

ALAC	<p>The ALAC believes it is imperative to ensure that the continued discussions concerning Human Rights are clearly scoped within ICANN's technical remit as set forth in ICANN's mission and bylaws. This remit is limited to coordinating the allocation and assignment of Domain Names, Internet Protocol(IP) addresses, Autonomous System (AS) numbers, and protocol port- and parameter numbers. As the Security and Stability Advisory Committee (SSAC) stated previously, assessments based on content accessed through these unique identifiers should not be in scope for discussions regarding Human Rights in an ICANN organisational context. This means any binding language that holds ICANN accountable to a Human Rights' core value should fall within the scope of ICANN's limited remit. Such binding language can only be required by applicable law and should be implemented via a Human Rights Impact Assessment and followed by the development of a Corporate Social Responsibility (CSR) policy for ICANN.</p>	20170718 - No change proposed
ALAC	<p>As a final consideration, the ALAC would like to ask the Subgroup to clarify the statement on 'Human Rights Impact Assessments (HRIAs)' on page 8 of the Draft FoI: 'HRIAs should not consider particular Human Rights in isolation since they are universal, indivisible, interdependent, and interrelated.' How does this relate to the criterium that Human Rights are only to be respected by ICANN as required by applicable law, and if applicable law does not require this within a certain jurisdiction, that the particular Human Right is not relevant to ICANN?</p>	20170718 - (clarify that we need to balance)
SSAC	<p>Sinc+A1:B24e there are no associated security and stability aspects, the SSAC is pleased to offer its support for the draft Framework of Interpretation for Human Rights. The SSAC notes that, as a Chartering Organization of the CCWG-Accountability, formal SSAC approval of the final version of the Framework of Interpretation for Human Rights will be required in due course.</p>	20170718 - No action required

gNSO-BC	<p>In addition, the BC recommends that the phrase “internationally recognized human rights” in the Bylaws be considered together with the reference “as required by applicable law”, as recommended by the Working Group. Under the Human Rights Core Value, existing international human rights declarations and covenants continue to have no direct application to ICANN as they create obligations only for nation states.¹ We note that the question of applicable law in any given situation will need to be determined on a case by case basis.</p>	20170718 - No changes required
gNSO-BC	<p>In addition to the FOI itself, the Sub-Team also published a set of “Considerations” that the Sub-Team took into account in preparing the FOI, to serve as further guidance regarding the FOI and ICANN’s application of the Human Rights Bylaw. We support these considerations, which reiterate that ICANN, as a non-state private entity, is not party to any human rights instruments <i>per se</i> and acknowledges that human rights are universal, indivisible, interdependent and that as such, no particular human right should be considered in isolation.</p>	20170718 - No changes required
gNSO-IPC	<p>The IPC believes implementation of the FOI-HR will require considerable additional work and input from the ICANN community. As the FOI-HR impacts ICANN policy development for gTLDs directly, the IPC strongly suggests that discussions on how the Human Rights Bylaws should be implemented in the context of gTLD policy development, GNSO Working Group procedures and GNSO procedures generally, are all best and most appropriately left to the GNSO. Policy experts within the GNSO community are well situated to determine how best to structure and sequence such implementation. This is also consistent with ICANN’s long-standing practices regarding the relative roles of different structures in the larger ICANN system</p>	20170718 - No changes required

