

# Finances

The estimated time to complete this questionnaire is 30-45 minutes.

Questionnaire responses are not anonymous. Complete questionnaire response data with respondent names and affiliations will be provided to all GWG participants.

\* Indicates required question

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1. Given name \*

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2. Surname \*

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**3. Affiliation \***

*Mark only one oval.*

- ccNSO
- gTLD RySG
- IAB
- IANA
- ICANN Board
- RSO: Cogent
- RSO: DISA
- RSO: ISC
- RSO: ICANN
- RSO: NASA
- RSO: RIPE NCC
- RSO: UMD
- RSO: US Army DEVCOM ARL
- RSO: Verisign
- RSO: WIDE Project
- RZM
- SSAC

**Finances***Acronyms*

- RSO(s): root server operator(s)
- RSS: root server system
- RSS GS: root server system governance structure

4. **3.10 | Financial stability: governance**



Operating a trusted and stable RSS GS requires sufficient recurring, predictable, appropriate, and transparent sources of finance.

*Considerations for implementation*

- A reserve should be available to ensure stable operation of the RSS GS if the primary source of funding goes away.
- Support over time does not preclude other measures to make funding useful to the RSS and RSS GS.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

5. Please provide any additional considerations for implementation.

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6. **3.20 | Financial stability: operations**



Operating a trusted and stable RSS requires sufficient recurring, predictable, appropriate, and transparent sources of finance for RSOs.

*Considerations for implementation*

- The transparency element should not be read to impose obligations to reveal confidential customer lists, etc.
- It is sufficient for RSOs to describe the nature of funding sources.
- More than the minimum number of RSOs must be fully funded for operation for more than a few years into the future.
- A reserve should be available to ensure stable operation of the RSOs receiving funding if the primary source of funding goes away.

*Mark only one oval.*

- 👍 I am generally supportive of this principle.
- 👎 I would like more discussion about this principle.

7. Please provide any additional considerations for implementation.

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8. **3.30 I RSS governance is a not-for-profit activity** \*

The focus of the RSS GS must be the sole purpose of assuring the proper functioning of the RSS. Therefore, the RSS GS should be run in the public interest, and any revenue in excess of costs should not accrue to the private benefit of individual stakeholders or entities.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

## 9. Please provide any considerations for implementation.

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10. **3.40 I Operating an RSO is a not-for-profit activity** \*

Each RSO is expected to operate its root service in support of the RSS without the purpose or intention of making a profit from said service.

*Considerations for implementation*

- This principle applies only to the business process of operating a root server.
- The principle does not restrict the RSO from profit-making activity outside the scope of operating a root server.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

11. Please provide any additional considerations for implementation.

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12. **3.50 I No data commercialization**

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An RSO should not monetize data collected while operating its root service.

*Considerations for implementation*

- This principle does not distract from the need for each RSO to disclose operational data (as appropriate) to the RSS GS in support of security, stability, and resilience goals.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

13. Please provide any additional considerations for implementation.

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14. **3.60 | Financial accountability: RSS GS** \*

The RSS GS must be accountable to the funding sources for funds provided to it.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

## 15. Please provide any considerations for implementation.

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16. **3.61 | Financial accountability: RSOs** \*

For the purpose of demonstrating accountability to the funders of the RSS GS, each RSO must be accountable to the RSS GS for funds provided to it by the RSS GS.

*Considerations for implementation*

- In order to demonstrate accountability to the RSS GS and the RSS GS to funders, the reporting from the RSS GS and the reporting that the RSS GS will require from RSOs receiving funds need to be defined.
- The intention is that the RSS GS itself becomes the entity with primary responsibility to assure accountability to those who provide funds for operations.
- In turn, RSOs are accountable to the RSS GS with respect to such funds that are received from the RSS GS.
- The RSS GS itself will be a forum used to drive accountability.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

17. Please provide any additional considerations for implementation.

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18. **3.69 | Financial transparency: RSS GS**



The RSS GS must be transparent regarding all use of finances. This is a crucial element of building and maintaining trust with the broader community of RSS stakeholders.

*Considerations for implementation*

- Funding requests will be vetted by the RSS GS prior to the request being addressed.
- Vetting will include a test for reasonableness.

*Mark only one oval.*

- 👍 I am generally supportive of this principle.
- 👎 I would like more discussion about this principle.

19. Please provide any additional considerations for implementation.

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20. **3.70 | Financial transparency: RSOs** \*

Each RSO must be transparent regarding finances received from the RSS GS. This is a crucial element of building and maintaining trust with the broader community of RSS stakeholders.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

## 21. Please provide any considerations for implementation.

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22. **3.80 | Financial self-determination** \*

Decision-making concerning funding policy, specifically funds raised or disbursed by the RSS GS, should rest within the RSS GS itself. This should not be controlled by an outside entity.

*Considerations for implementation*

- This principle does not apply to funds raised directly by RSOs outside the scope of RSS GS involvement.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

23. Please provide any additional considerations for implementation.

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24. **3.100 | Funding commitment: RSS GS** \*

There must be a system of ongoing commitment to provide financial support for the RSS GS.

*Mark only one oval.*

- 👍 I am generally supportive of this principle.
- 👎 I would like more discussion about this principle.

25. Please provide any considerations for implementation.

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26. **3.101 | Funding commitment: RSS operations** \*

There must be a system of ongoing commitment to provide financial support for RSS operations.

*Mark only one oval.*

- 👍 I am generally supportive of this principle.
- 👎 I would like more discussion about this principle.

27. Please provide any considerations for implementation.

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28. **3.110 | RSOs remain free to seek external sources of funding** \*

RSOs remain free to raise funds to support RSO activity as they see fit.

*Mark only one oval.*

👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

29. Please provide any considerations for implementation.

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30. **3.510 | Uniform funding** \*

Funding should be available to the RSS. Each RSO can request funding support, and those requests will be assessed on a fair, impartial, and objective basis.

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👍 I am generally supportive of this principle.

👎 I would like more discussion about this principle.

31. Please provide any considerations for implementation.

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