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| RDS-WHOIS2 RTSubgroup Report: Plan & Annual Reports |
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| DRAFT FOR SUBGROUP USE TO DOCUMENT DRAFT FINDINGS AND RECOMMENDATIONS (IF ANY) |
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#  Topic

Subgroup 1 - WHOIS1 Rec 15-16 Plan & Annual Reports is tasked with investigating, analyzing, and drafting recommendations (if needed) to address the following Review objective:

Consistent with ICANN’s mission and Bylaws, Section 4.6(e)(iv), the Review Team will (a) evaluate the extent to which ICANN Org has implemented each prior Directory Service Review recommendation (noting differences if any between recommended and implemented steps), (b) assess to the degree practical the extent to which implementation of each recommendation was effective in addressing the issue identified by the prior RT or generated additional information useful to management and evolution of WHOIS (RDS), and (c) determine if any specific measurable steps should be recommended to enhance results achieved through the prior RT’s recommendations. This includes developing a framework to measure and assess the effectiveness of recommendations, and applying that approach to all areas of WHOIS originally assessed by the prior RT (as applicable).

The specific [WHOIS1 Recommendation](https://www.icann.org/en/system/files/files/final-report-11may12-en.pdf) to be assessed by this subgroup appears below:



To address this review objective, the subgroup agreed to:

* Cross check with other subgroups about whether the Action Plan properly addressed the other 16 recommendations; and
* Assess the effectiveness of the already-published WHOIS Annual Reports (e.g., relevance of provided information, quality of the underlying facts).

# Summary of Relevant Research

To conducts its research, all members of this subgroup reviewed the following background materials, posted on the [subgroup's wiki page](https://community.icann.org/pages/viewpage.action?pageId=71604726):

* + [WHOIS Review Team (WHOIS1) Final Report](https://www.icann.org/en/system/files/files/final-report-11may12-en.pdf) (2012) and [Action Plan](https://www.icann.org/en/system/files/files/implementation-action-08nov12-en.pdf)
	+ [WHOIS Review Team (WHOIS1) Implementation Reports](https://community.icann.org/display/WHO/WHOIS%2BReview%2BImplementation%2BHome), including
		- [Executive Summary of Implementation Report](https://community.icann.org/download/attachments/54691767/WHOIS%20Recs%201_16%2030Sept2016.pdf)
		- [Detailed implementation Report](https://community.icann.org/download/attachments/54691767/WHOIS%20Quarterly%20Summary%2031December2016.pdf)
	+ WHOIS1 Implementation Briefings on Recommendations 1, 2, 3, 6, 7, 9, 15, 16: [PPT](https://community.icann.org/download/attachments/63145823/WHOIS1%20Implementation%20briefings%201%2C%202%2C%203%2C%206%2C%207%2C%209%2C%2015%2C%2016.pptx?version=1&modificationDate=1511776488000&api=v2), [PDF](https://community.icann.org/download/attachments/69279139/WHOIS%20Briefing%20-%2003October2017%20-%20V2.0.pdf?version=1&modificationDate=1506780907000&api=v2)
	+ [Answers to RDS-WHOIS2 Questions on Implementation Briefings](https://community.icann.org/download/attachments/63145823/WHOIS1-Implementation%20Briefings_final.docx?version=1&modificationDate=1510566466000&api=v2)
	+ Documents cited in briefing on Recommendations 15-16 include
		- [ICANN Five Year Strategic Plan](https://www.icann.org/en/system/files/files/strategic-plan-2016-2020-10oct14-en.pdf)
		- [ICANN FY 2017 Operating Plan and Budget](https://www.icann.org/en/system/files/files/adopted-opplan-budget-fy17-25jun16-en.pdf)
		- [Action Plan](https://www.icann.org/en/system/files/files/implementation-action-08nov12-en.pdf) adopted by the Board
		- [2013 WHOIS Annual Report](https://whois.icann.org/en/file/improvements-annual-report-04nov13-en)
		- [2014 WHOIS Annual Report](https://whois.icann.org/en/file/improvements-annual-report-12dec14-en)
		- [2015 WHOIS Annual Report](https://whois.icann.org/en/file/2015-annual-report-whois-improvements)
		- [2016 WHOIS Annual Report](https://whois.icann.org/sites/default/files/files/improvements-annual-report-01sep17-en.pdf)

In addition, this subgroup agreed to base its analysis in part upon Subgroup 1 key findings for all other WHOIS1 Recommendations, to be posted here: <https://community.icann.org/x/3ARyB>

Finally, the subgroup applied the RDS-WHOIS2 review team's [agreed framework](https://community.icann.org/download/attachments/71604697/FinalRDS-WHOISRT2Effectivenes.docx?version=1&modificationDate=1519138360000&api=v2) to measure and assess the effectiveness of recommendations,

# Analysis & Findings

[Provide overview of Review Team Findings (including materials of reference).

For this subgroup, relevant review objectives include:

* Topic 1 (a) identify the extent to which ICANN Org has implemented each prior Directory Service Review recommendation (noting differences if any between recommended and implemented steps),
* Topic 1 (b) assess to the degree practical the extent to which implementation of each recommendation was effective in addressing the issue identified by the prior RT or generated additional information useful to management and evolution of WHOIS (RDS)]

<SUBGROUP TO DRAFT TEXT FOR THIS SECTION, BASED ON ABOVE GUIDANCE>

# Problem/Issue

[What observed fact-based issue is the recommendation intending to solve? What is the “problem statement”?

For this subgroup, relevant steps from review objectives include:

Topic 1 (c) determine if any specific measurable steps should be recommended to enhance results achieved through the prior RT’s recommendations]

<SUBGROUP TO DRAFT TEXT FOR THIS SECTION, BASED ON ABOVE GUIDANCE>

# Recommendations

[To be completed for each recommendation - if any - suggested by the subgroup]

<SUBGROUP TO DRAFT TEXT FOR THIS SECTION, BASED ON GUIDANCE BELOW>

Recommendation: xxx

Findings: [what are the findings that support the recommendation]

Rationale:

[What is Intent of recommendation and envisioned outcome?

How did the finding lead to this recommendation?

How significant would impact be if recommendation not addressed?

Is it aligned with ICANN’s Strategic Plan and Mission?

Is it in compliance with scope Review Team set?]

Impact of Recommendation: [What are the impacted areas, e.g. security, transparency, legitimacy, efficiency, diversity etc. Which group/audience will be impacted by this recommendation]

Feasibility of Recommendation: [Document feasibility of recommendation]

Implementation:

[Who are responsible parties that need to be involved in implementation? Community/ICANN org/combination)

What is the target for a successful implementation?

Is related work already underway and how will that dovetail with recommendation?

What is the envisioned implementation timeline? Within 6 months/12 months/more than 12 months]

Priority: [If only 5 recommendations could be implemented due to community bandwidth and other resource constraints, would this recommendation be one of the top 5? Why or why not?]

Level of Consensus