gNSO-IPC	<p>The Revised ICANN By-Laws specify that no Request for Reconsideration or Independent Review Panel solely based on the Human Rights Bylaw may be invoked unless and until the FOI-HR is adopted. However, if one assumes that these grievance procedures apply as soon as the FOI-HR is adopted by the Board, then ICANN should be careful to understand and document any and all applicable grievance procedures which may appropriately apply before these more formal remedies come into play. For example, could the Human Rights Bylaw serve as the basis for an Empowered Community enforcement sanction? What is the role of the Ombudsman and/or the Complaints Officer in connection with implementation of the FOI-HR or the application of the Human Rights Bylaw? If a limited Public Interest Objection has been filed against an application for a new gTLD on Human Rights grounds and fails, does that preclude other avenues to pursue grievances based on claims of Human Rights violations? Again, we believe that these questions need to be answered in an orderly manner with bottom-up Multistakeholder participation. The Board should consider whether formal adoption by the Board of the FOI-HR prior to such questions being answered would be premature.</p>	20170718 - No changes required
gNSO-NCSG	<p>We are pleased to see that the FOI-HR makes it clear that ICANN should not expand its mission while applying the Human Rights Core Value, but rather ensure in its operations and policy development processes that it does not negatively impact human rights. We are also pleased to see that the FOI-HR clearly outlines that all Supporting Organizations and Advisory Committees, as well as ICANN the organization, should “take the Core Value into consideration in its policy development or advisory role. It is up to each SO and AC, and ICANN the organisation, to develop their own policies and frameworks to fulfill this Core Value.” We welcome the adoption of the FOI-HR and the subsequent activation of the Human Rights Bylaw.</p>	20170718 - No changes required

gNSO-RySG	<p>We start from this assumption – that ICANN is a largely open, community-driven organization with a solid history of respect for human rights. The RySG is fully committed to observing Human Rights (HR) as per the ICANN bylaw. We appreciate the flexibility given to the SOs in considering the usefulness and appropriateness of Human Rights Impact Assessments (HRIAs). We will pay heed to the Framework adopted by ICANN so that its provisions are appropriately considered in a manner consistent with ICANN’s mission and goals as well as the GNSO’s and RySG’s missions, goals, and methodologies.</p>	20170718 - No changes required
gNSO-RySG	<p>The RySG is concerned that an opening of the ICANN community dispute-resolution mechanisms to broad HR-based claims would present a potential risk of undue strain on ICANN’s resources. Lastly, ICANN must take steps to ensure that the community and public at large recognize that Reconsideration Requests and Independent Review Process matters are limited to issues where ICANN (board or staff) allegedly violated its articles or bylaws – and are not suitable forums for any and all HR-based claims that might involve the Internet or DNS.</p>	20170718 - No changes required
gNSO-RySG	<p>With respect to reference to “internationally recognized human rights”, we wish to emphasize that these existing human rights declarations and conventions create obligations for nation states, not private entities; as acknowledged in the accompanying Framework of Interpretation, “ICANN, as a non-state private entity, is not a party to any Human Rights declaration, covenant, or instrument.” These declarations and conventions should not be taken to create any positive obligations for ICANN as a private, non-state actor, particularly in leveraging any of the existing accountability mechanisms for HR-based claims.</p>	20170718 - No changes required
gNSO-RySG	<p>Further, we support the need for balance and flexibility in applying the Core Values, as compared to binding commitments, including in the context of these dispute resolution mechanisms. As noted in the Framework of Interpretations:</p>	20170718 - No changes required

