

SAC097 and SAC101v2 Status

Updates regarding the status of SSAC advice can be found on the Board advice status page here: <https://features.icann.org/board-advice>. The latest updates have been included below.

SAC097

- a. **SAC097 Recommendation 1:** On 23 June 2018, the Board accepted this advice and directed the ICANN President and CEO or his designee to implement an auto-renew feature in the CZDS system (<https://www.icann.org/resources/board-material/resolutions-2018-06-23-en#1.g>). CZDS platform migration is complete, making it possible to add new features to address the problem of gaps in user access to zone files. The feature has been scoped and is in the process of being added to the next product road map for future system enhancements. Due to additional updates to the roadmap, substantial updates for new features on CZDS is expected to be available in 2QFY20. The requirements to update CZDS to was provided to the development teams. The original plan was to have the software development start in 2020 Q2 but due to higher priority items on the development roadmap, CZDS development was delayed. ICANN Org is working on an organization-wide update to the roadmap, which will be provided as soon as it becomes available.
- b. **SAC097 Recommendation 2:** On 23 June 2018, the Board accepted this advice and directed the ICANN President and CEO or his designee to adjust the zone file access subscription agreement to the extent necessary to accommodate the implementation of Recommendation 1 (<https://www.icann.org/resources/board-material/resolutions-2018-06-23-en#1.g>). ICANN org continues to work with the Policy team to inform the community to have the recommendation to be considered for the subsequent rounds of new gTLDs. With the updates to CZDS, subsequent rounds are not expected to introduce any changes to how CZDS operates. ICANN Org will continue to provide input to related policies as requested.
- c. **SAC097 Recommendation 3:** On 23 June 2018, the Board accepted this advice and directed the ICANN President and CEO or his designee to produce educational materials for registry operators to increase their awareness of ICANN's expectations with respect to zone file access (<https://www.icann.org/resources/board-material/resolutions-2018-06-23-en#1.g>). The number of complaints requiring Contractual Compliance follow-up is decreasing. The adoption rate of the new auto-approve feature increased to 45% from 40% in June 2019. The number of TLDs that approve requests for a period longer than 2 years is increasing. Since the update to CZDS in January 2019, the number of complaints that Contractual Compliance follows-up in a decreasing trend. While this trend is largely due to the auto-approve setting, the number of complaints is expected to decrease after Recommendation-1 is implemented.
- d. **SAC097 Recommendation 4:** On 23 June 2018, the Board accepted this advice and directed the ICANN President and CEO or his designee to clarify the Zone File Access (ZFA) metric and to support registry operators to increase the accuracy of the public reporting for Webbased WHOIS query statistics (<https://www.icann.org/resources/board-material/resolutions-2018-06-23-en#1.g>). ICANN org has facilitated a conversation between SSAC and RySG at ICANN65. Several contracted parties have communicated that they have adjusted their reporting systems based on this dialogue, while other contracted parties expressed concerns with the SSACs assumptions and will continue the discussions with SSAC.

