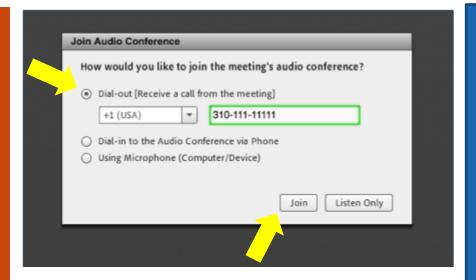
For Best Audio: Join via Telephone Using Dial-Out

Connecting via the audio bridge is always preferable to the AC audio connection.

Upon logging into Adobe Connect, a pop-up window will appear for the AC to call your phone. This preferred method will assure the best audio for the meeting.



After 2 background noise occurrences, staff will mute the offending line (either Telephone or Adobe Connect).

After two failed attempts to speak over the audio, participants will be invited to type their comments in the chat or take them to the mailing list.

PLEASE ALWAYS MUTE WHEN NOT SPEAKING! *6 to mute and *6 to unmute

For any questions, dial out requests, apologies, please email: mssi-secretariat@icann.org



RDS-WHOIS2-RT Plenary Call #19

Plenary Call 9 February 2018



RDS/WHOIS2-RT Plenary Agenda

- 1. Welcome, roll-call, Sol updates
- 2. Subgroups Status Update
- 3. Rec. 11: Common Interface
- 4. Subgroups Membership Review
- 5. Work Plan Review
- 6. Draft Framework for WHOIS1 Implementation Assessment
- 7. Fact Sheet (as of 31 December 2017)
- 8. Confirm Decisions Reached and Action Items
- 9. A.O.B.



Subgroups Status Update



Subgroup Status Update

- Subgroups must now begin the work plan execution phase i.e. conduct analysis with the objective of producing findings by 22 February. Findings will lead to a set of draft recommendations and a subgroup report that will discussed at the Brussels face-toface meeting (16-17-18 April).
- Rapporteurs to confirm whether additional subgroup members are needed on their team and provide answers (via RT mailing-list) to the following questions, prior to today's plenary call:
 - Has your subgroup identified any needed briefings/resources?
 - How will your subgroup review/analyze relevant documentation? (for example, will you divide review between subgroup members)
 - How will you conduct investigation of identified objectives?(for example, will you need calls or conduct work via email)
 - How will you conduct relevant interviews (as appropriate)?
 - How will your subgroup produce its summary of key findings?
- Additionally, if subgroup may need external resources to conduct a study, this must be flagged as soon as possible.



Subgroups – First Pass Planning Document Status

#	Subgroup	Rapporteur	Status	Update Given
	WHOIS1 Rec #1 - Strategic Priority	Cathrin	DOCX	✓
	WHOIS1 Rec #2: Single WHOIS Policy	Carlton	DOCX	✓
	WHOIS1 Rec #3: Outreach	Alan	DOCX	✓
	WHOIS1 Rec #4: Compliance ●	Susan	DOCX	✓
1	WHOIS Rec #5-9: Data Accuracy	Lili	DOCX	✓
	WHOIS Rec #10: Privacy/Proxy Services	Volker	DOCX	✓
	WHOIS Rec #11: Common Interface	Volker	DOCX	
	WHOIS Rec #12-14: Internationalized Domain Names	Dmitry	DOCX	✓
	WHOIS Rec #15-16: Plan & Annual Reports	Lili	DOCX	✓
2	Anything New	Stephanie	0	
3	Law Enforcement Needs	Thomas	DOCX	✓
4	Consumer Trust	Erika	DOCX	✓
5	Safeguard Registrant Data	Alan	DOCX	✓



Subgroups – First Pass Planning Document Status

Missing First Pass Planning Document from:

Subgroup 2: Anything New: Stephanie

Missing answers to questions:

- WHOIS1 Rec #1 Strategic Priority: Cathrin
- WHOIS1 Rec #2: Single WHOIS Policy: Carlton
- WHOIS1 Rec #4: Compliance: Susan
- WHOIS Rec #5-9: Data Accuracy: Lili
- WHOIS Rec #10: Privacy/Proxy Services: Volker
- WHOIS Rec #11: Common Interface: Volker
- WHOIS Rec #12-14: Internationalized Domain Names: Dmitry
- WHOIS Rec #15-16: Plan & Annual Reports: Lili
- Anything New: Stephanie
- Law Enforcement Needs: Thomas
- Consumer Trust: Erika



Subgroup Status Update

Rec #3: Outreach

- Has your subgroup identified any needed briefings/resources?
 - No
- How will your subgroup review/analyze relevant documentation? (for example, will you divide review between subgroup members)
 - Each member will review all of the documents (largely web-based) and will independently review for consistency and completeness. The Subgroup will then meet to integrate findings.
- How will you conduct investigation of identified objectives?(for example, will you need calls or conduct work via email)
 - We will need one or more calls once independent work is done.
- How will you conduct relevant interviews (as appropriate)?
 - Not needed at this point.
- How will your subgroup produce its summary of key findings?
 - As above, will meet (teleconference) to attempt to unify findings. Based on those, we will decide whether any additional recommendations are required. Results of analysis and possible further recommendations will be compiled by one subgroup member and reviewed by the others prior to the April meeting in Brussels.