<p>Govt-Brazil</p>	<p>On page 3, the first sentence of the third paragraph reads: "Finally, there is no standing hierarchy in the treatment of the different Core Values".</p> <p>As a suggestion of amendment, Brazil proposes redrafting the first sentence of the third paragraph on page 4 as follows:</p> <p>"Finally, there may be a hierarchy in the treatment of the different Core Values, according to the values they embody and the importance the multi-stakeholder community attaches to these values."</p>	<p>20170718 - (early discussions of this suggestion did not gather any support) - Mark Carvell: Agree seems beyond WG remit as it is a fundamental position about how core values may interact so onevalue might override another. Suggest Brazil raises this in another ICANN forum. - Greg Shatan: This would also open up a Pandora's Box of issues about which core value is more important, what aspect of ICANN's mission is more "core", etc., etc. 20170725 TT believe this comment should be rejected - outside of scope. NTO we cannot change the Bylaws which prevent this. This resolves this point. KA - agree with the comment but it is not in remit of this group as it would change the Bylaws which we cannot do.</p>
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<p>Govt-Brazil</p>	<p>On page 4, the first two sentences of the fifth paragraph read: ““Applicable law” refers to the body of law that binds ICANN at any given time, in any given circumstance and in any relevant jurisdiction. It consists of statutes, rules, regulations, etcetera, as well as judicial opinions, where appropriate.” Brazil suggests substituting the word “binds” with “applies to”. Brazil also suggests including, before the word “etcetera”, express reference to “customary international rules and principles”.</p>	<p>20170718 - (need to discuss - no support for change in early discussions) - David McAuley: The 'customary' language would undercut bylaw language 20170725 DM - The Bylaws are very restrictive and BINDS is much more applicable vs APPLIES TO and this was a hard won consensus in WS1. Therefore am against both these points. TT - Agree with DM we have to use BIND. Also support not using CUSTOMORY LAW. NTO - no support for changing from BIND. Would it hurt to add customary rules and procedures? GS - I would oppose that change becasue we already have rules - principles are beyond law. Tatiana Tropina: Agree with Greg. It's actually waaaay to broad. David McAuley: I agree with Greg. I see the term "customery etc: as different - we have agreed to maintain the bylaw compromoise as I understand it. KA have seem many instruments - these rarely use BINDING which is very strong - like SHALL vs MUST.</p>
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<p>Govt-Brazil</p>	<p>On page 6, the first full sentence at the top of the page reads: “However, ICANN the community and the organization could refer to any of the widely adopted Human Rights declarations, conventions and other instruments while taking human rights into account in its policies and operations.”</p> <p>Brazil suggests redrafting the above sentence as follows: “However, businesses can be subject to international customary law rules and principles as they evolve in the field of human rights. Further, ICANN the community and the organization should refer to any of the widely adopted Human Rights declarations, conventions and other instruments while taking human rights into account in its policies and operations.”</p>	<p>20170718 - (need to discuss - no support for change in early discussions) 20170725 TT these changes would go against the Bylaws and cannot be accepted. This was a hard fought compromise for consensus. GS - Agree with TT. As to the additional sentence, ICANN is not a business in any sense of the word it does not add anything but could bring much confusion and challenges. I do not support. NTO not for us to go down this path. TT would to add to GS statement - we have to be clear what part of the community we are referring to - do not support Brazil comment. KA - tend to agree with Brazil about Applicable Law in Jurisdiction but not in FOI. Here we cannot or its difficult to use anything else than APPLICABLE LAW. This term was the only one was agreed to in all our discussions. NTO therefore have agreement there is not support for these proposed changes.</p>
<p>Govt-Switzerland</p>	<p>Accordingly, we propose that the following paragraph on page 4 (under “internationally recognized human rights”) be reworded as follows: “However² because they only create obligations for States. By committing to one or more of these international instruments, nation states are expected to embed human rights in their national legislation. Businesses should respect human rights as set out in the UN Guiding Principles on Businesses and Human Rights.”</p>	<p>20170718 - (need to discuss - no support for change in early discussions) 20170801 - TT statement is true but adding would create confusion for all because we are referring to both ICANN org and ICANN the community - so overall not useful to our work and we should not include the Ruggy principles. DM - Agree with TT Ruggy principles are not limited to HR Principles are not limited to applicable law - so do not support inclusion of Ruggy principles. Finally Ruggy is not only about respecting HRs but also mitigating issues around HR which is beyond what we need here. GS Agree with TT and DM - this is not the right place for mentionning Ruggy - this is an FOI for the Bylaw. NTO - there does not seem to be any support for this suggestion. avri doria: i just find the persistence on anti-guidelines unformtautent</p>

