Country Code Top Level Domain Name registries) this document is the best current practice. These Guidelines are also valuable for registrars offering registration of IDNs.

The document has been prepared by members of the IDN Guidelines Working Group (IDNGWG), listed in Appendix A, constituted following the <u>Call for Community Experts</u>.

1.1 Normative Language

The key words "MUST", "REQUIRED", "SHALL", "SHOULD", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119.

1.2 Document Version

This document supersedes version 3.0 of the Guidelines following the expansion of the DNS under the 2012 New gTLD Program.

2 IDN Guidelines

2.1 Transition

- TLD registries supporting Internationalized Domain Names ("IDNs") will do so in strict compliance with the requirements of the IETF protocol for Internationalized Domain Names in Applications, as defined in RFCs 5890, 5891, 5892, 5893, and 5894.
- 2. No code point permitted in IDNA2003 but disallowed in IDNA2008 will be accepted for registration regardless of the extent to which such code points appear in names registered prior to the protocol revision. The registrant of a domain that is no longer supported by IDNA2008 should be notified that there may be unanticipated consequences for a user attempting to reach it, and such names should be replaced, held, or deleted at registry initiative.
- 3. When a preexisting name requires a registry to make transitional exception to any of these Guidelines, the terms of that action will also be made readily available online, including the timeline for the resolution of such transitional matters. The excepted

- registrations themselves are, however, not part of this documentation. At the end of the transitional period, code points that are prohibited by IDNA2008 will not be permitted even by exception.
- 4. No label containing hyphens in the third and fourth positions will be registered unless it is a valid A-label, with reservation for transitional action in accordance with the preceding Guideline. Hyphens in these positions are explicitly reserved to indicate encoding schemes, of which IDNA is only one instantiation. These guidelines are not intended to assist with any other instantiations.

2.2 Terminology

Relevant terminology used in the Guidelines is defined in Appendix B of this document with the intention that these definitions will be adopted by the community and used consistently across it.

2.3 Format of IDN Tables

- 6. A registry will publish one or several lists of Unicode code points that are permitted for registration and will not accept the registration of any name containing an unlisted code point. Each such list will indicate the script or language(s) it is intended to support. If registry policy treats any code point in a list as a variant of any other code point, the variant rules and the policies attached to it will be clearly articulated.
- 7. Label Generation Rules ("LGR") must be placed in the IANA Repository for IDN Practices. Further, (a) Except as applicable in 7(b) below, Registries must use Label Generation Ruleset (RFC 7940) format to represent a LGR; (b) Registries with existing legacy IDN tables already present within the IANA Repository for IDN Practices at the time these guidelines are published, are encouraged to transition to the LGR format; (c) The LGR must include the complete repertoire of code points, any variants and any applicable whole-label evaluation rules which the registry uses to determine if a label is acceptable for registration.

2.4 Consistency of IDN Tables

8. TLD registries are encouraged to collaborate on issues of shared interest, for example, by forming a consortium to coordinate contact with external communities, elicit the assistance of support groups, and establish global fora to address common current and emerging challenges in the development and use of IDNs.

¹ Code points can be individual or could also include code point sequences, as suggested in RFC 7940.

- 9. TLD registries seeking to implement new IDN Tables or to modify existing ones may use available Reference Second Level LGRs as is or as a reference. IDN Tables may deviate from Reference Second Level LGRs. Notwithstanding the foregoing, Registry Operators seeking to implement LGRs (i.e. new or modifications of existing ones) that pose any security² and/or stability³ issues will not be authorized to implement such LGRs.
- 10. TLD registries offering registration of IDNs with the same language or script tag (RFC 5646) are encouraged to cooperate on the contribution to the development and update of the second level reference IDN tables with the goal of minimizing the difference between the reference table of that language or script and the implemented tables for the same language or script.