Subgroup Status Update

Safeguard Registrant Data

- Has your subgroup identified any needed briefings/resources?
 - No
- How will your subgroup review/analyze relevant documentation? (for example, will you divide review between subgroup members)
 - Rapporteur will draft a strawman response to be reviewed and modified by team members.
- How will you conduct investigation of identified objectives?(for example, will you need calls or conduct work via email)
 - This is a relatively easy task prior to GDPR. Post-initial GDPR, we can predict that the answers will be different, but it is less clear if the response will be measurably more complex. Both e-mail and teleconferences will be used.
- How will you conduct relevant interviews (as appropriate)?
 - Not needed at this point.
- How will your subgroup produce its summary of key findings?
 - · Noted above.



Rec. 11: Common Interface



Subgroups Membership Review



Subgroup Membership Review

Expressions of Interest	Alan Greenberg	Carlton Samuels	Cathrin Bauer-Bulst	Chris Disspain	Dmitry Belyavsky	Erika Mann	Lili Sun	Stephanie Perrin	Susan Kawaguchi	Thomas L. Walden, Jr.	Volker Greimann	Total Assigned	Subgroup Leader/Rapporteu
Topic 1 – WHOIS RT1 Recommendations													
Rec #1: Strategic Priority		2	1									3	Cathrin
Rec #2: Single WHOIS Policy		1								2		3	Carlton
Rec #3: Outreach	1											3	Alan
Rec #4 & Topic 6: Compliance									1			5	Susan
Rec #5-9: Data Accuracy					2		1					3	Lili
Rec #10: Privacy/Proxy Services								1			1	3	Volker
Rec #11: Common Interface								-			2	3	Volker
Rec #12-14: IDNs					1							3	Dmitry
Rec #15-16: Plan & Annual Reports							2					3	Lili
Topic 2 – Anything New								2				3	Stephanie
Topic 3 – Law Enforcement Needs										1		3	Thomas
Topic 4 – Consumer Trust						1						4	Erika
Topic 5 – Safeguard Registrant Data	1											4	Alan
Assignments for each member	6	4	5	3	4	4	3	4	5	3	4		
UPDATED 24 November													
	Red highligh	Red highlighted cells are identified topic leaders											
	Yellow high	lighted cells	are member:	s Assigned t	he topic								
	11 team me	mbers											
	14 subgrou	ps needing	rapporteurs/	leaders									
	Numbers (1) and (2) indicate first and second preferences for rapporteur/leader role												
	Orange highlighted cells are subgroups still in need of rapporteur/leader decision												



Subgroup Membership Review

- Subgroup 1 WHOIS1 Recommendations
 - Rec #1 Strategic Priority: Carlton Samuels, Cathrin Bauer-Bulst, Volker Greimann
 - Rec #2: Single WHOIS Policy: Carlton Samuels, Cathrin Bauer-Bulst, Thomas Walden
 - Rec #3: Outreach: Alan Greenberg, Carlton Samuels, Erika Mann
 - Rec #4: Compliance: Carlton Samuels, Chris Disspain, Erika Mann, Susan Kawaguchi,
 Thomas Walden
 - Rec #5-9: Data Accuracy: Cathrin Bauer-Bulst, Dmitry Belyavsky, Lili Sun
 - Rec #10: Privacy/Proxy Services: Cathrin Bauer-Bulst, Stephanie Perrin, Volker Greimann
 - Rec #11: Common Interface: Alan Greenberg, Susan Kawaguchi, Volker Greimann
 - Rec #12-14: IDNs: Alan Greenberg, Dmitry Belyavsky, Lili Sun
 - Rec #15-16: Plan & Annual Report(red star)Members: Alan Greenberg, Chris Disspain, Lili Sun
- Subgroup 2 Anything New: Alan Greenberg, Stephanie Perrin, Susan Kawaguchi
- Subgroup 3 Law Enforcement Needs: Cathrin Bauer-Bulst, Chris Disspain, Thomas Walden
- Subgroup 4 Consumer Trust: Carlton Samuels, Dmitry Belyavsky, Erika Mann, Stephanie Perrin, Susan Kawaguchi
- Subgroup 5 Safeguard Registrant Data: Alan Greenberg, Dmitry Belyavsky Stephanie Perrin,
 Volker Greimann



Work Plan Review



Work Plan Review

 Identify how Work Plan Milestones will be achieved prior to the face-to-face meeting.