<p>Govt-Switzerland</p>	<p>As to “internationally recognized human rights”, a reference to the UNGP as standard for business enterprises should be included, as mentioned above. In addition references to other universal human rights agreements from the UN should be included, such as the Convention on the Rights of the Child, the International Convention for the Protection of All Persons from Enforced Disappearance, Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (see http://www.ohchr.org/EN/ProfessionalInterest/Pages/CoreInstrument.aspx for reference). Furthermore, also the humanitarian international public law should be considered, such as the Geneva Conventions. Finally, there are also relevant regional agreements which should be considered, such as the European Convention on Human Rights and the Budapest Convention on Cybercrime.</p>	<p>20170718 - (need to discuss - no support for change in early discussions) - Anne Aikman-Scalese: This is tough because ICANN is not a "business". And there are limitations in the approved ByLaw relating to "no obligation beyond applicable law." I wonder whether ICANN should simply be advisingg businesses with which it interacts of the Ruggie Principles and/or according extra points for an application that agrees to implement Ruggie. But in fact these are also issues of implementation, as is the idea of an HRIA.</p> <p>Mark Carvell: Swiss and UK governments are in line on Ruggie - when it comes to GAC preparing its response as CO can expect this discussion to be re-visited. ***** 20170801 TT flabergasted by these comments - is ICANN considering entering into armed conflicts - as to the Cybercrime - which is my area of expertise - would ICANN be responsible for criminal investigations? these comments do not apply at all to our work. Greg Shatan: +1 Tatiana. AD - we are always removing documents that could have could have some positive contributions - but I am a minority of one. Tatiana Tropina: I care about them but what do they have to do with this FoI? Greg Shatan: There is plenty of room below the level of Bylaws compliance to bring in HR Instruments, Ruggie, etc. TT- all the HRs in the Geneva Convention etc are all covered in the Universal Declaration of HRs. The Cybercrime Convention -is only about the harmonization of criminal law conventions and contains nothing about HRs. A compromize we could simply say that this list is not exclusive. Cheryl Langdon-Orr (CLO): Tatiana's points make sense to me. avri doria: including, but not including but not limited to. so accept Tatiana proposal for an indication that the list is not complete. Tatiana Tropina: will be completed by next business day</p>
<p>Govt-Switzerland</p>	<p>Regarding the interpretation of the section “as required by applicable law”, we consider that this element should never be used as a means to implicitly relativize the universality of human rights, subjecting and/or constraining them to national legislation. It would be desirable to include expressly that this means to “comply with all applicable laws and respect internationally recognized human rights”.</p>	<p>20170718 - (need to discuss - no support for change in early discussions) - David McAuley: suggest we stick to bylaw language Cheryl Langdon-Orr (CLO): yep</p> <p>20170801 - NTO - no support for reasons mentionned in previous comment.</p>

<p>Govt-UK</p>	<p>The argument that the entirety of the UN Guiding Principles could not be cited as a reference point, or source of guidance, for interpreting ICANN’s Human Rights Core Value, is readily understood and accepted: much of the text is concerned with State responsibilities.</p> <p>However, it is very disappointing that there is no reference in the Framework to the UN Guiding Principles despite the direct applicability of key elements of the second pillar relating to corporate responsibilities. These relate for example the conduct of due diligence, ensuring transparency, the undertaking of impact assessments, instituting mechanisms for correcting negative impacts, and generally integrating a culture of commitment to respect human rights throughout the organization. As such they provide fundamental elements of universal best practice for effective adherence to human rights and therefore merit direct reference in the Framework of Interpretation.</p>	<p>20170718 - (need to discuss -) -</p> <p>20170801 - NTO - even I would not doubt this - this is about implementation - we are about FOI which is our remit. We have actually done what the govt of the UK is asking us to do although possibly not exactly in the way they may of wanted. TT - Again going into Ruggy more than we did misses 1 point - Ruggy for ICANN org could be ok but the problem is that we are talking about not only ICANN Org. but for all parts of the community (trinity). The main point of our work is how to help the community develop policies that respect HRs - so Ruggy would be the wrong tool for that because Ruggy has nothing wrt policy. NTO - Agree community is not a company. We could however suggest that ICANN Org. could consider implementing frameworks like UNGP etc.....GS - Will need to look at the actual wording - uncertain this fits in the FOI - this could be in guidance document. DM - I tend to agree with GS comments. ruggy Principles could be quite a burden for ICANN to implement. TT - am not a fan of Ruggy - if we are to mention ICANN might consider in the future Ruggy as a reference - but what we have was agreed this could break it. GM agree with DM. NTO - so the suggestion by TT would create more problems? I will suggest text for discussion on the list over the coming week differentiating between ICANN org and the ICANN community</p>
<p>Govt-UK</p>	<p>Given the private sector-led, multi-stakeholder constitution of ICANN there seems to be no inherent disruptive conflict or inconsistency created by reference to these elements in the universally accepted UN Guiding Principles. It is hoped, therefore, that in the course of finalising the Framework of Interpretation following the current public consultation, there will be further consideration of the applicability of those elements of corporate responsibility contained in the UN Guiding Principles on Business and Human Rights and of the value of their due reference cited in the final document as an instrument for all the SOs and ACs – including the GAC - and their respective sub-groups and constituency parts to take fully into account in their strategies for implementing the human rights core value.</p>	<p>20170718 - (need to discuss -) -</p> <p>20170801 - AD - support this comment, only apply to corporation. NTO this goes back to the previous point and will work with the proposed solution to the previous comment. DM - will reserve support until I see the actual language. NTO - Agree. will go to the list.</p>