2.5 User Acceptance

11. Any information fundamental to the understanding of a registry's IDN policies that is not published by the IANA will be made directly available online by the registry. This documentation will include references to the linguistic and orthographic sources used in establishing policies and code point repertoires. The registry should also encourage its registrars to call attention to these policies for all IDN registrants. If material is provided both via the IANA Repository of IDN Practices and other channels, the registry must ensure that its substance is concordant across all platforms.

2.6 IDN Variant Labels (Partially Discussed)

12. IDN Variant Labels generated by an IDN Table or a LGR must be allocated to the same registrant or blocked.

//New recommendation proposed by EC: Only IDN Variant Labels with a disposition of "allocatable" may be included in the DNS. IDN Variant Labels may be automatically delegated

Commented [SH1]: LGRs?

² Security - An effect on security by the proposed Registry Service shall mean (A) the unauthorized disclosure, alteration, insertion or destruction of Registry Data, or (B) the unauthorized access to or disclosure of information or resources on the Internet by systems operating in accordance with all applicable standards.

³ Stability - An effect on stability shall mean that the proposed Registry Service (A) is not compliant with applicable relevant standards that are authoritative and published by a well-established, recognized and authoritative standards body, such as relevant Standards-Track or Best Current Practice RFCs sponsored by the IETF or (B) creates a condition that adversely affects the throughput, response time, consistency or coherence of responses to Internet servers or end systems, operating in accordance with applicable relevant standards that are authoritative and published by a well-established, recognized and authoritative standards body, such as relevant Standards-Track or Best Current Practice RFCs and relying on Registry Operator's delegation information or provisioning services.

by the TLD registry in accordance with RFC 3743 (i.e. Preferred Variants), otherwise IDN Variant Labels may be activated when requested by the Registrant (or through a sponsoring Registrar) of the Primary IDN.

//New recommendation by KF: TLD Registries with existing registered domains that do not conform to these guidelines should take the following actions to reduce disruption to Registrants and Internet consumers:

- a. Make clear in their registration policy whether registered names or currently activated labels, which do not conform to the guidelines will continue to be published in the TLD zone file.
- b. In cases where non conforming registered domains will continue to be published in the zone file, make clear any additional restrictions placed on usage.
 - i. Include restrictions that may influence the lifecycle of the domain, such as restrictions on renewals, transfers and change of registrant
 - ii. Include restrictions on the activation or usage of variants.
- c. Publish relevant changes to the TLD's registration policy at a publicly accessible location on the TLD Registry's website.

The following topics are still to be discussed by the IDN Guidelines Working Group.

2.7 Similarity and Confusability of Labels - TBD

The different kinds of confusability of labels at the second level, arising from homoglyphs, cross-script homoglyphs, relevance of upper case, script mixing and other (e.g. semantic) mechanisms should be managed.

//Revised recommendation by MD: An analysis of homoglyphs and confusable variants MUST be executed on the IDN table or tables (LGR or text table) for a specific TLD (or DNS zone).

The analysis MUST be done both within each IDN table and between all IDN tables for the TLD (or zone).

For the root zone extensive analysis of homoglyphs and confusable variants within the scope of that work, and that analysis can be valuable input to the analysis for TLDs and other zones. The work for the root zone is ongoing, and at the time of writing, the analysis still does not cover all Unicode scripts and languages. It should also be noted that character set for the root zone has been limited to letters and characters equivalent to letters. E.g. digits and punctuations are not permitted in the root zone and therefore excluded from that analysis. This means that the analysis for most other zones must go beyond what has been done for the root zone.

There are several cases to consider.

One case are homoglyphs between different Unicode scripts. Well-known such homoglyphs are found in Armenian, Cyrillic, Greek, and Latin scripts (but are also found between several other Unicode scripts). Usually different Unicode scripts are found in different IDN tables, and in most cases it is not permissible to mix different Unicode scripts (except Common code points) in the same domain name label (and exception for e.g. Chinese labels that can mix Han and Latin code points). So for this case the analysis must usually be done between different IDN tables.