SAC101v2

- a. **SAC101v2 Recommendation 1:** On 23 June 2019 the ICANN Board considered SAC101v2 and accepted Recommendation 1 and directed the ICANN President and CEO, or his designee(s), to create a plan that reports on ICANN org's and the community's progress toward the four objectives identified in the advice (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board states "In accepting advice item one, the Board further notes that the creation of an "accredited RDDS access program," is a topic under discussion in the EPDP Phase 2. The Board cannot dictate outcomes of PDPs. Once the EPDP delivers its final Phase 2 report, the Board will consider the policy recommendations."
- b. **SAC101v2 Recommendation 2a:** On 23 June 2019 the ICANN Board considered SAC101v2 and noted advice items 2A and three through seven in SAC101 version 2 and referred them to the GNSO Council for consideration for inclusion in the EPDP Phase 2 work (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board states "Advice item 2A suggests that the Board direct ICANN org to work with the community to 'develop policy with clearly defined uniform purposes for RDDS rate-limiting and corresponding service level agreement requirements.' As policy is developed by the community and this topic is in the work plan for the EPDP Phase 2, the Board notes this advice and refers to the GNSO Council as the manager of PDPs. In taking this action, the Board also notes that in the Annex to the Temporary Specification for gTLD Registration Data, the Board asked that the topic of rate limit be discussed and resolved by the community as quickly as possible."
- c. **SAC101v2 Recommendation 2b:** On 23 June 2019 the ICANN Board considered SAC101v2 and accepted Recommendation 1 and directed the ICANN President and CEO, or his designee(s), to work with the community to clarify existing contractual obligations relating to rate limits (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board state "Advice item 2B suggests that the Board direct ICANN org to work with the community to 'clarify current expectations for the use of rate limiting under existing policy and agreements.' In accepting advice item 2B, the Board notes that the community should be involved in the discussion to clarify existing contractual obligations relating to rate limits."
- d. **SAC101v2 Recommendation 3:** On 23 June 2019 the ICANN Board considered SAC101v2 and noted advice items 2A and three through seven in SAC101 version 2 and referred them to the GNSO Council for consideration for inclusion in the EPDP Phase 2 work (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board states "Advice item three suggests that the 'Board and EPDP policy-makers should ensure that security practitioners and law enforcement authorities have access to domain name contact data, via RDDS, to the full extent allowed by applicable law.' As this is a policy matter and the topic is in the work plan for the EPDP Phase 2, the Board notes this advice and refers to the GNSO Council as the manager of PDPs."
- e. **SAC101v2 Recommendation 4:** On 23 June 2019 the ICANN Board considered SAC101v2 and noted advice items 2A and three through seven in SAC101 version 2 and referred them to the GNSO Council for consideration for inclusion in the EPDP Phase 2 work (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board states "Advice item four suggests that 'initiation of charges for RDS access, or any significant future changes in fees for RDDS access, must include a formal assessment of user impacts and the security and stability impacts, and be conducted as part of a formal Policy Development Process (PDP).' As this is a policy matter and the topic is in the work plan for the

EPDP Phase 2, the Board notes this advice and refers to the GNSO Council as the manager of PDPs."

f. **SAC101v2 Recommendation 5:** On 23 June 2019 the ICANN Board considered SAC101v2 and noted advice items 2A and three through seven in SAC101 version 2 and referred them to the GNSO Council for consideration for inclusion in the EPDP Phase 2 work (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board states "Advice item five reiterates Recommendation 2 from SAC061 and suggests that 'The ICANN Board should ensure that a formal security risk assessment of the registration data policy be conducted as an input into the Policy Development Process. A separate security risk assessment should also be conducted regarding the implementation of the policy.' The advice further suggests that 'These assessments should be incorporated in PDP plans at the GNSO.' As the advice suggests that the assessments be incorporated into PDP plans and the GNSO is the manager of PDPs, the Board notes and refers this advice to the GNSO Council."

g. **SAC101v2 Recommendation 6:** On 23 June 2019 the ICANN Board considered SAC101v2 and noted advice items 2A and three through seven in SAC101 version 2 and referred them to the GNSO Council for consideration for inclusion in the EPDP Phase 2 work (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board states "Advice item six suggests that the 'ICANN Board should direct the ICANN Organization to work to ensure that all methods of access to RDDS data provide an equivalent response to the same query.' As this is a policy matter and the topic is in the work plan for the EPDP Phase 2, the Board notes this advice and refers to the GNSO Council as the manager of PDPs."

h. **SAC101v2 Recommendation 7:** On 23 June 2019 the ICANN Board considered SAC101v2 and noted advice items 2A and three through seven in SAC101 version 2 and referred them to the GNSO Council for consideration for inclusion in the EPDP Phase 2 work (<https://www.icann.org/resources/board-material/resolutions-2019-06-23-en#1.c>). In its rationale the Board states "Advice item seven suggests that the 'ICANN Board should direct the ICANN Organization to work to ensure that RDDS access is provided in a measurable and enforceable framework, which can be understood by all parties.' As this is a policy matter and the topic is in the work plan for the EPDP Phase 2, the Board notes this advice and refers to the GNSO Council as the manager of PDPs."