Work Plan Review

At Risk	Task Name	Start Date	End Date	Assigned To	Duration (days)	% Complete
	Conduct Review				233	6%
Subteam	s					
	WHOIS 1 Review Team Recommendations					7%
	Administrative Duties					29%
	Confirm members of the Subteam and determine Rapporteur	3-Oct-17	20-Oct-17	Topic 1 Subgroups	17	95%
	Establish mandate, objectives and timeline for the work	3-Oct-17	22-Jan-18	Topic 1 Subgroups	111	80%
	Determine topics for investigation and validate against ICANN Bylaws	3-Oct-17	22-Jan-18	Topic 1 Subgroups	111	0%
	Identify briefings/data sources needed	3-Oct-17	22-Jan-18	Topic 1 Subgroups	111	0%
	Determine requirements for independent expert(s) and – if necessary – develop statement of work	3-Oct-17	22-Jan-18	Topic 1 Subgroups	111	0%
	Adopt statement of work and associated work plan	3-Nov-17	22-Jan-18	Topic 1 Subgroups	80	0%
	Workplan Execution					0%
	Review, analyze and summarize relevant documentation	20-Oct-17	22-Feb-18	Topic 1 Subgroups	125	0%
	Conduct investigation of identified objectives	3-Nov-17	22-Feb-18	Topic 1 Subgroups	111	0%
	Conduct relevant interviews as appropriate	3-Nov-17	22-Feb-18	Topic 1 Subgroups	111	0%
	Draft summary note of key findings	1-Dec-17	22-Feb-18	Topic 1 Subgroups	83	0%
	Prepare Draft Report Section					0%
	Approve findings	22-Feb-18	15-Mar-18	Topic 1 Subgroups	21	0%
	Assemble draft recommendations using the designated Review Team template	22-Feb-18	15-Mar-18	Topic 1 Subgroups	21	0%
	Cross-check draft recommendations with scope and Bylaws	22-Feb-18	15-Mar-18	Topic 1 Subgroups	21	0%
	Produce subgroup report for subgroup members' approval	15-Mar-18	22-Mar-18	Topic 1 Subgroups	7	0%
	Adopt & Circulate subgroup report to Review Team	22-Mar-18	5-Apr-18	Topic 1 Subgroups	14	0%
	Present subgroup report and proposed recommendations to Review Team	5-Apr-18	19-Apr-18	Topic 1 Subgroups	14	0%
	Refine Draft Report Section					0%
	Incorporate edits suggested by the Review Team, as appropriate	19-Apr-18	24-May-18	Topic 1 Subgroups	35	0%



Draft framework for WHOIS1 implementation assessment



Draft Framework for WHOIS1 Implementation Assessment

 Develop a framework/guiding document to measure and assess the effectiveness of recommendations, and applying that approach to all areas of WHOIS originally assessed by the prior Review Team (as applicable)



Fact Sheet (as of 31 Dec. 2017)



Fact Sheet (as of 31 Dec. 2017)

Registration Directory Service (RDS-WHOIS2) Review

Fact Sheet as of: 30-Sep-2017

Overview:

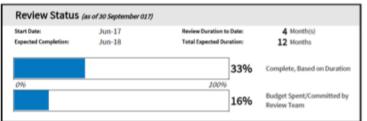
The Board shall cause a periodic review to assess the effectiveness of the then current gTLD registry directory service and whether its implementation meets the legitimate needs of law enforcement, promoting consumer trust and safeguarding registrant data ("Directory Service Review").

The review team for the Directory Service Review ("Directory Service Review Team") will consider the Organisation for Economic Cooperation and Development ("OECD") Guidelines on the Protection of Privacy and Transborder Flows of Personal Data as defined by the OECD in 1980 and amended in 2013 and as may be amended from time to time.

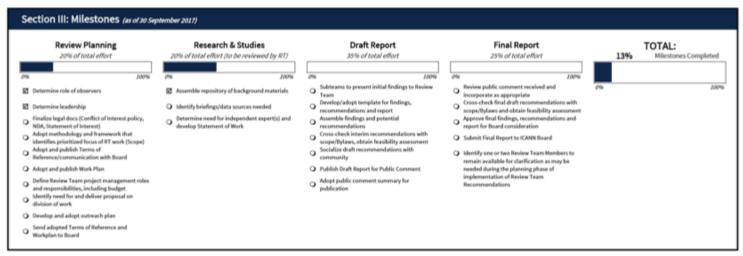
The Directory Service Review Team shall assess the extent to which prior Directory Service Review recommendations have been implemented and the extent to which implementation of such recommendations has resulted in the intended effect.



Review Team	Volunteer Participation Rate	
members:		73%
11	0%	100%
	Face-to-face meetings:	O Meetings
involved at various	Face-to-face meetings: Calls: plenary, leadership, subteam:	0 Meetings 15 Calls
CANN org (individuals involved at various times throughout Review):		



Direct Review Costs ®	Approved Budget	Spent to Date ⁶⁸	Committed Services ⁽⁶⁾	Total Spent and Committed to Date	Remaining Budget
Professional Services	\$200,000	\$0	\$0	50	\$200,00
Travel	\$340,000	\$40,000	\$20,000	\$60,000	\$280,00
ICANN Org Support	\$150,000	\$0	\$50,000	\$50,000	\$100,00
Total	\$690,000	\$40,000	\$70,000	\$110,000	\$580,00
Total schules KANN organization an	******	\$40,000	\$70,000	\$110,000	\$5





Confirm Decisions Reached and Action Items



A.O.B.

