**IANA Stewardship Transition Proposal**

**Assembly and Finalization Process**

**10 December 2014**

This document explains the process that the IANA Stewardship Transition Coordination Group (ICG) will follow for assembly and finalization of the IANA Stewardship Transition Proposal. The dates listed in this document are consistent with the timeline previously published by the ICG.[[1]](#footnote-1) The ICG expects operational communities to respect these dates. Should the ICG receive late submissions, it will make best efforts to follow the steps below in as timely a fashion as possible, but may adjust the steps as described here if necessary to avoid delays.

**1. Individual process and proposal assessment 15 January 2015 (or earlier) to 15 February 2015**

Upon receipt of a complete, formal transition proposal from an individual operational community addressing the transition of the stewardship of the names, numbers, or protocol parameters IANA function, the ICG will conduct an assessment to determine:

a. The process was consistent with RFP requirements of openness and inclusiveness. These concepts can be validated by reviewing:

* Any process concerns that were highlighted to the ICG by participants to the proposal development process.
* Whether input/comments the ICG received directly that were shared with the operational community were considered or addressed.
* Whether the proposal obtained consensus (as defined in that community’s process) among those who participated in the operational community process.

b. The Proposal has met the RFP requirements:

* Completeness – check if any RFP components are missing.
* Clarity – check if anything in the proposal does not make sense or requires clarification from the operational community.
* NTIA criteria – check if the proposal fulfills the NTIA criteria.

If the proposal passes all of these checks, the ICG should publicly document the fact that the proposal is ready to move on to step 2. If not, the ICG should convey the outstanding issues back to the operational community with as much detail as possible concerning what needs to added, completed or clarified and suggest a timeline for the community to respond.

**2. Draft proposal production**

 **15 February 2015 to 13 March 2015**

According to the ICG Charter, its role is to assemble a proposal from component proposals, not to draft a single transition proposal of its own. These components are expected to each relate to the specific IANA functions which are of interest to each operational community.

The ICG expects the proposals to reflect the differences between the communities and the related IANA functions. As the ICG considers how the various operational proposals combine into a single proposal, some potential inconsistencies or conflicts among the proposals may arise.

Therefore, once multiple community proposals have completed step 1 above, the ICG will conduct an assessment to determine:

1. Compatibility and interoperability: Do the proposals work together in a single proposal? Do they suggest any arrangements that need to be compatible with each other but are not? Is the handling of any conflicting overlaps between the functions resolved in a workable manner?
2. Accountability: Do the proposals together include appropriate and properly supported independent accountability mechanisms for running the IANA function? Are there any gaps in overall accountability under the single proposal?
3. Workability: Do the results of any tests or evaluations of workability that were included in the component proposals conflict with each other or raise possible concerns when considered in combination?.

If the proposals pass these checks, the ICG will publicly document the fact that the proposals are ready to move on to step 3. If not, the ICG will convey the outstanding issues back to the operational communities as necessary and suggest a timeline for the communities to respond.

**3. Review of draft proposal**

**13 March 2015 to 19 June 2015**

Once all of the proposal components have passed step 2, the ICG will assemble a single draft proposal and put the draft proposal up for public comment involving a reasonable period of time for reviewing the proposal, analyzing it, and preparing supportive or critical comments. The ICG will coordinate with the operational communities to have public comments addressed before assembling an interim final proposal.

**4. Review of interim final proposal 19 Jun 2015 to 17 Jul 2015**

Once step 3 has produced an interim final proposal, the ICG will put the interim final proposal up for a public comment period, similar to the one described in step 3. The ICG will then review the public comments and determine whether modifications are required. If no modifications are needed, and the ICG agrees, the interim final report will be considered to be final and the ICG will move on to step 5.

If changes are required to fix problems or to achieve broader support, the ICG will work with the operational communities to get those problems fixed. If, in the ICG’s opinion, broad public support for the proposal as articulated by the NTIA is not present, the parts of the proposal that are not supported will be returned to the operational communities.

**5. Proposal submission 17 Jul 2015 to 31 Jul 2015**

This step consists of the following:

1. The ICG will post the final proposal on its public web site and transmit it to the ICANN Board.
2. As the ICANN Board has confirmed to the ICG, the Board will send the final proposal to NTIA without making any changes within 14 days of receiving the proposal from the ICG and will make public any of its accompanying communications to NTIA at that time.
3. If the ICANN Board has an issue with the proposal, the ICG understands that the ICANN Board will have already shared that with the ICG in a timely manner to avoid any last-minute contention, through the available opportunities of dialogue and public comment.
1. <https://www.icann.org/en/system/files/files/icg-process-timeline-08sep14-en.pdf> [↑](#footnote-ref-1)