Govt-UK	Furthermore, if these UN Guiding Principles are not directly cross-referenced in part by the Framework of Interpretation, it would be a lost opportunity for the ICANN community to be a global transnational beacon for advancing corporate respect for human rights.	20170718 - (need to discuss -) - 20170801 - see previous points on UK Govt.
Ricardo Holmquist	in the different sections of the document it states that Human rights must be observed, that they are Core Values, and that in some events, the Core Values should be balanced. Looking at the other Core Values, there is no sense for that. Human Rights must be observed, there is no other Core Value more important than this.	20170725 - Similar to Brazil comment - TT and DM comment this is outside the remit of the CCWG-Accountability
Shiva Kanwar	<p>On page 6, regarding „consider which specific Human Rights conventions or other instruments, if any, should be used by ICANN in interpreting and implementing the Human Rights Bylaw“, it has been stated that “a conflict between any Guiding Principle and an ICANN Bylaw provision or Article of Incorporation must be resolved in favor of the Bylaw or Article.”</p> <p>I would like to propose that in event of a conflict between any guiding principle (or any other human rights declaration, principle, convention or instrument) and an ICANN Bylaw provision or Article of Incorporation, the first thing to be done should be an attempt to reconcile the two conflicting provisions and arrive at an amicable solution that reflects the essentials of both positions. Allowing the Bylaws to prevail outright - without any attempt to reconcile them with the concerned Human Rights Guiding Principle - would essentially limit the spirit of the core value to respect internationally recognised human rights.</p>	<p>20170725 - Word smithing? David McAuley: I would agree if it said 'perceived conflict' KA - long comment against reconciliation. TT This provision only refers to the Ruggy principles and we should clarify this. TBJ - against any reconciliation and agree with other participants comments.Suggestion is un-doable.</p> <p>Cheryl Langdon-Orr (CLO): makes sense David</p> <p>20170801 - DM THE reference is the Bylaws - GS - this tries to make the guiding Principles on the same foot as the Bylaws - which they are not. So this is fundamentally flawed. there is no SPIRIT of the Bylaws - there are Bylaws.</p>

Shiva Kanwar	<p>On page 8, regarding „consider how the interpretation and implementation of this Bylaw will interact with existing and future ICANN policies and procedures“, it has been stated that “SOs and ACs could consider defining and incorporating Human Rights Impact Assessments (HRIAs) in their respective policy development processes”, and that “ICANN the organization could also consider instruments such as HRIAs to assess their impact on Human Rights.”</p> <p>If this is to be followed by the SOs, ACs and the ICANN Organisation, the methodology and tools to be used to undertake this Human Rights Impact Assessments should be identified.</p> <p>This inclusion of HRIAs gives rise to several questions such as; will any existing tools and methodology be adopted to undertake the HRIA, or will ICANN develop its own? Also, will the SOs, ACs and ICANN the Organisation use the same tools and methodology to undertake the HRIAs, or can they differ across ICANNs organisational structure?</p>	20170725 - NTO - Outside of scope.
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