

Non-PDP GNSO Metrics & Reporting Working Group

Original Resolution adopted by the GNSO Council on 16 May 2013:

Resolved,

The GNSO Council does not initiate a Policy Development Process at this stage but will review at the completion of the ICANN Contractual Compliance three-year plan expected for 31 December 2013 whether additional action is required;

The GNSO Council further approves the creation of a drafting team to develop a charter for a non-PDP Working Group to consider additional methods for collecting necessary metrics and reporting from Contracted Parties and other external resources to aid the investigation.

Issue:

Despite the unanimous support for this resolution, only six volunteers (3 GNSO, 3 ALAC) have signed up for this effort to date, which is not considered sufficiently representative to kick off the DT. Apart from renewing the call for volunteers or delaying this effort, the GNSO Council could consider, as this is a non-PDP WG, to explore an alternative approach to addressing this issue while still respecting the multi-stakeholder approach, but not necessarily requiring the formation of a DT or WG.

Options for consideration:

1. The GNSO Council issues a renewed call for volunteers
2. The GNSO Council delay this effort to a later point in time when more volunteers are expected to be available
3. The GNSO Council terminate this effort indefinitely
4. The GNSO Council adopts ICANN staff's recommendation, as outlined below, to lead this effort in a non-traditional WG method

Proposed Approach for Option 4:

If the GNSO Council were to adopt ICANN staff's recommendation under option 4:

- Staff will prepare a detailed work plan so that the GNSO Council can easily track progress and allow the community to follow how this project is going to be managed.
- Staff would reach out to all SG/C/SO/AC to request input on the topics outlined in the Issue Report, including one to one conversations with those expressing an interest to provide further input. Such outreach could be done via a public comment forum or a survey to facilitate input.

- Based on the input received, ICANN staff would develop a straw-man outline, based on the next section below, of possible topics or activities to explore regarding metrics and reporting within the GNSO and perhaps include possible enhancement recommendations for the GNSO to collaborate and consider.
- The initial draft would be circulated for review to those SG/C/SO/ACs individuals that provided input and/or expressed an interest to be involved in further consultations on this topic. Any scheduled meetings to conduct such a consultation are expected to be held on an ad-hoc and infrequent basis.
- Based on the feedback received, an Initial Report would be distributed to each Stakeholder Group and Constituency for review and input.
- Direct 1:1 interviews would be scheduled with one or two designated members of each Stakeholder Group and Constituency, as nominated by the Chair of said group, for their input and interaction regarding the Initial Report.
- Any additional research, actions, and milestones resulting from the collaborative effort above are anticipated to be primarily performed by GNSO Policy staff.
- Regular updates would be provided to the GNSO Council on the status of this effort (the Council could consider appointing a Council Liaison who would consult with Staff on an even more regular basis with regard to the progress of this effort)
- Staff would continue to interface with SG/C/SO/ACs representatives through the conclusion of a Final Report including any public comment requirements if needed.
- Present scheduling plans would anticipate completion on or around January of 2014 for this project.

Mission and Scope:

The GNSO Metrics and Reporting Project is tasked to provide the GNSO Council with a set of recommendations on:

- A set of principles that may compliment any GNSO policy efforts related to metric/data requirements to better inform the policy development process;
- A process for requesting metrics and reports both internal to ICANN or external, including GNSO contracted parties;
- A framework for distributing metrics and reports to Working Groups, the GNSO Council and the GNSO as a whole;
- Changes, if any, to existing Working Group guidelines and work product templates

Any such recommendations will be developed through a process of community consultation and input as outlined in the section above, resulting in an Initial Report that would put published for public comment. Regular updates will be provided to the GNSO Council on the status of this project and at any time, the GNSO Council may provide additional instructions to ICANN staff either directly or through the appointed Council liaison.

Topics to be Explored as Part of this Project:

Due diligence on current ICANN projects (non-exhaustive list):

- myICANN strategy (Compliance, GNSO Projects - AtTask)
- Review reporting aspects of SSAC, RSAC data they provide or consume
 - Identifier Abuse Metrics Work Party
- New gTLD reporting & metrics¹
- Monitor the Policy / Implementation WG
- Contractual Compliance reporting (complaints and audit)²

Review and research data external to ICANN:

- Metrics from Contracted Parties (both Ry & Rr) to enhance policy development and monitoring of policy implementation.
 - Use cases from past WG efforts such as PEDNR and IRTP will be reviewed to better understand how future similar request should be handled.
- Review third-party metrics and access to sources like APWG, UDRP, SpamHaus, Botnet/Malware, and other abuse metrics.

Review the policy process and how metrics and interval reporting may enhance it:

- Policy Development process
- Working Group Guidelines
- Charter Templates
- Issue Report Templates
- PDP Initial & Final Report Templates
- Conduct a review of what's worked and what has not
- Consider establishing more formal processes for the GNSO to request information either from ICANN and/or contracted parties (Nature of the problem, Scope of problem or issue to be solved, Report Requirements, Responsible Team(s), Expected Delivery Date, Resource estimation)
- Consider establishing year-end “state of generic names industry” reviews including next year forecasts of metrics and reporting efforts

¹ Note that the Consumer Metrics for the AoC review of the New gTLD program was briefly highlighted in Section 5 of the Initial Report. However, ongoing work by the CCT-RT, once initiated, may provide useful benefit to any recommendations formulated from this effort and output from the review may further benefit the GNSO as the expansion of gTLDs continues.

² Conclusion of this WG effort should contain a wrap-up review with respect to the first recommendation of this effort that awaits the conclusion of Contractual Compliance’s three-year plan.

Additional Information:

- GNSO Metrics & Reporting Web Page: <http://gns0.icann.org/en/group-activities/active/metrep>
- Call for Volunteers: <http://gns0.icann.org/en/announcements/announcement-04jun13-en.htm>