The second case are homoglyphs within the same Unicode script. This could still mean that the analysis has to include several IDN tables if different tables cover different languages.

The third case are within-script variants (beyond within-script homoglyphs). One such example are U+0643 and U+06AA that Arabic language speakers consider to be calligraphic variations, whereas Sindhi speakers consider these as two different characters. Again, these conflicting code points could be found in the same or different IDN tables.

If homoglyphs are found, harmonization MUST be performed. The goal of the harmonization is to acheive system that prevents two domain names, under the same TLD (domain), that are homoglyphs of each other to be registered by different registrants, as far as possible. This is to reach a workable and secure system.

References:

"Homoglyph", https://en.wikipedia.org/wiki/Homoglyph>

"Unicode Security Mechanisms", Technical Standard #39, http://unicode.org/reports/tr39/

"intentional.txt" (see TS#39), ftp://ftp.unicode.org/Public/security/revision-02/intentional.txt

"confusables.txt", (see TS#39), ftp://ftp.unicode.org/Public/security/revision-02/confusables.txt

"Internationalized Domain Names Registration and Administration Guidelines for European Languages Using Cyrillic", appendix A, https://tools.ietf.org/html/rfc5992

"Proposals for Root Zone Label Generation Ruleset (LGR)", https://www.icann.org/resources/pages/lgr-proposals-2015-12-01-en

2.8 Registration Data - TBD

WG to look into how to represent and manage registration data for IDNs and for variants of IDNs.

2.9 EPP - TBD

WG to look into any recommendations for EPP, as raised by the community in ICANN 55.

Appendix A: Members of IDN Guideliens WG

	Name	Supporting Organization/ Advisory Committee
1	Satish Babu	ALAC
2	Wael Nasr	ALAC
3	Mats Dufberg	ccNSO
4	Pablo Rodríguez	ccNSO
5	Edmon Chung	GNSO
6	Christian Dawson	GNSO
7	Chris Dillon	GNSO
8	Kal Feher	GNSO
9	Dennis Tan	GNSO
10	Jian Zhang	GNSO
11	Ram Mohan	SSAC
12	Patrik Fältström (will only review work)	SSAC

Appendix B: Glossary of Relevant Terms

Proposed definitions to be included:

Variant

The term "variant" is used generally to identify different types of linguistic situations where different words are considered to be the same (i.e. a variant) of another word. Because of the wide-ranging understanding of the term, to avoid confusion more specific terms such as "IDN Variant", "IDN Variant Character" or "IDN Variant Label" should be used.

IDN Variant (IDN Variant Character and IDN Variant Label)

Variant is defined by an LGR. The term "IDN Variant" maybe used to reasonably describe an IDN Variant Character (code point or code point sequence) or an IDN Variant Label depending on its context. An IDN Variant character is defined in relation to a base character within an IDN Table, such as expressed by an LGR. An IDN Variant Label is a string generated from a Primary IDN based on a given LGR (or IDN Table and IDN registration rules).

Primary IDN

Primary IDN is the string representing the domain name applied for submitted by a registrant.

Term	Acronym	Definition	Additional Notes	Other related Terms
Internationalized Domain Names	IDNs			
	IDNA 2003			
	IDNA 2008			
Code Point				
A-Label				

Term	Acronym	Definition	Additional Notes	Other related Terms
Variant				IDN Variant
Label Generation Ruleset	LGR		Used synonymously for Label Generation Rules	IDN Table
Code Point Repertoire			Used synonymously for Repertoire	
Whole Label Evaluation Rules	WLE Rules			
IDN Table				LGR
Allocatable				
Allocated				
Activated				
Withheld				
Blocked				
IDN Variant				Variant, IDN Variant Code Point, IDN Variant Label
IDN Variant Code Point				IDN Variant

Term	Acronym	Definition	Additional Notes	Other related Terms
IDN Variant Label				IDN Variant